

Daniel P. Friedman and David Thrane Christiansen Foreword by Robert Harper Afterword by Conor McBride Drawings by Duane Bibby

# Daniel P. Friedman David Thrane Christiansen

Drawings by Duane Bibby

Foreword by Robert Harper Afterword by Conor McBride

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*To Mary, with all my love.*

*Til Lisbet, min elskede.*

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### **Foreword**

Dependent type theory, the subject of this book, is a wonderfully beguiling, and astonishingly effective, unification of mathematics and programming. In type theory when you prove a theorem you are writing a program to meet a specification—and you can even run it when you are done! A proof of the fundamental theorem of arithmetic amounts to a program for factoring numbers. And it works the other way as well: every program is a proof that its specification is sensible enough to be implementable. Type theory is a hacker's paradise.

And yet, for many, type theory remains an esoteric world of sacred texts, revered figures, and arcane terminology—a hermetic realm out of the novels of Umberto Eco. Be mystified no longer! My colleagues Dan Friedman and David Christiansen reveal the secrets of type theory in an engaging, organic style that is both delightful and enlightening, particularly for those for whom running code is the touchstone of rigor. You will learn about normal forms, about canonization, about families of types, about dependent elimination, and even learn the ulterior motives for induction.

When you are done, you will have reached a new level of understanding of both mathematics and programming, gaining entrance to what is surely the future of both. Enjoy the journey, the destination is magnificent!

> Robert Harper Pittsburgh February, 2018

### **Preface**

A program's type describes its behavior. *Dependent types* are a first-class part of a language, which makes them vastly more powerful than other kinds of types. Using just one language for types and programs allows program descriptions to be just as powerful as the programs that they describe.

If you can write programs, then you can write proofs. This may come as a surprise for most of us, the two activities seem as different as sleeping and bicycling. It turns out, however, that tools we know from programming, such as pairs, lists, functions, and recursion, can also capture patterns of reasoning. An understanding of recursive functions over non-nested lists and non-negative numbers is all you need to understand this book. In particular, the first four chapters of *The Little Schemer* are all that's needed for learning to write programs and proofs that work together.

While mathematics is traditionally carried out in the human mind, the marriage of math and programming allows us to run our math just as we run our programs. Similarly, combining programming with math allows our programs to directly express *why* they work.

Our goal is to build an understanding of the important philosophical and mathematical ideas behind dependent types. The first five chapters provide the needed tools to understand dependent types. The remaining chapters use these tools to build a bridge between math and programming. The turning point is chapter 8, where types become statements and programs become proofs.

Our little language Pie makes it possible to experiment with these ideas, while still being small enough to be understood completely. The implementation of Pie is designed to take the mystery out of implementing dependent types. We encourage you to modify, extend, and hack on it—you can even bake your own Pie in the language of your choice. The first appendix, *The Way Forward*, explains how Pie relates to fullyfeatured dependently typed languages, and the second appendix, *Rules Are Made to Be Spoken*, gives a complete description of how the Pie implementation works. Pie is available from http://thelittletyper.com.

#### **Acknowledgments**

We thank Bob and Conor for their lyrical and inspiring foreword and afterword. They are renowned for their creative work in type theory and type practice, and for their exceptional writing. They have made major contributions to the intellectual framework behind *The Little Typer*, and their influence can be found throughout.

Suzanne Menzel, Mitch Wand, Gershom Bazerman, and Michael Vanier read multiple drafts of the book, providing detailed feedback on both the content and the exposition. Their willingness to read and re-read the text has been invaluable. Ben Boskin implemented the specification of Pie in miniKanren, alerting us to several errors and omissions in the process.

We would additionally like to thank Edwin Brady, James Chapman, Carl Factora, Jason Hemann, Andrew Kent, Weixi Ma, Wouter Swierstra, and the students in Indiana University's special topics courses on dependent types in the Spring semesters of 2017 and 2018 for their careful, considered feedback and penetrating questions. Both the clarity and the correctness of the contents were considerably improved as a result of their help.

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Marie Lee and Stephanie Cohen at the MIT Press shepherded us through the process of making this book real. Similarly, a "little" book wouldn't be a "little" book without Duane Bibby's wonderful artwork.

The technical contributions of the Scheme, Racket, and LATEX communities were tremendously valuable. In particular, we heavily used both Sam Tobin-Hochstadt's Typed Racket and Robby Findler and Matthias Felleisen's contract system while implementing Pie. Dorai Sitaram's SLATEX system was once again invaluable in typesetting our examples, and Carl Eastlund's T<sub>E</sub>X macros and extensions to SLT<sub>E</sub>X saved us many hours of work.

The Sweetpea Baking Company in Portland, Oregon provided a good working environment and a much-needed napkin.

Adam Foltzer introduced the authors to one another following David's internship at Galois, Inc. in 2014. We are very grateful that he brought us together.

Finally, we would like to thank Mary Friedman for her support, patience, delicious lunches, and occasional suppers during long hours of writing at the Friedman home, and Lisbet Thrane Christiansen for her support, patience, jokesmithing, help with French, and occasional consultation on graphic design.

#### **Guidelines for the Reader**

Do not rush through this book. Read carefully, including the frame notes; valuable hints are scattered throughout the text. Read every chapter. Remember to take breaks so each chapter can sink in. Read systematically. If you do not *fully* understand one chapter, you will understand the next one even less. The questions are ordered by increasing difficulty; later questions rely on comfort gained earlier in the book.

*Guess*! This book is based on intuition, and yours is as good as anyone's. Also, if you can, experiment with the examples while you read. The Recess that starts on page 62 contains instructions for using Pie.

From time to time, we show computation steps in a chart. Stop and work through each chart, even the long ones, and convince yourself *that* each step makes sense by understanding *why* it makes sense.

The **Laws** and **Commandments** summarize the meanings of expressions in Pie. Laws describe which expressions are meaningful, and Commandments describe which expressions are the same as others. For a Commandment to apply, it is assumed that the corresponding Laws are satisfied.

Food appears in some examples for two reasons. First, food is easier to visualize than abstract symbols. We hope the food imagery helps you to better understand the examples and concepts. Second, we want to provide a little distraction. Expanding your mind can be tiring; these snacks should help you get through the afternoon. As such, we hope that thinking about food will lead you to take some breaks and relax.

You are now ready to start. Good luck! We hope you enjoy the book.

Bon appétit!

Daniel P. Friedman Bloomington, Indiana

David Thrane Christiansen Portland, Oregon







### **The Law of Tick Marks**

**A tick mark directly followed by one or more letters and hyphens is an** Atom**.**

Sentences such as

 $11$  What is the point of a judgment?

**'**ratatouille is an Atom

and

**'**cœurs-d-artichauts is an Atom are called *judgments*. *†*

*†*Thanks, Per Martin-Löf (1942–).



### **The Commandment of Tick Marks**

**Two expressions are the same** Atom **if their values are tick marks followed by identical letters and hyphens.**



Atom, like **'**baguette.





Atom **is a type.**





31

Is this a judgment? Atom and (Pair Atom Atom) are the same type.

Yes, it is a judgment, but there is no reason to believe it.

Are (Pair Atom Atom) and (Pair Atom Atom) the same type? 32 That certainly seems believable.







 $41$  Yes, it is.

```
(cdr
      (cons 'ratatouille
         (cons 'baguette 'olive-oil))))
the same
```
Atom

#### as

Is

(**car**

**'**baguette?



It does not make sense to ask whether an expression has a normal form without specifying its type.

Given a type, however, every expression described by that type does indeed have a normal form determined by that type.

If two expressions are the same according to their type, then they have identical normal forms. So this must mean that we can check whether two expressions are the same by comparing their normal forms!

#### **Normal Forms**

**Given a type, every expression described by that type has a** *normal form***, which is the most direct way of writing it. If two expressions are the same, then they have identical normal forms, and if they have identical normal forms, then they are the same.**



### **Normal Forms and Types**

**Sameness is always according to a type, so normal forms are also determined by a type.**



#### **The First Commandment of** cons

**Two** cons**-expressions are the same (**Pair *A D***) if their cars are the same** *A* **and their cdrs are the same** *D***. Here,** *A* **and** *D* **stand for any type.**

Perfect.

<sup>52</sup> It is (Pair **'**olive **'**oil), right?

What is the normal form of

(Pair (**cdr** (cons Atom **'**olive)) (**car** (cons **'**oil Atom)))?

Actually, the expression (Pair (**cdr** (cons Atom **'**olive)) (**car** (cons **'**oil Atom))) is neither described by a type, nor is it a type, so asking for its normal form is meaningless.*† †*Expressions that cannot be described by a type and that are not themselves types are also called *illtyped*. <sup>53</sup> Why not? Because Pair is not a type when its arguments are actual atoms. It is only an expression when its arguments are types such as Atom. 54 Does that mean that Pair can't be used together with **car** and **cdr**?

No, not at all. What is the normal form of

(Pair (**car** (cons Atom **'**olive)) (**cdr** (cons **'**oil Atom)))?

Types themselves also have normal forms. If two types have identical normal forms, then they are the same type, and if two types are the same type, then they have identical normal forms.

<sup>55</sup> What is its type? Normal forms are according to a type.

The normal form of the type (Pair (**car** (cons Atom **'**olive)) (**cdr** (cons **'**oil Atom)))? must be (Pair Atom Atom) because the normal form of (**car** (cons Atom **'**olive)) is Atom and the normal form of (**cdr** (cons **'**oil Atom)) is Atom.

#### **Normal Forms of Types**

**Every expression that is a type has a normal form, which is the most direct way of writing that type. If two expressions are the same type, then they have identical normal forms, and if two types have identical normal forms, then they are the same type.**

```
That's it. Now we know that
  (cons 'ratatouille 'baguette)
is also a
  (Pair
    (car
      (cons Atom 'olive))
    (cdr
      (cons 'oil Atom)))
because . . .
                                             57
                                                . . . the normal form of
                                                  (Pair
                                                     (car
                                                       (cons Atom 'olive))
                                                     (cdr
                                                       (cons 'oil Atom)))
                                                is
                                                  (Pair Atom Atom),
                                                and
                                                  (cons 'ratatouille 'baguette)
                                                is a
                                                  (Pair Atom Atom).
Another way to say this is that
  (Pair
    (car
      (cons Atom 'olive))
    (cdr
      (cons 'oil Atom)))
and
  (Pair Atom Atom)
are the same type.
                                             <sup>58</sup> If an expression is a
                                                  (Pair
                                                    (car
                                                       (cons Atom 'olive))
                                                     (cdr
                                                       (cons 'oil Atom)))
                                                then it is also a
                                                  (Pair Atom Atom)
                                                because those two types are the same
                                                type.
Similarly, if an expression is a
  (Pair Atom Atom)
then it is also a
  (Pair
    (car
      (cons Atom 'olive))
    (cdr
      (cons 'oil Atom)))
because those two types are the same
                                             59
                                                And likewise for
                                                  (Pair
                                                     Atom
                                                     (cdr
                                                       (cons 'oil Atom))),
                                                which is also the same type.
```

```
type.
```






### **Claims before Definitions**

**Using define to associate a name with an expression requires that the expression's type has previously been associated with the name using claim.**




# **Values**

**An expression with a constructor at the top is called a** *value***.**



#### **Values and Normal Forms**

**Not every value is in normal form. This is because the arguments to a constructor need not be normal. Each expression has only one normal form, but it is sometimes possible to write it as a value in more than one way.**

What expressions can be placed in the empty box to make this expression *not* a Nat value? (add1 ) 92 How about **'**aubergine?



Not here. Expressions do not refer to some external notion of meaning—in Pie, there is nothing but expressions and what we judge about them.*†*

*†* In Lisp, values are distinct from expressions, and the result of evaluation is a value.

That is a new way of seeing evaluation.

Why is there a difference between normal forms and values?

#### **Everything Is an Expression**

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**In Pie, values are also expressions. Evaluation in Pie finds an expression, not some other kind of thing.**

98

A normal expression has no remaining opportunities for evaluation. Usually, expressions that are normal are easier to understand. Finding a value is often enough, however, because the top constructor can be used to determine what must happen next.

If finding a value is often enough, does that mean we are free to find the value and stop whenever we want?

Yes, assuming that specific information about the constructor's arguments is never needed.

Is

(add1

 $(+ (add1 zero))$ (add1

the same Nat as *four*?

 $(\text{add1 zero})))$ 

Here is a possible answer.

They are not the same Nat because (add1

```
(+ (add1 zero))(add1
      (\text{add1 zero})))
```
is a value, and it certainly does not look like the variable *four*. Finding the value of *four* does not help, because *four*'s value looks very different.

Good try.

How can that  $he^2$ 

But they are actually the same Nat.

Two Nat expressions, that aren't values, are the same if their values are the same. There are exactly two ways in which two Nat values can be the same: one for each constructor.

If both are zero, then they are the same Nat.

 $100$  What about when both values have add1 at the top?

# **The Commandment of** zero

101

zero **is the same** Nat **as** zero**.**

If the arguments to each add1 are the same Nat, then both add1-expressions are the same Nat value.

Why is

(add1 zero)

the same

Nat

#### as

(add1 zero)?

Both expressions are values. Both values have add1 at the top, so their arguments should be the same Nat.

The arguments are both zero, which is a value, and zero is the same Nat value as zero.

# **The Commandment of** add1

**If** *n* **is the same** Nat **as** *k***, then (**add1 *n***) is the same** Nat **as (**add1 *k***).**



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#### **Definitions Are Forever**

**Once a name has been claimed, it cannot be reclaimed, and once a name has been defined, it cannot be redefined.**





*The More Things Change, the More They Stay the Same* 29



It might be a good idea to read this chapter one more time. Judgments, expressions, and types are the most important ideas in this book.

 $122$  Some fresh vegetables would be nice after all this reading.

#### **Now go enjoy some delicious homemade ratatouille!**





#### **Constructors and Eliminators**

**Constructors build values, and eliminators take apart values built by constructors.**

4

5

Another way to see the difference is that values contain information, and eliminators allow that information to be used.

Is there anything that is both a constructor and an eliminator?

No, there is not.

How?

It is possible to define a *function* that is as expressive as both **car** and **cdr** combined.

It requires our old friend  $\lambda$ .

 $6$  What is that? It doesn't look familiar.



function's eliminator.

**Eliminating Functions**

**Applying a function to arguments** *is* **the eliminator for functions.**

What is the value of (λ (*flavor*) (cons *flavor* **'**lentils))? 10 It starts with a  $\lambda$ , so it is already a value.

Right. What is the value of ((λ (*flavor*) (cons *flavor* **'**lentils)) **'**garlic)? <sup>11</sup> It must be (cons **'**garlic **'**lentils), if  $\lambda$ works the same way as **lambda** and cons is a constructor. But doesn't this mean that cons's first argument is being evaluated, even though the cons-expression is already a value?



λ does work the same way as **lambda**, and that is indeed the right answer.

To be an

(*→* Atom (Pair Atom Atom))*†*

is to be a  $\lambda$ -expression that, applied to an Atom as its argument, evaluates to a

(Pair Atom Atom).

*†*This is pronounced "Arrow atom *pause* pair atom atom." And *→* can be written with two characters: ->.

Yes, these are also

(*→* Atom (Pair Atom Atom))

because they too become a

(Pair Atom Atom)

when given an Atom as an argument.

Yes, because (**car** (cons Atom **'**pepper)) is Atom and (**cdr** (cons **'**salt Atom))

is also Atom.

What about expressions that have these λ-expressions as their values?

Are they also

16

(*→* (**car** (cons Atom **'**pepper)) (Pair (**cdr** (cons **'**salt Atom)) Atom))?

 $17$  It makes sense to ask what it means for two expressions to be the same Nat, the same Atom, or the same (Pair Nat Atom).

Does it also make sense to ask what it means for two expressions to be the same

(*→* Nat Atom)*,* or the same (*→* (Pair Atom Nat) Nat)?



# **The Initial Law of Application**

**If** *f* **is an (***→ <sup>Y</sup> X***) and** *arg* **is a** *Y***, then (***f arg***) is an** *X***.**

#### **The Initial First Commandment of** λ

**Two λ-expressions that expect the same number of arguments are the same if their bodies are the same after consistently renaming their variables.**

```
The Initial Second Commandment of λ
If f is an
 (→ Y
   X),
then f is the same
 (→ Y
   X)
as
 (λ (y)
   (f y)),
as long as y does not occur in f .
```
No, it is not, because consistently renaming the variables in the second λ-expression to match the arguments in the first λ-expression yields

(λ (*a d*) (cons *d a*))*,* and (cons *d a*) is not the same (Pair Atom Atom) as (cons *a d*).  $22$  What about (λ (*y*) (**car** (cons *y y*)))? Is it the same (*→* Nat Nat) as (λ (*x*) *x*)?

#### **The Law of Renaming Variables**

**Consistently renaming variables can't change the meaning of anything.**





29 One would think so. But why?

Is (λ (*x*) (**car** *x*)) the same (*→* (Pair Nat Nat) Nat) as (λ (*y*) (**car** *y*))?



31

If two expressions have identical eliminators at the top and all arguments to the eliminators are the same, then the expressions are the same. Neutral expressions that are written identically are the same, *no matter their type.*

```
So
  (car x)
is indeed the same Nat as
  (car x),
assuming that x is a (Pair Nat Nat).
```
# **The Commandment of Neutral Expressions**

**Neutral expressions that are written identically are the same,** *no matter their type.*



Suppose that the constructor cons is applied to **'**celery and **'**carrot. We can refer to that value as *vegetables*.

```
(claim vegetables
  (Pair Atom Atom))
(define vegetables
  (cons 'celery 'carrot))
```
From now on, whenever the name *vegetables* is used, it is the same

(Pair Atom Atom)

as

```
(cons 'celery 'carrot),
```
because that is how *vegetables* is **define**d.

 $35$  Why does it say (Pair Atom Atom) after **claim**?

# **The Law and Commandment of define Following (claim** *name X***) and (define** *name expr***), if** *expr* **is an** *X***, then** *name* **is an** *X* **and** *name* **is the same** *X* **as** *expr***.**



# **The Second Commandment of** cons

**If**  $p$  **is a** (Pair  $A$   $D$ ), then it is the same (Pair  $A$   $D$ ) as **(**cons **(car** *p***) (cdr** *p***)).**



#### **Names in Definitions**

**In Pie, only names that are not already used, whether for constructors, eliminators, or previous definitions, can be used with claim or define.**

There is an eliminator for Nat that can distinguish between Nats whose values are zero and Nats whose values have add1 at the top. This eliminator is called **which-Nat**. 44 How does **which-Nat** tell which of the two kinds of Nats it has? A **which-Nat**-expression has three arguments: *target*, *base*, and *step*: (**which-Nat** *target base step*)*.* **which-Nat** checks whether *target* is zero. <sup>45</sup> So **which-Nat** both checks whether a number is zero and removes the add1 from the top when the number is not zero.

If so,

the value of the **which-Nat**-expression is

the value of *base*.

Otherwise, if

*target* is (add1 *n*),

#### then

the value of the **which-Nat**-expression is

the value of (*step n*).

Indeed. What is the normal form of (**which-Nat** zero **'**naught (λ (*n*) **'**more))? 46 It must be **'**naught because the target, zero, is zero, so the value of the **which-Nat**-expression is *base*, which is **'**naught. Why is *n* written dimly? The dimness indicates that *n* is not used in the body of the λ-expression. Unused names are written dimly. Why isn't it used? **which-Nat** offers the possibility of using the smaller Nat, but it does not demand that it be used. But to offer this possibility, **which-Nat**'s last argument must accept a Nat. Okay.

#### **Dim Names**

**Unused names are written dimly, but they do need to be there.**

49

What is the value of

(**which-Nat** 4 **'**naught (λ (*n*) **'**more))? It must be **'**more because 4 is another way of writing (add1 3), which has add1 at the top. The normal form of

((λ (*n*) **'**more) 3) is **'**more*.*

*Doin' What Comes Naturally* 47

#### **The Law of which-Nat If** *target* **is a** Nat**,** *base* **is an** *X***, and** *step* **is an (***→* Nat *X***)***,* **then (which-Nat** *target base step***) is an** *X***.**

# **The First Commandment of which-Nat**

**If** (**which-Nat** zero *base step*) **is an** *X***, then it is the same** *X* **as** *base***.**

#### **The Second Commandment of which-Nat**

```
If (which-Nat (add1 n)
```
*base step*) is an  $X$ , then it is the same  $X$  as (*step n*).



Sounds good.

What should the normal form of

(*gauss* 5)

be?

The next step is to shrink the argument.

(*gauss* 4), which is 10, is almost (*gauss* 5), which is 15.

A white box around a gray box contains unknown code that wraps a known expression. What should be in this white box to get

(*gauss* 5)

from

(*gauss* 4)?



Next, make it work for any Nat that has add1 at the top.

If *n* is a Nat, then what should be in the box to get

```
(gauss (add1 n))
```
from

(*gauss n*)?



Remember that 5 is another way of writing (add1 4).

55 It should be  $0 + 1 + 2 + 3 + 4 + 5$ , which is 15.

5 must be added to (*gauss* 4), and our sum is 15.

56

57



The way to find  $(gauss (add1 n))$  is to replace 4 with *n* in the preceding frame's answer.



What about zero?





Another good question! Expressions such as Atom, Nat, and (Pair Atom Nat), are types, and each of these types is a  $\mathcal{U}.^{\dagger}$ *†U*, pronounced "you," is short for *universe*, because it describes *all* the types (except for itself). 70 Are types values? Some types are values. 71 Are all types values?

An expression that is a type is a value when it has a type constructor at its top. So far, we have seen the type constructors Nat, Atom, Pair, *→*, and *<sup>U</sup>*.

#### **Type Values**

**An expression that is described by a type is a value when it has a constructor at its top. Similarly, an expression that is a type is a value when it has a type constructor at its top.**

No.

(**car**

Which expressions are described by

(cons Atom **'**prune))

is a type, but not a value, because **car** is neither a constructor nor a type constructor.

(**car** (cons Atom **'**prune))?



l,

No, but *U* is a type. No expression can be its own type.*†*

<sup>†</sup>It would be possible for *U* to be a  $U_1$ , and  $\mathcal{U}_1$  to be a  $\mathcal{U}_2$ , and so forth. Thank you, Bertrand Russell (1872–1970), and thanks, Jean-Yves Girard  $(1947)$ . Here, a single  $\mathcal U$  is enough because  $U$  is not described by a type.

Is every expression that is a *U* also a type?

Yes, if *X* is a  $U$ , then *X* is a type.

Is every type described by *U*?

# **Every** *U* **Is a Type Every expression described by** *U* **is a type, but not every type is described by** *U***.**

78

77

Every expression described by *U* is a type, but not every expression that is a type is described by *U*.

79 Is

(cons Atom Nat)

a

80

(Pair *U U*)?

Yes, it is.

Define *Pear* to mean the type of pairs of Nats.

That must be

(**claim** *Pear U*) (**define** *Pear*

(Pair Nat Nat))

From now on, the meaning of *Pear* is (Pair Nat Nat)*.*

The name has only four characters, but the type has fourteen.



Is *Pear* the same type as (Pair Nat Nat), everywhere that it occurs? <sup>81</sup> Yes, by the Commandment of **define**.


### **Definitions Are Unnecessary**

**Everything can be done without definitions, but they do improve understanding.**

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Try this:



Is there a way to write the **claim** of *elim-Pear* without using *Pear* or *Pear-maker*?

Yes, by replacing *Pear-maker* and both *Pear*s with their respective definitions.

(**claim** *elim-Pear* (*→* (Pair Nat Nat) (*→* Nat Nat (Pair Nat Nat)) (Pair Nat Nat)))

The names *Pear* and *Pear-maker* were never necessary. Is the name *elim-Pear* necessary?

When are definitions necessary?  $\frac{94}{94}$ Never! That's right. *elim-Pear* is the same as the λ-expression that is its definition. What is the value of (*elim-Pear* (cons 3 17) (λ (*a d*) (cons *d a*)))? 95 How about ((λ (*pear maker*) (*maker* (**car** *pear*) (**cdr** *pear*))) (cons 3 17) (λ (*a d*) (cons *d a*)))?



Indeed.

Define *pearwise*<sup>+</sup>, so that

- (*pearwise* (cons 3 8)
- (cons 7 6))

is the same *Pear* as

(cons 10 14)*.*

100 First, split *anjou* and *bosc* into their respective parts, then add their first parts and their second parts.

```
(claim pearwise
  (→ Pear Pear
     Pear))
(define pearwise
  (λ (anjou bosc)
     (elim-Pear anjou
       (λ (a<sub>1</sub> d<sub>1</sub>)(elim-Pear bosc
             (λ (a<sub>2</sub> d<sub>2</sub>)
                (cons
                  (+a_1 a_2)((+ d_1 d_2))))))
```
It might be a good idea to take a break, then come back and re-read this chapter. Yes, that does seem like a good idea.

But how can we ever get to chapter 3?

By getting to chapter 3.  $102$ 

It's a good thing recursion is not an option.

#### **Go eat two tacos de nopales but look out for the spines.**

101

*This page is intentionally left blank.†*

*<sup>†</sup>*Recursion can be subtle. Apologies to Guy L. Steele Jr., whose thesis inspired this joke.







### **The Law of** the

**If** *X* **is a type and** *e* **is an** *X***, then (the** *X e***) is an** *X***.**



# **The Commandment of** the

**If** *X* **is a type and** *e* **is an** *X***, then (the** *X e***) is the same** *X* **as** *e***.**



**Eat your vegetables and enjoy your Pie.**



Here is the dashed definition of *gauss* from frame 2:59.

(**define** *gauss* (λ (*n*) (**which-Nat** *n*  $\Omega$ (λ (*n-1*)  $(+ (add1 n-1) (gauss n-1))))$ 

Now, it is time to define *gauss* properly, without explicit recursion.

Does that mean that we are about to define *gauss* like this?



Why are recursive definitions not an option? 2 Because **they are not an option**.

3

4

1

Exactly.

But some recursive definitions always yield a value.

That's right.



What is the value of  $(gauss\ 1)?$ <sup>5</sup>

It is zero.

Like *gauss*, right?

It is 1 because

1.  $(gauss (add1 zero))$  is the same as

2.  $\left| (+ 1 \text{ (gauss zero)} \right)$  is the same as<sup>†</sup>

3. (add1 (*gauss* zero))

*†*When expressions are vertically aligned with a bar to their left, assume that "is the same as" follows all but the last one. This kind of chart is called a "same as" chart.

Is that the value?  $6<sup>6</sup>$ 

Is there more to do? 3. (add1 (*gauss* zero)) 4.  $\vert$  (add1 zero)

#### **Sameness**

**If a "same as" chart could show that two expressions are the same, then this fact can be used anywhere without further justification. "Same As" charts are only to help build understanding.**





Both + and *gauss* are total.

# **Total Function**

**A function that always assigns a value to** *every* **possible argument is called a** *total function***.**



#### **The Law of iter-Nat If** *target* **is a** Nat**,** *base* **is an** *X***, and** *step* **is an (***→ <sup>X</sup> X***)***,* **then (iter-Nat** *target base step***) is an** *X***.**

## **The First Commandment of iter-Nat**

**If** (**iter-Nat** zero *base step*) **is an** *X***, then it is the same** *X* **as** *base***.**

## **The Second Commandment of iter-Nat**

```
If (iter-Nat (add1 n)
     base
    step)
is an X, then it is the same X as
  (step
    (iter-Nat n
      base
      step)).
```
*Eliminate All Natural Numbers!* 73



Thus far, we have referred to  $+$  as if it were completely understood, and assumed that it has a normal form, but there is no definition for  $+$ .

What should  $\div$ 's type be?

That's right.

If recursion were an option, then this would be a proper definition.



+ takes two Nats and returns a Nat.

(**claim** (*→* Nat Nat Nat))

<sup>25</sup> Defining  $+$  using **iter-Nat** requires a base and a step. The base is *j* because of this "same as" chart:

- 1.  $(+$  zero *j*)
- 2. *j*

Is there a good way to find the step?

How can + be defined with **iter-Nat**?

The step is based on the wrapper box in the recursive version of  $+$ . It describes how to change an almost-answer,  $+_{n-1}$ , into an answer.

Replace the gray box (which contains the recursion) with the argument to the step as the almost-answer. Remember the white box.

Here goes.

26



We can't define a new name unless all the names in both the type and the definition are already defined.*†*

27 And + refers to *step*-+, which is now defined. This definition deserves a solid box!

(**define** (λ (*n j*) (**iter-Nat** *n j step-* )))



*<sup>†</sup>* If definitions could refer to each other, then we could not guarantee that every defined function would be a total function.



 $(+$  (add1 *n-1*)  $\boxed{gauss_{n-1}}$ ) ())))







*†*We use **'**t and **'**nil as two arbitrary values. This may be familiar to Lispers (Thank you, John Mc-Carthy (1927–2011)), but *zerop* is called *zero?* in Scheme (Thanks, Gerald J. Sussman (1947–) and Guy L Steele (1954–)).

**which-Nat** is easy to explain, but **rec-Nat** can do anything that **which-Nat** (and **iter-Nat**) can do.

Why are the *λ*-variables in *step-zerop* called *n-1* and *zeropn-1*?

<sup>44</sup> The name  $n-1$  is once again chosen to suggest one less than *n* because it is one less than the target Nat, that is, the Nat expression being eliminated. The name *zeropn-1* suggests (*zerop n-1*).



Is (*zerop* 37) the same as (*zerop* 23)? <sup>48</sup>

```
Yes indeed.
 1. 'nil
 2. (step-zerop 22
       (rec-Nat 22
         't
         step-zerop))
 3. (rec-Nat (add1 22)
       't
       step-zerop)
  4. (zerop (add1 22))
```


Here it is.

(define <i>gauss</i>	
$(\lambda(n))$	
$(rec-Nat n$	
$step-gauss))$	

What is the base?

52 The base is the second argument to **rec-Nat**. In this case, it is 0, which is a Nat.







Are there more interesting examples of definitions using **rec-Nat**?

*†*Loosely speaking: we can't, but even if we were able to, it would be exhausting.

It can be used to define *∗ †* to mean multiplication. In other words, if *n* and *j* are Nats, then (*∗ n j*) should be the product of *n* and *j*. *†∗* is pronounced "times." 62 *∗* takes two Nats and their product is a Nat. So here is *∗*'s type. (**claim** *∗* (*→* Nat Nat Nat)) At each step,  $+$  adds one to the answer so far. What does *∗* do at each step? 63 *∗* adds *<sup>j</sup>*, its second argument, to the almost-answer. Here is *make-step-∗*, which yields a step function for any *j*. (**claim** *make-step-∗* (*→* Nat (*→* Nat Nat Nat))) (**define** *make-step-∗* (λ (*j*) (<sup>λ</sup> (*n-1 ∗n-1*)  $(+ j *_{n-1})))$ 64 That doesn't look like the preceding steps.

No matter what *<sup>j</sup>* is, *make-step-∗* constructs an appropriate *step*. This step takes two arguments because steps used with **rec-Nat** take two arguments, as in *step-zerop* from frame 46.

Now define *∗*.

Okay.

65

The argument to *make-step-∗* is *<sup>j</sup>*, which is added to the product at each step. The base is 0 because multiplying by zero is 0.



It may look as though *make-step-∗* is doing something new. It is a λ-expression that produces a new  $\lambda\text{-expression.}$  Instead of this two-step process, it is possible to collapse the nested  $\lambda$ s into a single  $\lambda$ .



*make-step-∗* produces a step for any given *j*. And, despite their seeming difference, *make-step-∗* and *step-∗* have the *same definition*.

That can't be the same definition. It has a three-argument λ-expression.



Indeed. Every function takes exactly one argument.

Defining functions that take multiple arguments as nested one-argument functions is called *Currying*. *†*

<sup>70</sup> Now the definition of *<sup>∗</sup>* deserves a box.

(**define** *∗* (λ (*n j*) (**rec-Nat** *n*  $\Omega$ (*step-∗ <sup>j</sup>*))))

Even though *step-∗* looks like a three-argument λ-expression, it can be given just one argument. **rec-Nat** expects that its *step* is a function that would get exactly two arguments.

*†*Thank you, Haskell B. Curry (1900–1982) and Moses Ilyich Schönfinkel (1889–1942).

Here are the first five lines in the chart for the normal form of (*∗* 2 29).

```
1. (∗ 2 29)
  2. ((λ (n j)
        (rec-Nat n
           0
           (step-∗ j)))
      2 29)
  3. (rec-Nat (add1
                 (add1 zero))
       \Omega(step-∗ 29))
  4. ((step-∗ 29)
      (add1 zero)
      (rec-Nat (add1 zero)
        \Omega(step-∗ 29)))
  5. ((λ (n-1 ∗n-1)
        (+ 29 *_{n-1})(add1 zero)
      (rec-Nat (add1 zero)
        0
        (step-∗ 29)))
Now, find its normal form.
```
Ah, Currying is involved.

71

```
6. ( + 29)(rec-Nat (add1 zero)
         \Omega(step-∗ 29)))
7. (+ 29)
       ((step-∗ 29)
        zero
        (rec-Nat zero
           \Omega(step-∗ 29))))
8. ( + 29)(+ 29)(rec-Nat zero
             \Omega(step-∗ 29))))
9. ( + 29 (+ 290)10. 58
```
Are any steps left out of this chart?

#### **The Law of rec-Nat If** *target* **is a** Nat**,** *base* **is an** *X***, and** *step* **is an (***→* Nat *<sup>X</sup> X***) then (rec-Nat** *target base step***) is an** *X***.**

## **The First Commandment of rec-Nat**

**If** (**rec-Nat** zero *base step*) **is an** *X***, then it is the same** *X* **as** *base***.**

### **The Second Commandment of rec-Nat**

```
If (rec-Nat (add1 n)
     base
    step)
is an X, then it is the same X as
  (step n
    (rec-Nat n
      base
      step)).
```


74

Very observant.

A shortcoming of types like Nat is that they don't say anything about *which* Nat was intended. Later, we encounter more powerful types that allow us to talk about *particular* Nats.*†*

So these powerful types prevent defining *five* to be 9 as in frame 2:36?

*<sup>†</sup>*Actually, the definition in frame 73 was supposed to be *factorial*. The oversight, however, survived unnoticed in more drafts than the authors would like to admit. We leave the task of correcting it to the reader.

Absolutely not. Types do not prevent foolishness like defining *five* to be 9. We can, however, 75 Interesting.

write *some* of our thoughts as types.

# **Go eat (+ 2 2) bananas, and rest up.**






(λ (*p*) (*elim-Pair* Nat Nat Nat *p* (λ (*a d*) *a*))))

Because *elim-Pair* has not yet been defined, the definition of *kar* is in a dashed box, however, nothing else is the matter with it.

In this definition, *elim-Pair* has the type Nat as its first three arguments. The first two specify the types of the **car** and the **cdr** of the Pair to be eliminated.*†* The third Nat specifies that the inner λ-expression results in a Nat.

What does the inner  $\lambda$ -expression mean?

*†*Thus, the types of the arguments *a* and *d* in the inner λ-expression are also Nat.

The inner λ-expression describes how to use the information in *p*'s value. That information is the **car** and the **cdr** of *p*.

The argument name *d* is dim because it is declared in the inner λ-expression, but it is not used, just as in frame 2:47.

Now define a similar function *kdr* that finds the **cdr** of a pair of Nats.

<sup>10</sup> Why is *d* dim?

<sup>11</sup> It's nearly the same as *kar*.

(**claim** *kdr* (*→* (Pair Nat Nat) Nat))

(**define** *kdr* (λ (*p*) (*elim-Pair* Nat Nat Nat *p* (λ (*a d*) *d*))))

This time, *a* is dim because it is not used in the inner λ-expression, while *d* is dark because it is used. Because *elim-Pair* is not yet defined, *kdr* is in a dashed box, just like *kar*.







The difference between <sup>Π</sup> and *→* is in the type of an expression in which a function is applied to arguments.

(*flip* Nat Atom)'s type is (*→* (Pair Nat Atom) (Pair Atom Nat))*.*

This is because when an expression described by a Π-expression is applied, the argument expressions replace the *argument names* in the *body* of the Π-expression.

28 How does the body of a Π-expression relate to the body of a λ-expression?

Both Π-expressions and λ-expressions introduce argument names, and the body is where those names can be used.

In this Π-expression,

<sup>30</sup> What is the *body* of a Π-expression?

<sup>29</sup> What are *argument names*?

```
(Π ((A U)
   (D U)(→ (Pair A D)
    (Pair D A))),
```
the argument names are *A* and *D*. Π-expressions can have one or more argument names, and these argument names can occur in the body of the Π-expressions.

In this Π-expression,

(Π ((*A U*)  $(D U)$ (*→* (Pair *A D*) (Pair *D A*)))*,*

the body is

$$
(\rightarrow (\text{Pair } A D)
$$
  
(Pair *D A*)).

It is the type of the body of the λ-expression that is described by the body of the Π-expression.

<sup>31</sup> What do the *A* and the *D* refer to in the Π-expression's body?

**The Intermediate Law of Application If** *f* **is a (Π ((***Y U***))** *X***) and** *Z* **is a** *U***, then (***f Z***) is an** *X* **where every** *Y* **has been consistently replaced by** *Z***.**

The *A* and the *D* in the body refer to specific types that are not yet known. No matter which two types *A* and *D* are arguments to the λ-expression that is described by the Π-expression, the result of applying that λ-expression is always an

(*→* (Pair *A D*) (Pair *D A*))*.*

Does that mean that the type of (*flip* Atom (Pair Nat Nat))

#### is

32

```
(→ (Pair Atom
      (Pair Nat Nat))
  (Pair (Pair Nat Nat)
    Atom))?
```
That's right.

(Π ((*A U*)  $(D U)$ (*→* (Pair *A D*) (Pair *D A*)))

Why is that the case?

33 The variables *A* and *D* are replaced with their respective arguments: Atom and (Pair Nat Nat).

34 Yes, because consistently renaming variables as in frame 2:21 does not change the meaning of anything.

and

Are

(Π ((*Lemon U*) (*Meringue U*)) (*→* (Pair *Lemon Meringue*) (Pair *Meringue Lemon*)))

the same type?

Are

$$
(\Pi ((A U)(D U))(→ (Pair A D)(Pair D A)))and
$$
(\Pi ((A U)(D U))(→ (Pair(car(cons A D)))(cdr(cons A D)))(Pair D A)))the same type?
$$
$$

Yes, because (**car** (cons *A D*)) and *A* are the same type, and (**cdr** (cons *A D*)) and *D* are the same type.

35

*Easy as Pie* 101



Could we have defined *flip* this way?  $- - - - - - - -$ (**claim** *flip* (Π ((*A U*)  $(D \hat{U})$ (*→* (Pair *A D*) (Pair *D A*))))

Here's a guess.

In this definition, the names in the outer λ-expression are different from the names in the Π-expression. That seems like it should not work. *A* is in the wrong place, and *C* is neither *A* nor *D*.

36

Is it now possible to define a single eliminator for Pair?

 $^{40}\,$  Yes. Shouldn't the type be  $(\Pi ((A U))$  $(D U)$  $(X, U)$ (*→* (Pair *A D*) (*→ A D X*) *X*))?

It looks a lot like the type in frame 14.

That's right.

What is the definition of *elim-Pair*?

 $^{41}$  How about this?

```
(claim elim-Pair
  (\Pi ((A \mathcal{U}))(D U)
      (X, \mathcal{U})(→ (Pair A D)
         (→ A D
            X)
       X)))
(define elim-Pair
 (λ (A D X)
    (λ (p f)
      (f (car p) (cdr p)))))
```
Now *kar* deserves a solid box.



<sup>42</sup> And so does *kdr*.



So does *swap*.

43 Right.

> (**define** *swap* (λ (*p*)

> > (*elim-Pair*



Π-expressions can have any number of arguments, and they describe λ-expressions that have the same number of arguments.

 $47$  How about this one? (λ (*A*) (λ (*a*) (cons *a a*)))?

What expressions have the type

 $(\Pi ((A U))$ (*→ <sup>A</sup>* (Pair *A A*)))?



*†* It is familiar from frame 2:19.

Here is a very similar definition.

---------------------(**claim** *twin-Atom* (*→* Atom (Pair Atom Atom))) (**define** *twin-Atom* (λ (*x*) (cons *x x*))) What is the value of

(*twin-Atom* **'**cherry-pie)?

#### 49 It is

(cons **'**cherry-pie **'**cherry-pie).

What is the matter with these definitions? Why don't they deserve solid boxes?

There is nothing specific to Nat or Atom about

(λ (*a*)

(cons *a a*))*.*

Instead of writing a new definition for each type, Π can be used to build a general-purpose *twin* that works for *any* type.

Here is the general-purpose *twin*.





50

What is  $(twin \text{ Atom})$ 's type? Consistently replacing every *Y* in (*→ <sup>Y</sup>* (Pair *Y Y*)) with Atom results in (*→* Atom (Pair Atom Atom))*.*

What is the relationship between *twin-Atom*'s type and (*twin* Atom)'s type?

53 *twin-Atom*'s type and (*twin* Atom)'s type are the same type.

Next, define *twin-Atom* using the general-purpose *twin*.

(**claim** *twin-Atom* (*→* Atom (Pair Atom Atom))) It can be done using the technique from frame 27.

(**define** *twin-Atom* (*twin* Atom))

Is (*twin-Atom* **'**cherry-pie) the same (Pair Atom Atom) as ((*twin* Atom) **'**cherry-pie)?  $55\,$ Yes, and its value, but also its normal form is (cons **'**cherry-pie **'**cherry-pie)*.* There's twice as much for dessert!

#### **Now go to your favorite confectionary shop and share a delicious cherry Π.**



## *Ceci n'est pas une serviette.†*

*<sup>†</sup>*Thank you, René François Ghislain Magritte (1898–1967).





### **The Law of** List

**If** *E* **is a type, then** (List  $E$ ) is a type.





Very good.  $21$ 

Yes, *rugbrød* is quite tasty! It does need something on top, though.

(List Atom)) (**define** *rugbrød* (:: **'**rye-flour (:: **'**rye-kernels (:: **'**water (:: **'**sourdough (:: **'**salt nil))))))

Okay, here goes. (**claim** *rugbrød*

20

Right answer; wrong reason.

*e* must be an *E* because in order to use an eliminator for (List *E*), we must know that everything in the list is an *E*.

Define *rugbrød†* to be the ingredients of

- rye kernels, soaked until soft,
- pure water,

Danish rye bread.

a Dane.

- active sourdough, and
- salt.

an Atom.

• whole-grain rye flour,

(List Atom), because each ingredient is

- The ingredients in *rugbrød* are:
- 

<sup>†</sup>Pronounced [ˈʁuˌbʁœð<sup>?</sup>]. If this is no help, ask

<sup>19</sup> What type should *rugbrød* have?

<sup>18</sup> What are the ingredients?



### **The Law of** nil

nil is a (List  $E$ ), no matter what type  $E$  is.

### **The Law of** ::

If  $e$  is an  $E$  and  $es$  is a (List  $E$ ), **then** (::  $e$   $es$ ) is a (List  $E$ ).



Those are two good examples.

With this definition (**claim** *step-* (*→* Atom (List Atom) Nat Nat)) (**define** *step-* (λ (*e es n*) (add1 *n*))) what is the value of (**rec-List** nil 0 *step-* )?

That's right.

That sounds *lækkert*!

30

31

A *kartoffelmad* is *rugbrød* with *toppings* and *condiments*.

(**claim** *toppings* (List Atom)) (**define** *toppings* (:: **'**potato (:: **'**butter*†* nil))) (**claim** *condiments* (List Atom)) (**define** *condiments* (:: **'**chives (:: **'**mayonnaise*†* nil)))

*†*Or your favorite non-dairy alternative.

#### **The Law of rec-List If** *target* **is a (**List *E***),** *base* **is an** *X***, and** *step* **is an**  $(\rightarrow E \text{ (List } E) X)$ *X***)***,* **then (rec-List** *target base step***) is an** *X***.**

## **The First Commandment of rec-List**

**If** (**rec-List** nil *base step*) **is an** *X***, then it is the same** *X* **as** *base***.**

### **The Second Commandment of rec-List**

```
If (rec-List (:: e es)
     base
     step)
is an X, then it is the same X as
  (step e es
    (rec-List es
      base
      step)).
```
It is! What is the value of (**rec-List** *condiments* 0 *step-* )? 32 Let's see. 1. (**rec-List** (:: **'**chives (:: **'**mayonnaise nil)) 0 step-2. (*step-* **'**chives (:: **'**mayonnaise nil) (**rec-List** (:: **'**mayonnaise nil)  $\Omega$ *step-* ())  $3.$  (add1) (**rec-List** (:: **'**mayonnaise nil)  $\Omega$ step-What is the normal form? Feel free to leave out the intermediate expressions. <sup>33</sup> The normal form is (add1 (add1 zero))*,* better known as 2. The **rec-List** expression replaces each :: in *condiments* with an add1, and it replaces nil with 0. What is a good name to fill in the box? 34 The name *length* seems about right. (**claim** *step-length* (*→* Atom (List Atom) Nat Nat)) (**define** *step-length* (λ (*e es length<sub>es</sub>*) (add1 *lengthes* )))



(Π ((*E U*)) (*→ <sup>E</sup>* (List *<sup>E</sup>*) Nat Nat)))

(λ (*E*)

(λ (*e es lengthes* ) (add1 *lengthes* )))) This uses the same technique as *step-∗* in frame 3:66 to apply *step-length* to *E*.

Now define *length*.

39 Passing *E* to *step-length* causes it to take three arguments.





That is a useful technique.

Now it is time to assemble a delicious *kartoffelmad* from a slice of bread, *toppings*, and *condiments*.

Define a function that appends two lists.

Is it possible to append a (List Nat) and a (List (Pair Nat Nat))?

 $No.$ 

All the entries in a list must have the same type.

### **List Entry Types**

45

**All the entries in a list must have the same type.**

As long as two lists contain the same entry type, they can be appended, no matter which entry type they contain.

What does this say about the type in *append*'s definition?

Exactly.

What are the rest of the arguments?

The type must be a Π-expression.



<sup>46</sup> There must be two (List  $E$ ) arguments. Also, the result is a (List *E*). From that, *append* must be a λ-expression.

<sup>43</sup> What should be the definition's type?

Here is the claim. Now start the definition.



It is a  $\lambda$ -expression, but the body remains a mystery.





47

Using the previous frame as an example, fill in the rest of *step-append*. *†*

(**define** *step-append* (λ (*E*) (λ (*e es appendes* ) ))) (**define** *append* (λ (*E*) (λ (*start end*) (**rec-List** *start end*  $(\mathsf{step}\text{-}append\;E))))$ 

*†*The expression (*step-append E*) should be a step for *append* when the list contains entries of type *E*. Be mindful of the Currying.

If *appendes* is

nil*,*

53

54

then the *step-append* should produce

(:: **'**rye-bread nil)*.*

If *appendes* is

(:: **'**rye-bread nil)*,*

then the *step-append* should produce

(:: **'**tomato (:: **'**rye-bread nil))*.* Finally, if *appendes* is

(:: **'**tomato (:: **'**rye-bread nil))*,*

then the *step-append* should produce

(:: **'**cucumber (:: **'**tomato (:: **'**rye-bread nil)))*.*

That is good reasoning.

What is the proper definition?

Now *append* deserves a solid box.

```
(define step-append
  (λ (E)
     (λ (e es append<sub>es</sub>)
       (:: e appendes ))))
(define append
  (λ (E)
     (λ (start end)
        (rec-List start
          end
           (\mathsf{step}\text{-}\mathsf{append}\;E))))
```
This definition of *append* is very much like  $+$ .

55 Is there an **iter-List**, like **iter-Nat**, and could it be used to define *append* ?

Nothing would stop us from defining **iter-List**, but there is no need, because **rec-List** can do everything that **iter-List** could do, just as **rec-Nat** can do everything that **iter-Nat** and **which-Nat** can do.

Okay, let's use the more expressive eliminators here.

It is also possible to define *append* in another way, replacing :: with something else.

Is that possible?

56

58

Yes, it is. Instead of using :: to "cons" entries from the first list to the front of the result, it is also possible to *snoc†* entries from the second list to the back of the result.

For example, the value of

```
(snoc Atom toppings 'rye-bread)
```

```
is
```

```
(:: 'potato
  (:: 'butter
    (:: 'rye-bread nil))).
```
What is *snoc*'s type?

*†*Thanks, David C. Dickson (1947–)

*snoc*'s type is

(**claim** *snoc*  $(\Pi ((E \mathcal{U}))$ (*→* (List *<sup>E</sup>*) *<sup>E</sup>* (List *E*))))

What must the step do?

The step must "cons" the current entry of <sup>59</sup> Oh, so it's just like *step-append*. the list onto the result.

Now define *snoc*.

Here is *snoc*.

60

61

62

```
(define snoc
  (λ (E)
    (λ (start e)
      (rec-List start
        (:: e nil)
         (step-append E)))))
```
Well done.

Now define *concat*, which should behave like *append* but use *snoc* in its step.

(**claim** *concat*  $(\Pi ((E \mathcal{U}))$ (*→* (List *<sup>E</sup>*) (List *<sup>E</sup>*) (List *E*))))

*concat*'s type is the same as *append*'s type because they do the same thing. In addition to using *snoc* instead of the List "cons" ::, *concat* must eliminate the second list.

```
(claim step-concat
  (\Pi ((E \mathcal{U}))(→ E (List E) (List E)
      (List E))))
(define step-concat
  (λ (E)
    (λ (e es concat<sub>es</sub>)
      (snoc E concat_{es} e))))(define concat
  (λ (E)
    (λ (start end)
      (rec-List end
         start
         (step-concat E)))))
```
A list can be reversed using *snoc* as well.

What should the type of *reverse* be?

*reverse* accepts a single list as an argument.

(**claim** *reverse*  $(\Pi ((E \ U))$ (*→* (List *<sup>E</sup>*) (List *E*)))) What should be done at each step?  $63$ 

At each step, *e* should be *snoc*'d onto the back of the reversed *es*.

```
(claim step-reverse
  (\Pi ((E \ U))(→ E (List E) (List E)
      (List E))))
```
Now define *step-reverse* and *reverse*.

64 Here they are.

```
(define step-reverse
  (λ (E)
    (λ (e es reversees )
      (snoc E reversees e))))
(define reverse
  (λ (E)
    (λ (es)
      (rec-List es
        nil†
         (step-reverse E)))))
```
*†*When using Pie, it is necessary to replace this nil with (the (List E) nil).

Now it is time for something *lækkert*.



What is *kartoffelmad*'s normal form?

65 It is

> (:: **'**chives (:: **'**mayonnaise (:: **'**potato (:: **'**butter (:: **'**rye-bread (:: **'**plate nil))))))*.*

It's a good thing we asked for the normal  $\degree$  Reversing lists is hungry work. form instead of the value. Otherwise, you'd have to assemble all but the **'**chives while eating it!

### **Have yourself a nice** *kartoffelmad***,**

**and get ready for more delicious Π.**

# **RUGBRØD**

#### **Day 1**

Mix about 150g sourdough, 400g dark whole rye flour, and 1L water in a bowl and mix until no flour clumps remain.

Add enough water to completely cover 500g whole or cracked rye kernels with water, and let them soak. Cover both bowls with a cloth and let them sit.

#### **Day 3**

Bake the bread at 180*◦* C for 90 minutes, or for 80 minutes in a convection oven.

Wrap the baked bread in a towel and allow it to cool slowly before tasting it.

#### **Day 2**

Take some of the dough, and save it in the fridge for next time. Drain the kernels. Mix one tablespoon salt, 450g rye flour and the soaked kernels into the dough.

Pour the dough into a Pullman loaf pan (or a proper rugbrød pan if you have one) and cover with a cloth.

#### **The Rest of Your Life**

If not baking weekly, feed the saved sourdough every week by throwing away half and adding fresh rye flour and water.

Make your bread your own by adding sunflower seeds, flax seeds, dark malt, pumpkin seeds, or whatever else strikes your fancy.

#### **KARTOFFELMAD**

Take a thin slice of rugbrød, approximately 0.75cm. Spread it with butter. Artfully arrange slices of cooled boiled new potato on the buttered bread, and top with mayonnaise and chives.

# **LÆKKERT!**




Just as types can be the outcome of evaluating an expression (as in frame 1:55), some types contain other expressions that are not themselves types.

8 Then (Vec Atom 3) is a type because Atom is a type and 3 is clearly a Nat.



Right. Is (vec:: **'**oyster vecnil) a (Vec Atom 1)?

13 Yes, because **'**oyster is an Atom and vecnil is a (Vec Atom zero)*.*

## **The Law of** Vec

**If** *E* **is a type and** *k* **is a** Nat**, then (**Vec *E k***) is a type.**

#### **The Law of** vecnil

vecnil **is a (**Vec *E* zero**).**

## **The Law of** vec::

If  $e$  is an  $E$  and  $es$  is a (Vec  $E$   $k$ ), **then** (vec::  $e$   $es$ ) is a (Vec  $E$  (add1  $k$ )). Is (vec:: **'**crimini (vec:: **'**shiitake vecnil)) a (Vec Atom 3)?  $14$  No, because it is not a list of precisely three atoms. How does this relate to frame  $11$ ? It is not a (Vec Atom 3) because (vec:: **'**shiitake vecnil) is not a (Vec Atom 2)*.* Why is (vec:: **'**shiitake vecnil) not a (Vec Atom 2)?  $^{16}$  If it were, then vecnil would have to be a (Vec Atom 1)*,* based on the description in frame 11. Why can't that be the case?  $17$ Because vecnil is a (Vec Atom zero)*,* and 1 is not the same Nat as zero. Why is 1 not the same Nat as zero? 18 Frame 1:100 explains that two Nats are the same when their values are the same, and that their values are the same when either both are zero or both have add1 at the top.







Here is *first*'s claim.

(**claim** *first* (Π ((*E U*) (*ℓ* Nat)) (*→* (Vec *<sup>E</sup>* (add1 *<sup>ℓ</sup>*)) *E*)))

What is new here?

After the argument name *ℓ*, it says Nat. Earlier, it always said *U* after argument names in Π-expressions.

The *E* in  
\n
$$
(\rightarrow
$$
 (Vec *E* (add1  $\ell$ ))  
\n*E*)

refers to whatever  $U$  is the first argument to *first*. Does this mean that the *ℓ* in (add1 *ℓ*) refers to whatever Nat is the second argument to *first*?



35

34

Precisely. The (add1 *ℓ*) ensures that the list that is the third argument to *first* has at least one entry.

Now define *first*.

Here it is, in a well-deserved solid box.

(**define** *first* (λ (*E ℓ*) (λ (*es*) (**head** *es*))))

What is the value of

(*first* Atom 3 (vec:: **'**chicken-of-the-woods (vec:: **'**chantrelle (vec:: **'**lions-mane (vec:: **'**puffball vecnil)))))? 36 It is **'**chicken-of-the-woods.

But why is the number of entries (add1 *ℓ*) instead of just *ℓ*?

There is no first entry to be found in vecnil, which has zero entries.

No matter what *ℓ* is, (add1 *ℓ*) can never be the same Nat as zero, so vecnil is not a (Vec *E* (add1 *ℓ*)).

We avoid attempting to define a non-total function by using a more specific type to rule out unwanted arguments.

## **Use a More Specific Type**

**Make a function total by using a more specific type to rule out unwanted arguments.**

38

The same definition could have been written with two nested Π-expressions.

```
(claim first
  (\Pi ((E U))(Π ((ℓ Nat))
      (→ (Vec E (add1 ℓ))
        E))))
(define first
  (λ (E)
    (λ (ℓ)
      (λ (es)
        (head es)))))
```
This would have been the same definition because Π-expressions with many argument names are shorter ways of writing nested Π-expressions with one argument name each.

Why would this be the same definition?

This definition could also have been written with three nested Π-expressions.

(**claim** *first*  $(\Pi ((E \mathcal{U}))$ (Π ((*ℓ* Nat)) (Π ((*es* (Vec *E* (add1 *ℓ*)))) *E*)))) (**define** *first* (λ (*E*) (λ (*ℓ*) (λ (*es*) (**head** *es*))))) Why would *this* have been the same definition?

Would it really have been the same definition?

The previous definition had an *→*, while this definition does not.

In fact,  $\rightarrow$ -expressions are a shorter way  $^{40}$  Ah, okay. of writing Π-expressions when the argument name is not used in the Π-expression's body.

*→* **and** <sup>Π</sup> **The type (***→ <sup>Y</sup> X***) is a shorter way of writing (Π ((***y Y***))** *X***) when** *y* **is not used in** *X***.**

# **The Final Law of** λ

**If**  $x$  is an  $X$  when  $y$  is a  $Y$ , then **(λ (***y***)** *x***) is a (Π ((***y Y***))** *X***)***.*



# **The Final First Commandment of** λ

**If two λ-expressions can be made the same**

```
(Π ((y Y))
 X),
```
**by consistently renaming their variables, then they are the same.**

#### **The Final Second Commandment of** λ  $\mathbf{F} \mathbf{f}$   $\mathbf{f}$   $\mathbf{f}$

If *f* is a  
\n
$$
(\Pi ((y Y))
$$
  
\n*X*),  
\nand *y* does not occur in *f*, then *f* is the same as  
\n $(\lambda (y)$   
\n(*f y*)).



What is that more specific type?  $43$ 

The argument must have vec:: at the top.

Because the **head** is not part of the tail, the resulting Vec is shorter.

(**claim** *rest* (Π ((*E U*) (*ℓ* Nat)) (*→* (Vec *<sup>E</sup>* (add1 *<sup>ℓ</sup>*))  $(Vec E \ell))$ 

Both **head** and **tail** are functions, and all functions are total. This means that they cannot be used with List because List does not rule out nil.

```
Here it is.
```

```
(define rest
  (λ (E ℓ)
    (λ (es)
      (tail es))))
```
Now define *rest*.

# **Save those mushrooms**

**the oven is hot, and it's almost time to bake the Π.**





# **Dependent Types**

**A type that is determined by something that is not a type is called a** *dependent type***.**



This extra argument, called the *motive*, *†* can be any

(*→* Nat *U*)*.*

An **ind-Nat**-expression's type is the motive applied to the target Nat.

*†*Thanks, Conor McBride (1973–).

It is. The motive explains *why* the target is to be eliminated.

What is the motive for *peas*?

So the motive is a function whose body is a *U*.

 $12$  That's a good question.

At least its type is clear.

(**claim** *mot-peas†* (*→* Nat *U*))

*†* "mot" is pronounced "moat."

#### **Use ind-Nat for Dependent Types**

**Use ind-Nat instead of rec-Nat when the rec-Nat- or ind-Natexpression's type depends on the target** Nat**. The ind-Natexpression's type is the motive applied to the target.**

13

Here is *mot-peas*.

(**define** *mot-peas* (λ (*k*) (Vec Atom *k*))) It is the  $U$ , and thus also the type, (Vec Atom zero)*.*

What is the value of (*mot-peas* zero)?





<sup>20</sup> Why does *mot-peas* appear twice in *step-peas*'s type?

Good question.

<sup>21</sup> It is (Vec Atom  $\ell$ -1).

What is the value of  $(mot\text{-}peas \ell-1)$ ?

**The Law of ind-Nat If** *target* **is a** Nat**,** *mot* **is an (***→* Nat *U***)***, base* **is a (***mot* zero**), and** *step* **is a (Π ((***n-1* Nat**))**  $\rightarrow$   $(mot n-1)$ **(***mot* **(**add1 *n-1***))))***,* **then (ind-Nat** *target mot base step***) is a (***mot target***).**

# **The First Commandment of ind-Nat**

**The ind-Nat-expression**

**(ind-Nat** zero *mot base*

*step***)**

**is the same (***mot* zero**) as** *base***.**



This is *peasℓ-1*'s type, which describes a list containing *ℓ-1* peas. What is the value of (*mot-peas* (add1 *ℓ-1*))*,* and what does it mean?  $22$  It is (Vec Atom (add1 *ℓ-1*))*,* which describes a list containing (add1 *ℓ-1*) peas.

# **Induction on Natural Numbers**

**Building a value for any natural number by giving a value for zero and a way to transform a value for** *n* **into a value for** *n* + 1 **is called** *induction on natural numbers***.**



*mot-peas* and *step-peas*.

- - (**define** *peas*
		- (λ (*how-many-peas*) (**ind-Nat** *how-many-peas mot-peas* vecnil *step-peas*)))

What is the value of (*peas* 2)?

Here are the first two steps.

```
1. (peas
     (add1
       (add1 zero)))
2. (ind-Nat (add1
              (add1 zero))
     mot-peas
     vecnil
     step-peas)
3. (step-peas (add1 zero)
     (ind-Nat (add1 zero)
       mot-peas
       vecnil
       step-peas))
```
Now, find its value. Remember that arguments need not be evaluated.

26 Here it is,

27

```
4. (vec:: 'pea
     (ind-Nat (add1 zero)
       mot-peas
       vecnil
       step-peas)).
```
And finally, we find its normal form,

,

```
5. (vec:: 'pea
       (step-peas zero
         (ind-Nat zero
           mot-peas
           vecnil
           step-peas)))
  6. (vec:: 'pea
       (vec:: 'pea
         (ind-Nat zero
           mot-peas
           vecnil
           step-peas)))
  7. (vec:: 'pea
       (vec:: 'pea vecnil)),
which is normal.
```
If the motive's argument is dim, then **ind-Nat** works just like **rec-Nat**. Define a function *also-rec-Nat* using **ind-Nat** that works just like **rec-Nat**.



The type does not depend on the target, so *k* is dim.



Just as *first* finds the first entry in a list, *last* finds the last entry.

What type should *last* have?

The list must be non-empty, which means that we can use the same idea as in *first*'s type.





*E*)))

28

*It All Depends On the Motive* 151

What is the definition of *base-last*? It uses **head** to obtain the only entry in a (Vec Atom (add1 zero))*.* (**define** *base-last* (λ (*E*) (λ (*es*) (**head** *es*)))) This is the first time that the base is a function. According to the motive, both the base and the step's almost-answer are functions. When the base is a function and the step transforms an almost-function into a function, the **ind-Nat**-expression constructs a function as well. Are  $λ$ -expressions values? Yes, because  $\lambda$  is a constructor. 36 Functions are indeed values. The **ind-Nat**-expression's type is the motive applied to the target, which is the Nat being eliminated. What is the target Nat when the base is reached? 37 It is zero. That is what it means to be the base. The motive applied to zero should be the base's type. Find an expression that can be used for the motive. 38 How about (Π ((*E U*) (*k* Nat))  $(\rightarrow$  (Vec *E* (add1 *k*)) *E*))? Filling in *E* with the entry type and *k* with zero yields the base's type.

34

# **ind-Nat's Base Type**

**In ind-Nat, the base's type is the motive applied to the target** zero**.**

That's close, but not quite correct.

The motive for **ind-Nat** should be applied to zero, but applying a Π-expression doesn't make sense. The motive for **ind-Nat** is a function, not a function's type.

Oh, so it must be (λ (*E k*) (*→* (Vec *<sup>E</sup>* (add1 *<sup>k</sup>*)) *E*))*,*

which can be applied to the entry type and zero to obtain the base's type.



(**claim** *mot-last* (*→ <sup>U</sup>* Nat *U*))

Here it is.

40

(**define** *mot-last* (λ (*E k*) (*→* (Vec *<sup>E</sup>* (add1 *<sup>k</sup>*)) *E*)))

What is the type and value of (*mot-last* Atom)?

The type is (*→* Nat *U*) and the value is (λ (*k*) (*→* (Vec Atom (add1 *<sup>k</sup>*)) Atom))*.*

What does this resemble?

*twin-Atom* from frame 4:54. Applying *mot-last* to a *U* results in a suitable motive for **ind-Nat**.



from the (add1 *ℓ-1*) passed to *mot-last*.

What is the step's type? The step's type must be (*→* (*→* (Vec *<sup>E</sup>* (add1 *<sup>ℓ</sup>-1*)) *E*) (*→* (Vec *<sup>E</sup>* (add1 (add1 *ℓ-1*))) *E*)) because the step must construct a (*mot-last E* (add1 *ℓ-1*)) from a (*mot-last E ℓ-1*)*.* How can that type be explained in prose? The step transforms a *last* function for *ℓ* into a *last*

function for

(add1 *ℓ*)*.*

# **ind-Nat's Step Type**

**In ind-Nat, the step must take two arguments: some** Nat *n* **and an almost-answer whose type is the motive applied to** *n***. The type of the answer from the step is the motive applied to (**add1 *n***). The step's type is:**

> **(Π ((***n* Nat**))**  $\left(\rightarrow$   $\left(mot\right)n\right)$ **(***mot* **(**add1 *n***))))**

Here is *step-last*'s claim.



Now define *step-last*.

 $last_{\ell-1}$  is almost the right function, but only for a list with *ℓ-1* entries, so it accepts the **tail** of a list with (add1  $\ell$ -1) entries as an argument.

(**define** *step-last* (λ (*E ℓ-1*) (λ (*lastℓ-1*) (λ (*es*) (*lastℓ-1* (**tail** *es*))))))

What is *es*'s type in the inner λ-expression?

*es* is a (Vec *E* (add1 (add1 *ℓ-1*)))*.*

Why is that  $eS$ 's type?  $51$ 

The whole inner λ-expression's type is (*mot-last E* (add1 *ℓ-1*))*,* and that type and (*→* (Vec *<sup>E</sup>* (add1 (add1 *ℓ-1*))) *E*) are the same type. Thus, the argument to the λ-expression, namely *es*, is a (Vec *E* (add1

(add1 *ℓ-1*)))*.*

Clever. What is (**tail** *es*)'s type? <sup>52</sup> (**tail** *es*)'s type is (Vec *E* (add1 *ℓ-1*))*,* which is the type of a suitable argument for the almost-ready function.

49

50



Is that the normal form?  $56$ No, there are a few more steps. 7. ((λ (*es*) (**head** *es*)) (**tail** (vec:: **'**carrot (vec:: **'**celery vecnil)))) 8. (**head** (**tail** (vec:: **'**carrot (vec:: **'**celery vecnil)))) 9. (**head** (vec:: **'**celery vecnil)) 10. **'**celery Excellent. Now take a quick break and have some fortifying mushroom pot pie. 57 That sounds like a good idea. Guess what *drop-last* means. 58 Presumably, it drops the last entry in a Vec. Good guess! What is (*drop-last* Atom 3 vecnil)? 59 It is not described by a type, for the same reason that (*first* Atom 3 vecnil)*,* (*last* Atom 3 vecnil)*,* and (*rest* Atom 3 vecnil) aren't described by types. The type must contain a Vec with an add1 in it.

That's solid thinking. What is *drop-last*'s type? *drop-last* shrinks a list by one. (**claim** *drop-last* (Π ((*E U*) (*ℓ* Nat)) (*→* (Vec *<sup>E</sup>* (add1 *<sup>ℓ</sup>*)) (Vec *E ℓ*)))) What is *base-drop-last*? The base finds the *drop-last* of a single-entry list, which is vecnil because the last entry is the only entry. (**claim** *base-drop-last*  $(\Pi ((E \ U))$ (*→* (Vec *<sup>E</sup>* (add1 zero)) (Vec *E* zero)))) (**define** *base-drop-last* (λ (*E*) (λ (*es*) vecnil))) Would this definition of *base-drop-last* also work? (**define** *base-drop-last* (λ (*E*) (λ (*es*) (**tail** *es*)))) 62 It always has the same value, but it does not convey the idea as clearly. The intention is that *base-drop-last* ignores the last entry in the list. That sounds right. Why doesn't it deserve a solid box?  $63$  Getting the right answer is worthless if we do not *know* that it is correct. Understanding the answer is at least as important as having the correct answer.

60

# **Readable Expressions**

**Getting the right answer is worthless if we do not** *know* **that it is correct. Understanding the answer is at least as important as having the correct answer.**



How should *step-drop-last* be defined? <sup>67</sup>

*step-drop-last* keeps the head around.

```
(define step-drop-last
  (λ (E ℓ-1)
    (λ (<i>drop-last</i><sub>ℓ-1</sub>)(λ (es)
          (vec:: (head es)
             (drop-lastℓ-1 (tail es)))))))
```


Yes, *drop-last* is now defined.

Sometimes, it can be convenient to find a function that can be used later. For example, (*drop-last* Atom 2) finds the first two entries in any three-entry list of Atoms.

Show how this works by finding the value of

(*drop-last* Atom (add1 (add1 zero)))*.* Here's the chart to find the value.

```
1. (drop-last Atom
     (add1
       (add1 zero)))
2. (ind-Nat (add1
              (add1 zero))
     (mot-drop-last Atom)
     (base-drop-last Atom)
     (step-drop-last Atom))
3. (step-drop-last Atom (add1 zero)
     (ind-Nat (add1 zero)
       (mot-drop-last Atom)
       (base-drop-last Atom)
       (step-drop-last Atom)))
4. (λ (es)
    (vec:: (head es)
       ((ind-Nat (add1 zero)
          (mot-drop-last Atom)
          (base-drop-last Atom)
          (step-drop-last Atom))
        (tail es))))
```
That's right—λ-expressions are values. To find the normal form, more steps are necessary. Here's the first one.

5. (λ (*es*) (vec:: (**head** *es*) ((*step-drop-last* Atom zero (**ind-Nat** zero (*mot-drop-last* Atom) (*base-drop-last* Atom) (*step-drop-last* Atom))) (**tail** *es*))))

Now find the normal form.

In step 6, *es* has been consistently renamed to *ys* to make it clear that the inner λ-expression has its own variable.

71

```
6. (λ (es)
     (vec:: (head es)
       ((λ (ys)
          (vec:: (head ys)
             ((ind-Nat zero
                (mot-drop-last Atom)
                (base-drop-last Atom)
                (step-drop-last Atom))
              (tail ys))))
        (tail es))))
```
It is not necessary to rename *es* to *ys*, because variable names always refer to their closest surrounding  $\lambda$ , but it's always a good idea to make expressions easier to understand.

Here are two more steps.

7. 
$$
(\lambda \text{ (es)} \text{ (vec: } (\text{head es}) \text{ (vec: } (\text{head etail es}))
$$

\n $(\text{end-Nat zero} \text{ (mod-100-last} \text{ Atom})$ 

\n $(\text{base-drop-last} \text{Atom})$ 

\n $(\text{step-drop-last} \text{Atom})$ 

\n $(\text{tail (tail es)}))$ 

\n8.  $(\lambda \text{ (es)} \text{ (vec: } (\text{head es}) \text{ (vec: } (\text{head (tail es})))))$ 

\n $(\text{base-drop-last} \text{Atom})$ 

\n $(\text{base-drop-last} \text{Atom})$ 

\n $(\text{base-drop-last} \text{Atom})$ 

\n $(\text{tail (tail es)})$ 

\n $(\text{base-drop-last} \text{Atom})$ 

\n $(\text{tail (tail es)}))$ 

Almost there.

72

9. 
$$
\begin{array}{|l|l|}\n\hline\n(0, 0, 0) & (vec: (head es) & (vec: (head es)) & (left (tail es)) \\
\hline\n& (0, 0, 0, 0) & (right (set) & (right (set)) & (right (set) & (set) &
$$

The normal form is much easier to understand than the starting expression!

*C'est magnifique!* Bet you're tired.

73 Indeed. And hungry, too.

#### **Eat the rest of that pot pie,**

**and head to a café if you're still hungry, and re-read this chapter in a relaxed ambience.**




When Pie replies with the type that is expected for a TODO, it also includes the types of the variables that can be used at the TODO's position.

The n : Nat above the line means that the variable *n* is a Nat.



6



Now replace the final TODOs.

 $14$  Here is the final definition.

```
(claim peas
  (Pi ((n Nat))
    (Vec Atom n)))
(define peas
  (λ (n)
    (ind-Nat n
      (λ (k)
        (Vec Atom k))
      vecnil
      (λ (n-1 peas-of-n-1)
        (vec:: 'pea
          peas-of-n-1)))))
```
## **Go eat a mushroom pot pie that contains n peas,**

**one delicious bite at a time.**





What is the normal form of (*incr* 3)?

That normal form takes a few more steps. The first steps are to find the value.

```
1. (iter-Nat 3
      1
      (+ 1))2. ( + 1)(iter-Nat 2
        1
        (+ 1))3. (add1)
      (iter-Nat 2
        1
        (+ 1))
```
That is indeed the value. But what is the normal form? Here's a few more steps.

4. (add1  $(+1)$ (**iter-Nat** (add1 zero) 1  $(+ 1))))$ 5. (add1 (add1 (**iter-Nat** (add1 zero)

> 1  $(+ 1))))$

The normal form is 4.

7

8

```
6. \vert (add1)
      (add1
        (+1)(iter-Nat zero
            1
            (+ 1))))7. (add1
      (add1
        (add1
          (iter-Nat zero
            1
            (+ 1))))8. (add1
      (add1
        (add1
          1)))
```
They both find the same answer, no matter what the argument is.

What is the relationship between

 $(+ 1)$ 

and

*incr*?



An expression  $( = X from to)$ is a type if *X* is a type, *from* is an *X*, and *to* is an *X*.

 $12$  Is this another way to construct a dependent type?

## **The Law of**

**An expression**

 $($   $\equiv$  *X from to* $)$ 

**is a type if** *X* **is a type,** *from* **is an** *X***, and** *to* **is an** *X***.**

 $Yes. = is another way to construct a$ dependent type, because *from* and *to* need not be types.

 $13$  Okay.

Because *from* and *to* are convenient names, the corresponding parts of an -expression are called the from and the TO.

# **Reading** from **and** to **as Nouns**

**Because** *from* **and** *to* **are convenient names, the corre**sponding parts of an  $=$ -expression are referred to as the from **and the** to**.**









## **The Law of** same

**The expression** (same  $e$ ) is an ( $=X$   $e$   $e$ ) if  $e$  is an  $X$ .





Yes,

 $37$  Why is this so important?

(same (*incr* 3)) is a proof of

 $( = Nat (+ 2 2) 4).$ 

The Law of same uses *e* twice to require that

the FROM is the same  $X$  as the TO.

With the type constructor  $=$  and its constructor same, expressions can now state ideas that previously could only be judged.*†*

*<sup>†</sup>*Creating expressions that capture the ideas behind a form of judgment is sometimes called *internalizing* the form of judgment.







Is every expression that contains a variable neutral?

## **Neutral Expressions**

**Variables that are not defined are neutral. If the target of an eliminator expression is neutral, then the eliminator expression is neutral.**

No. The body of the λ-expression (λ (*x*) (add1 *x*)) contains the variable  $x$ , but  $\lambda$ -expressions are values, not neutral expressions. 50 But if the *whole* expression were just (add1  $x$ ), then it would be neutral because it would contain the neutral *x*. Are neutral expressions normal?



Yes.

Because of the Second Commandment of cons from page 44, if *p* is a

(Pair *A D*)*,*

then *p* is the same as

(cons (**car** *p*) (**cdr** *p*))*.*

For the very same reason, the only normal forms for pairs are expressions with cons at the top, so there are no neutral pairs that are normal.

<sup>56</sup> Where do neutral expressions come from?



58

Judgments, like

(*incr n*) is the same Nat as (add1 *n*), can be mechanically checked using relatively simple rules. This is why judgments are a suitable basis for knowledge.

Expressions, however, can encode interesting patterns of reasoning, such as using induction to try each possibility for the variable in a neutral expression.

Does this mean that induction can be used to prove that  *and*  $(*add1* n)$ are equal, even though they are not the same?

Yes, using **ind-Nat** because the type depends on the target.

```
(define incr=add1
 (λ (n)
    (ind-Nat n
      mot-incr=add1
      base-incr=add1†
      step-incr=add1)))
```
What is the type of *base-incr=add1*?

*†*Names like *base-incr=add1* should be read "the base for *incr=add1*," not as "*base-incr* equals add1."

The base's type in an **ind-Nat**-expression is the motive applied to zero. (*incr* zero) is *not* neutral, and its normal form is (add1 zero) as seen in frame 5, so it is the same Nat as (add1 zero).

(**claim** *base-incr=add1*  $($  Nat (*incr* zero) (add1 zero))) (**define** *base-incr=add1* (same (add1 zero)))

Now abstract over the constant zero in *base-incr=add1*'s type to define *mot-incr=add1*.

Each zero becomes *k*.

59

60

(**claim** *mot-incr=add1* (*→* Nat *U*)) (**define** *mot-incr=add1* (λ (*k*)  $($  Nat *(incr k*)  $(\text{add1 } k))))$ 

Following the Law of **ind-Nat**, what is *step-incr=add1*'s type?

Use a dashed box for now.

```
61 It is found using mot-incr=add1. But
why is it in a dashed box?
(claim step-incr=add1
  (Π ((n-1 Nat))
    (→ (mot-incr=add1 n-1)
       (mot-incr=add1 (add1 n-1)))))
```
Solid boxes are used when the final version of a claim or definition is ready. Even though this is the correct type, it can be written in a way that is easier to understand.

What is that easier way of writing it?

Here is another way to write *step-incr=add1*'s type.

 $63$  Why is that the same type?

(**claim** *step-incr=add1* (Π ((*n-1* Nat))  $(\rightarrow \mathcal{A} = \mathbb{N})$ at (*incr n-1*) (add1 *n-1*))  $($   $=$  Nat (*incr* (add1 *n-1*)) (add1  $(\text{add1 } n-1))))$ 

Because

(*mot-incr=add1 n-1*)

and

#### $($  Nat (*incr n-1*) (add1 *n-1*))

are the same type.*†*

What is the value of

(*mot-incr=add1* (add1 *n-1*))?

*†*This uses the fourth form of judgment.

How can that type be read as a statement?

 $65\,$ Hard to say.

64

The value is  $($  Nat (*incr*

(add1

(add1 *n-1*))

(add1 *n-1*)))*,* which is the other type in the *→*-expression in frame 63.

How can *→*-expressions be read as statements?

The expression

$$
\begin{array}{c}\n(\to X) \\
Y)\n\end{array}
$$

can be read as the statement,

"if *X*, then *Y*."

This works because its values are total functions that transform *any* proof of *X* into a proof of *Y*.

Here goes.

66

The step's type is a Π-expression, which means that the statement starts with "every." After that is an *→*, which can be read as "if" and "then." And  $=$  can be read as "equals."

## **"If" and "Then" as Types**

**The expression**

**(***→ <sup>X</sup> Y***)**

**can be read as the statement,**

**"if** *X* **then** *Y***."**

```
How can step-incr=add1's type be read as
a statement?
                                            67
"For every Nat n,
                                              if
                                                (mcr n) equals (add1 n),
                                              then
                                                (incr (add1 n))
                                              equals
                                                (add1 (add1 n))."
```
Unlike previous statements, to prove *this* statement, we must observe something about *incr*.

What is the normal form of

(*incr*

```
(add1 n-1))?
```
The **iter-Nat** gets stuck on *n-1*, but an add1 does make it to the top.

68

```
1. (incr
      (add1 n-1))
2. (iter-Nat (add1 n-1)
      1
      (+ 1)3. \mid (+1)(iter-Nat n-1
        1
        (+ 1))4. (add1
      (iter-Nat n-1
        1
        (+ 1))5. (add1
      (iter-Nat n-1
        1
        (λ (x)
           (\text{add1 } x))))
```
In other words, (*incr* (add1 *n-1*)) is the same Nat as (add1 (*incr n-1*)) because (*incr n-1*) is the same Nat as (**iter-Nat** *n-1* 1 (λ (*x*)

(add1 *x*)))*.*

This is the observation.

<sup>69</sup> Okay, so the type of *step-incr=add1* can also be written this way. There is a gray box around the part that is different from the version in frame 63.

(**claim** *step-incr=add1* (Π ((*n-1* Nat))  $(\rightarrow \mathcal{A}) = \mathbb{N}$ at (*incr n-1*) (add1 *n-1*))  $($  Nat (add1 (*incr n-1*))  $(\text{add}1)$  $(\text{add1 } n-1))))$  The box is now solid because it is easy to see why this type makes sense. If two Nats are equal, then one greater than both of them are also equal.

Okay. But how can it be made true with a proof?



70

Returning to the problem at hand,

(**cong** *target f*) is used to transform both expressions that *target* equates using *f*.

If *f* is an  $(\rightarrow X)$ *Y*) and *target* is an  $( = X from to),$ then (**cong** *target f*) is an  $(= Y (f from) (f to)).$ 

This diagram shows how **cong** is used.



How can **cong** be used to complete the

definition of *step-incr=add1*?

### **The Law of cong**

75

```
If f is an
  (→ X
    Y)
and target is an (= X from to),
then (cong target f) is an (= Y(f from) (f to)).
```
74 Is there another way to look at **cong**?



It is now possible to define *incr=add1*.

81 The motive, the base, and the step are now defined, so the previous definition of *incr=add1* in frame 59 is now solid.

(**define** *incr=add1* (λ (*n*) (**ind-Nat** *n mot-incr=add1 base-incr=add1 step-incr=add1*)))

82

83

84

Another one!

It's time for another sandwich: (*sandwich* **'**hero)*.*

Yes, another one.

Why is **ind-Nat** needed in the definition of *incr=add1*, but not in the definition of *+1=add1*?

Because the normal form of (*incr n*) is the neutral expression in frame 45, but based on the definition of  $+$ , the normal form of  $(+ 1 n)$  is (add1 *n*).

Neutral expressions are those that cannot yet be evaluated, but replacing their variables with values could allow evaluation.

What is the type of (*incr=add1* 2)?

The expression (*incr=add1* 2) is an  $($  Nat *(incr* 2) *(add1 2)*). In other words, it is an  $($  Nat 3 3) because (*incr* 2) is not neutral. What is the normal form of (*incr=add1* 2)?

85 Here's the start of the chart.

- 1. (*incr=add1* 2)
- 2. (**ind-Nat** (add1 1) *mot-incr=add1 base-incr=add1 step-incr=add1*) 3. (*step-incr=add1* 1 (**ind-Nat** 1 *mot-incr=add1 base-incr=add1 step-incr=add1*))
- 4. (**cong** (**ind-Nat** (add1 0) *mot-incr=add1 base-incr=add1 step-incr=add1*)  $(+ 1)$

How is a **cong**-expression evaluated?

Like other eliminators, the first step in evaluating a **cong**-expression is to evaluate its target. If the target is neutral, the whole **cong**-expression is neutral, and thus there is no more evaluation.

What if the target is not neutral?

If the target is not neutral, then its value has same at the top because same is the only constructor for  $=$ -expressions.

Okay, the next step in finding the normal form is to find the value of **cong**'s target.

The value of (**cong** (same *x*) *f*) is

(same (*f x*)).

**ind-Nat**'s target has add1 at the top, so the next step is to use the step.

5. (**cong** (*step-incr=add1* 0 (**ind-Nat** zero *mot-incr=add1 base-incr=add1 step-incr=add1*))  $(+ 1)$ 

88 The next **ind-Nat**'s target is zero.

```
6. (cong (cong base-incr=add1
            (+ 1))(+ 1)7. (cong (cong (same (add1 zero))
            (+ 1)(+ 1)8. (cong (same ((+1) (add1 zero)))
      (+ 1)9. (cong (same (add1 (add1 zero)))
      (+ 1)10. (same
      ((+1)(add1
         (\text{add1 zero})))11. (same
      (add1
        (add1
          (\text{add1 zero})))
```

```
The Commandment of cong
If x is an X, and f is an
 (→ X
   Y),
then (cong (same x) f) is the same
 ( \equiv Y(f \ x) (f \ x))as
 (same (f x)).
```
The interplay between judging sameness and stating equality is at the heart of working with dependent types. This first taste only scratches the surface.

But what about my stomach? There's really only space for one sandwich.

Today's your lucky day!

(**claim** *sandwich* (*→* Atom Atom)) (**define** *sandwich* (λ (*which-sandwich*) **'**delicious))

<sup>90</sup> Oh, what a relief! There *is* just one sandwich: (same **'**delicious)

is a proof that

89

(*sandwich* **'**hoagie),

(*sandwich* **'**grinder),

(*sandwich* **'**submarine), and

(*sandwich* **'**hero),

are all equal.

## **Enjoy your sandwich, but if you're full, wrap it up for later.**

**This page makes an excellent sandwich wrapper.**







## **The Law of replace**

```
If target is an
 (= X from to),mot is an
 (→ X
   U),
and base is a
 (mot from)
then
 (replace target
    mot
   base)
is a
 (mot to).
```
That's right.

But it could also be defined using **replace**.

What is the **claim** again?

<sup>12</sup> Using the observation about *incr* in frame 8:68, the add1 is already on the outside of the *incr* as if it were ready for **cong**.

(**claim** *step-incr=add1* (Π ((*n-1* Nat))  $(\rightarrow \, (=$  Nat (*incr n-1*) (add1 *n-1*))  $($  Nat (add1 (*incr n-1*)) (add1  $(\text{add1 } n-1))))$  Here is the start of a definition using **replace**.

(**define** *step-incr=add1* (λ (*n-1*) (λ (*incr=add1n-1*) (**replace** *incr=add1n-1* ))))

The target is *incr=add1n-1*, which is the only available proof of equality here.

To find the motive, examine the **replace**-expression's type.

Look for the TO of the target's type.

```
The target, incr=add1n-1, is an
  ( Nat
    (incr n-1)
    (add1 n-1)).
The whole replace-expression should be
an
  ( Nat
```

```
(add1
  (incr n-1))
(add1
  (add1 n-1))).
```
13

14

The TO is (add1 *n-1*), which is certainly in the **replace**-expression's type.

$$
\begin{array}{l}\n(=\text{Nat} \\
(\text{add1} \\
(\text{incr } n\text{-}1)) \\
(\text{add1} \\
(\text{add1 } n\text{-}1))\n\end{array}
$$

The motive is used to find the types of both the base and the whole **replace**-expression. The base's type is found by placing the target's type's FROM in the gray box, while the entire expression's type is found by placing the target's type's TO in the gray box.



15 An expression that is missing a piece can be written as a λ-expression.

To find the motive, abstract over the to of the target's type with a  $\lambda$ .

```
That gives this expression:
  (λ (k)
    ( Nat
      (add1
        (incr n-1))
      (add1
        k))).
```
16

17

But if **replace** replaces the from with the TO, why should we abstract over the TO, rather than the FROM?

The base's type is found by applying the motive to the from of the target's type. So, in this case, it is

1. 
$$
((\lambda (k))
$$
\n
$$
(= Nat
$$
\n
$$
(add1
$$
\n
$$
(incr n-1))
$$
\n
$$
(add1
$$
\n
$$
(k)))
$$
\n
$$
(incr n-1))
$$
\n2. 
$$
=(= Nat
$$
\n
$$
(add1
$$
\n
$$
(incr n-1))
$$
\n
$$
(add1
$$
\n
$$
(incr n-1)))
$$

Applying the motive to an argument is like filling in the gray box.

$$
(= Nat\n (add1\n (incr n-1))\n (add1\n (incr n-1))).
$$
Now that we know the base's type, what is the base?

18

```
The base is
  (same
    (add1
      (incr n-1))),
and leads to
(define step-incr=add1
  (λ (n-1)
     (λ (incr=add1n-1)
       (replace incr=add1n-1
         (same (add1 (incr n-1)))))))
```
Now define the motive.  $19$ 

The motive takes *n-1* as an argument, just as *step-∗* takes *<sup>j</sup>* as an argument.

```
(claim mot-step-incr=add1
  (→ Nat Nat
    U))
(define mot-step-incr=add1
  (λ (n-1 k)
    ( Nat
      (add1
        (incr n-1))
      (add1
        k))))
```
Finally, complete the definition from frame 17.

20 Because *step-incr=add1* is already defined in chapter 8, this remains in a dashed box.

(**define** *step-incr=add1* (λ (*n-1*) (λ (*incr=add1n-1*) (**replace** *incr=add1n-1* (*mot-step-incr=add1 n-1*) (same (add1 (*incr n-1*))))))) Yes, only one definition for each claim.

Now, define *double* to be a function that replaces each add1 in a Nat with two add1s.

(**claim** *double* (*→* Nat Nat))

<sup>21</sup> This is a job for **iter-Nat**. The step is  $(+ 2)$  because the normal form of  $(+ 2)$  is (λ (*j*) (add1

 $(\text{add1 } j))$ .

(**define** *double* (λ (*n*) (**iter-Nat** *n* 0  $(+ 2))))$ 



#### (**claim** *twice* (*→* Nat Nat))

Very perceptive.

Why is this claim true?

 $^{22}$  How about this?

(**define** *twice* (λ (*n*)  $(+ n n))$ 

23

It happens to be the case that, "For every Nat *n*, (*twice n*) equals (*double n*)."

How can this statement be written as a type?

Because this statement is likely to get a proof, it gets a name.

(**claim** *twice=double* (Π ((*n* Nat))  $( = Nat (twice n) (double n)))$ 

24 Every Nat value is either zero or has add1 at the top. Both (*twice* zero) and (*double* zero) are zero.

(*twice* (add1 *n-1*)) is the same Nat as  $(+ (add1 n-1) (add1 n-1)),$ but (*double* (add1 *n-1*)) is the same Nat as (add1 (add1 (*double n-1*)))*.*  $(+ (add1 n-1) (add1 n-1))$ the same Nat as  $(\text{add1 } (\text{add1 } (+ n-1 n-1)))$ ? 26 No, it isn't. But surely they must be equal. That's right. To prove *twice=double*, an extra proof is needed. While an add1 around +'s *first* argument can be moved above  $+$ , and add1 around +'s *second* argument cannot be—at least not without a proof.  $27$  Right, because only the first argument is the target of **iter-Nat** in  $\div$ 's definition.

25

For add1,

Even though  $(+ n (add1 j))$ is not the same Nat as  $(\text{add1 } (+ n j)),$ they are equal Nats.

What about add1?

Is

28 They are not the same, but one can be **replace**d with the other.

The statement to be proved is *add1+=+add1*.

(**claim** *add1+=+add1* (Π ((*n* Nat) (*j* Nat))  $($  Nat  $(\text{add1 } (+ n j))$ ( *n* (add1 *j*))))) 29 This looks like a job for **ind-Nat**.



(same (add1 *j*))*.*



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Here is *step-add1+=+add1*'s type.

(**claim** *step-add1+=+add1* (Π ((*j* Nat) (*n-1* Nat)) (*→* (*mot-add1+=+add1 <sup>j</sup> n-1*) (*mot-add1+=+add1 j*  $(\text{add1 } n-1))))$ 

What is a more explicit way to write

(*mot-add1+=+add1 j* (add1 *n-1*))?

32 Applying *mot-add1+=+add1* gives  $($   $=$  Nat  $(\text{add1 } (+ (\text{add1 } n-1) j))$  $(+ (add1 n-1) (add1 j))).$ That type and  $($  Nat  $(\text{add1 } (\text{add1 } (+ n-1 j)))$  $(\text{add1 } (+ n-1 (\text{add1 } j))))$ 

are the same type. This is because the first argument to  $+$  is the target of **iter-Nat**.

Now define *step-add1+=+add1*.

33 It uses **cong**.

34

```
(define step-add1+=+add1
 (λ (j n-1)
   (λ (add1+=+add1n-1)
     (cong add1+=+add1n-1
       (+ 1))))
```
What role do **cong** and  $(+1)$  play in the definition?

*add1+=+add1n-1* is an  $($  Nat  $(\text{add1 } (+ n-1 j))$ ( *n-1* (add1 *j*)))*,*

so using  $(+1)$  with **cong** wraps both the FROM and the TO with add1, which gives the type from frame 32.

The definition of *add1+=+add1* now deserves a solid box because every name that it mentions is now defined.

Here it is.

35

```
(define add1+=+add1
  (λ (n j)
    (ind-Nat n
      (mot-add1+=+add1 j)
      (same (add1 j))
      (step-add1+=+add1 j))))
```
Because of frame 35, it is *true* that, for all Nats *n* and *j*,

 $(\text{add1 } (+ n j))$ equals

 $(+ n (add1 j)).$ 

Right.

36

37

This also means that  $(\text{add1 } (+ n-1 n-1))$ equals  $(+ n-1 (add1 n-1))$ because *n* and *j* can both be *n-1*.

What expression has the type  $($  Nat  $(\text{add1 } (+ n-1 n-1))$ 

 $(+ n-1 (add1 n-1)))$ ?

```
The expression
  (add1+=+add1 n-1 n-1)
is an
  ( Nat
    (\text{add1 } (+ n-1 n-1))(+ n-1 (add1 n-1))).
```
Now, use the fact that  $(+ n-1 (add1 n-1))$ equals  $(\text{add1 } (+ n-1 n-1))$ to prove *twice=double*.



What is *mot-twice=double*?

It follows the usual approach of abstracting over the target.

39

40

(**claim** *mot-twice=double* (*→* Nat *U*)) (**define** *mot-twice=double* (λ (*k*)  $($  Nat (*twice k*) (*double k*))))

What about *step-twice=double*?

*step-twice=double*'s type is built the same way as for every other step.

(**claim** *step-twice=double* (Π ((*n-1* Nat)) (*→* (*mot-twice=double n-1*) (*mot-twice=double* (add1 *n-1*)))))



What is *twice=doublen-1*'s type?

```
<sup>41</sup> twice=double<sub>n-1</sub> is an
          (twice n-1)
          (double n-1)).
```

```
The box's type is
  ( = Nat
    (twice (add1 n-1))
    (double (add1 n-1))),
and that type and
  ( Nat
    (add1
      (+ n-1 (add1 n-1)))(add1
      (add1 (double n-1))))
are the same type.
                                           42 Frame 24 explains why
                                                (double (add1 n-1))
                                              is the same Nat as
                                                (add1
                                                  (add1 (double n-1))).
                                              Why is
                                                (twice (add1 n-1))
                                              the same Nat as
                                                (add1
```
43

 $(+ n-1 (add1 n-1)))$ ?

An observation about  $+$  comes in handy. No matter which Nats *j* and *k* are,

```
1. ( + (add1 j) k)2. (iter-Nat (add1 j)
      k
     step- )
3. (step-
      (iter-Nat j
        k
        step- ))
4. (add1
      (iter-Nat j
        k
        step- ))
5. (add1
      (+ j k)).
```
This is very much like the observation about *incr* on page 189.

# **Observation about**

**No matter which** Nat**s** *j* **and** *k* **are,**  $(+)$   $(\text{add } 1 \text{ } j) \text{ } k)$ **is the same** Nat **as (**add1  $(+ j k)).$ 



In this case, which part of the type of

(**cong** *twice=doublen-1*  $(+ 2))$ 

fits?

47 Everything but this gray box fits just fine.



48

49

50

Now define the motive.

*mot-step-twice=double* needs an extra argument, just like *step-∗*.

(**claim** *mot-step-twice=double* (*→* Nat Nat *U*))

The empty box becomes a λ-expression's variable.

(**define** *mot-step-twice=double* (λ (*n-1 k*)  $($  Nat (add1 *k*) (add1 (add1 (*double n-1*))))))

What is the target of the **replace**-expression?

The expression  $(\text{add1 } (+ n-1 n-1))$ should be replaced by ( *n-1* (add1 *n-1*))*,* so the target should be (*add1+=+add1 n-1 n-1*)*.*

Here is the definition so far. . . . . . . . . . . . . . . (**define** *step-twice=double* (λ (*n-1*) (λ (*twice=doublen-1*) (**replace** (*add1+=+add1 n-1 n-1*) (*mot-step-twice=double n-1*) ))))

The base is the expression whose type is nearly right, which is

```
(cong twice=doublen-1
  (+ 2)).
```
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What is the complete definition of *step-twice=double*?

51 The function that is one of the arguments to **cong** is  $(+ 2)$ .

```
(define step-twice=double
 (λ (n-1)
    (λ (twice=doublen-1)
      (replace (add1+=+add1 n-1 n-1)
        (mot-step-twice=double n-1)
        (cong twice=doublen-1
          (+ 2))))))
```
And, finally, *twice=double* deserves a solid box.

So far, the type of each **replace**-expression has  $=$  at the top.

52

```
(define twice=double
 (λ (n)
   (ind-Nat n
     mot-twice=double
     (same zero)
     step-twice=double)))
```
Good point. **replace** is useful because by writing an appropriate motive, it can have any type.

Find two proofs that,

"(*twice* 17) equals (*double* 17)."

```
(claim twice=double-of-17
  ( Nat (twice 17) (double 17)))
(claim twice=double-of-17-again
  ( Nat (twice 17) (double 17)))
```
If a statement is true for every Nat, then it is true for 17. One way to prove it is to apply *twice=double* to 17.

(**define** *twice=double-of-17* (*twice=double* 17))

This is similar to *twin-Atom* in frame 4:54.



56

As the name suggests, the function makes a Vec with *twice* as many entries. This sounds difficult.

(**claim** *twice-Vec* (Π ((*E U*) (*ℓ* Nat)) (*→* (Vec *<sup>E</sup> <sup>ℓ</sup>*) (Vec *E* (*twice ℓ*)))))

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How about the step?



The step transforms a doubler for a Vec with *ℓ-1* entries into a doubler for a Vec with (add1 *ℓ-1*) entries. And

```
(double
    (add1 ℓ-1))
is the same Nat as
  (add1
    (add1
      (double ℓ-1))),
```
61

62

63

so the two uses of vec:: are expected.

```
(define step-double-Vec
  (λ (E ℓ-1)
    (λ (double-Vec<sub>ℓ-1</sub>)
      (λ (es)
         (vec:: (head es)
           (vec:: (head es)
              (double-Vecℓ-1
                (tail es))))))))
```
What is the definition of *double-Vec*?

All of its parts are defined, so it deserves a solid box.

```
(define double-Vec
 (λ (E ℓ)
    (ind-Nat ℓ
      (mot-double-Vec E)
      (base-double-Vec E)
      (step-double-Vec E))))
```
Even though it is true that (*double n*) equals (*twice n*) for all Nats *n*, it is not equally easy to define dependent functions that use them. *double-Vec* is easy, while *twice-Vec* is not.

That's right.

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The proof that (*double n*) equals (*twice n*) for all Nats *n* can be used to define *twice-Vec* using *double-Vec*.

That certainly saves a lot of effort.

### **Solve Easy Problems First**

**If two functions produce equal results, then use the easier one when defining a dependent function, and then use replace to give it the desired type.**

66

The type of (*double-Vec E ℓ es*) is (Vec *E* (*double ℓ*))*.* The (*double ℓ*) needs to become (*twice ℓ*). What is the target? (**define** *twice-Vec* (λ (*E ℓ*) (λ (*es*) (**replace** (λ (*k*) (Vec *E k*)) (*double-Vec E ℓ es*))))) <sup>65</sup> What about (*twice=double ℓ*)?

That's very close, but

(*twice=double ℓ*)

is an

( Nat (*twice ℓ*) (*double ℓ*))*,*

which has the TO and the FROM in the wrong order.

Does this mean that we need to prove *double=twice* now?

Luckily, that's not necessary. Another special eliminator for  $=$ , called **symm**<sup>†</sup>, fixes this problem.

If *target* is an

 $($   $\equiv$  *X from to* $),$ 

then

(**symm** *target*)

is an

 $($   $\equiv$  *X to from* $).$ 

*†*Short for "symmetry."

That's right.  $\qquad$ <sup>68</sup> Whew!

## **The Law of symm**

**If** *e* is an ( $=X$  from to), then (symm *e*) is an ( $=X$  to from).

# **The Commandment of symm**

**If** *x* **is an** *X***, then (symm (**same *x***)) is the same**  $($   $\equiv$   $X$   $x$   $x$   $)$ **as (**same *x***)***.*

### **Now go eat all the cookies you can find, and dust off your lists.**

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Okay, it's possible to define *twice-Vec*.

(**define** *twice-Vec* (λ (*E ℓ*) (λ (*es*) (**replace** (**symm** (*twice=double ℓ*)) (λ (*k*) (Vec *E k*)) (*double-Vec E ℓ es*)))))



Before we get started, here are three more expectations. Have you *. . .*

- 1. figured out why we need induction,
- 2. understood **ind-Nat**, and
- 3. built a function with induction?

More expectations! Here are all the expectations from frame 5:2, together with these three new expectations. The expectations are to have

• cooked ratatouille,

1

2

- eaten two pieces of cherry pie,
- tried to clean up with a non-napkin,
- understood **rec-Nat**, and
- slept until well-rested; as well as
- 1. figured out why we need induction,
- 2. understood **ind-Nat**, and
- 3. built a function with induction.

It seems that these lists are mismatched. The lists from chapter 5 don't have obvious lengths, while these lists do.

But *append* can't mix a List and a Vec.

#### (**claim** *more-expectations* (Vec Atom 3))

(**define** *more-expectations* (vec:: **'**need-induction (vec:: **'**understood-induction (vec:: **'**built-function vecnil))))

> 3 But to build a Vec, don't we need a number of entries?

No, it can't. That is a job for *vec-append*, which is not yet defined. To use *vec-append* on a List, we must transform it into a Vec.





(Σ ((*x A*)) *D*)

is a type when

- 1. *A* is a type, and
- 2. *D* is a type if *x* is an *A*. *†*

Is

(Σ ((*bread* Atom)) (= Atom *bread* 'bagel))

a type?

*†*Another way to say this is "*D* is a family of types over *A*." This terminology is also used for the body of a Π-expression.

What expression has the type (Σ ((*bread* Atom)) (= Atom *bread* 'bagel))? 8 How about (cons **'**bagel (same **'**bagel))? Indeed. Is  $(\Sigma ((A U))$ *A*) a type? 9  $\mathcal U$  is a type, and  $\mathcal A$  is certainly a type when  $A$  is a  $U$ .

7

Yes, because Atom is a type, and (= Atom *bread* 'bagel) is a type when *bread* is an Atom.

# **The Law of** Σ

**The expression (Σ ((***x A***))** *D***)**

**is a type when** *A* **is a type, and** *D* **is a type if**  $x$  **is an**  $A$ .



Name three expressions that have that type.

10 Nat is a  $U$  and 4 is a Nat, so (cons Nat 4) is a  $(\Sigma ((A U))$ *A*)*.* Two more expressions with that type are (cons Atom **'**porridge)*,* and (cons (*→* Nat Nat)  $(+ 7)$ .





(Σ ((*x A*)) *D*)

is a pair whose **car** is an *A* and whose **cdr** is evidence for the statement found by consistently replacing each *x* in *D* with the **car**.

a statement?







Yes. If *p* is a (Σ ((*x A*)) *D*)*,* then  $(\text{car } p)$  is an  $A$ . 30 That is just like (Pair *A D*). But **cdr** is slightly different. If *p* is a (Σ ((*x A*)) *D*)*,* then  $(\text{cdr } p)$ 's type is *D* where every *x* has been consistently replaced with (**car** *p*). <sup>31</sup> If there is no  $x$  in  $D$ , then isn't this the way Pair from chapter 1 works? Indeed. If *p* is a (Σ ((*ℓ* Nat)) (Vec Atom *ℓ*))*,* then what is  $(\text{car } p)$ 's type?  $32$  (**car** *p*) is a Nat. If *p* is a (Σ ((*ℓ* Nat)) (Vec Atom *ℓ*))*,* then what is (**cdr** *p*)'s type?  $33$  (**cdr** *p*) is a (Vec Atom (**car** *p*)). So  $\Sigma$  is another way to construct a dependent type.

Here is *step-list→vec*.



Please explain it.

Here goes.

34

35

- 1. The body of the inner λ-expression has cons at the top because it must construct a Σ.
- 2. The **car** of the inner λ-expression's body is  $(\text{add1} (\text{car } \text{list} \rightarrow \text{vec}_{\text{es}}))$ because *step-listvec* builds a Vec with one more entry than  $(cdr$  *list* $\rightarrow$ *vec*<sub>*es*</sub> $).$
- 3. The **cdr** of the inner λ-expression's body has one more entry than the **cdr** of *list→vec<sub>es</sub>*, namely *e*. vec:: adds this new entry.

Now, give a complete definition of  $list \rightarrow vec.$ 

The box is filled with (*step-listvec <sup>E</sup>*).

```
(define listvec
   (λ (E)
      (λ (es)
         (rec-List es
            (cons 0 vecnil)
             (\textit{step-list} \rightarrow \textit{vec } E))))
```
How might this version of *listvec* be summarized?

36 This *listvec* converts a list into a pair where the **car** is the length of the list and the **cdr** is a Vec with that many entries.

> For nil, the length is 0 and the Vec is vecnil. For ::, the length is one greater than the length of the converted rest of the list, and vec:: adds the same entry that :: added.

What is the value of

(*listvec* Atom (:: **'**beans (:: **'**tomato nil)))? Let's see. 1. (*listvec* Atom (:: **'**beans (:: **'**tomato nil))) 2. (**rec-List** (:: **'**beans (:: **'**tomato nil)) (cons 0 vecnil) (*step-listvec* Atom)) 3. (*step-listvec* Atom **'**beans (:: **'**tomato nil) (**rec-List** (:: **'**tomato nil) (cons 0 vecnil) (*step-listvec* Atom)))  $4.$  (cons (add1 (**car** (**rec-List** (:: **'**tomato nil) (cons 0 vecnil)  $(\mathsf{step\text{-}list} \rightarrow \mathsf{vec} \; \mathrm{Atom})))$ (vec:: **'**beans (**cdr** (**rec-List** (:: **'**tomato nil) (cons 0 vecnil)  $(\mathsf{step\text{-}list} \rightarrow \mathsf{vec} \; \mathsf{Atom}))))$ 

What is the normal form? The "same-as" chart can be skipped. The normal form is (cons 2 (vec:: **'**beans (vec:: **'**tomato vecnil)))*.*

The definition of *listvec* is in a dashed box. 39 That means that there is something the matter with it?

37

Why?

The type given for  $\mathit{list} \rightarrow \mathit{vec}$  is not specific enough.

The whole point of Vec is to keep track of how many entries are in a list, but wrapping it in a  $\Sigma$  hides this information. In chapter 7, specific types were used to make functions total. But specific types can also rule out foolish definitions.

But this definition is correct, isn't it? The starting expression

(:: **'**beans (:: **'**tomato nil))

appears to be the expected normal form. Here it is with its length:

(cons 2 (vec:: **'**beans (vec:: **'**tomato vecnil)))*.*

## **Use a Specific Type for Correctness**

**Specific types can rule out foolish definitions.**

Here is a foolish definition that the type of *listvec* permits.

(**define** *listvec* (λ (*E*) (λ (*es*)  $(cons 0 vecnil)))$ 

Applying this *list* $\rightarrow$  vec to any type and any list yields (cons 0 vecnil).

That's correct.

42  $list \rightarrow vec$  could be a function that always produces a Vec with 52 entries.

What might another incorrect, yet still type-correct, definition be?



Here is *mot-replicate*'s type.

(**claim** *mot-replicate* (*→ <sup>U</sup>* Nat *U*))

Now define *mot-replicate*.

<sup>47</sup> The definition of *mot-replicate* follows a familiar approach, abstracting over zero as in frame 7:66.

(**define** *mot-replicate* (λ (*E k*) (Vec *E k*)))

48

49

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At each step, *step-replicate* should add an entry to the list.

Where does that entry come from?

Just as *E* is an argument to *mot-replicate*, both *E* and *e* are arguments to *step-replicate*.

This is similar to the way *step-∗* is applied to *j* in frame 3:66.

The next step is to define *step-replicate*.

Here is *step-replicate*'s definition.

```
(claim step-replicate
  (Π ((E U)
      (e E)
      (ℓ-1 Nat))
    (→ (mot-replicate E ℓ-1)
      (mot-replicate E (add1 ℓ-1)))))
(define step-replicate
  (λ (E e ℓ-1)
    (λ (step-replicateℓ-1)
      (vec:: e step-replicateℓ-1))))
```
Now define *replicate* using the motive, the base, and the step.

The components are all available.

```
(define replicate
  (λ (E ℓ)
    (λ (e)
      (ind-Nat ℓ
        (mot-replicate E)
        vecnil
         (step-replicate E e)))))
```
In frame 49, *mot-replicate* is applied to two arguments, but here, it is applied to one. Also, *step-replicate* is applied to four arguments, but here, it is applied to only two.

Why?

Every motive for **ind-Nat** has type (*→* Nat *U*)*.*

51

52

Because of Currying, (*mot-replicate E*) has that type.

Similarly, every step for **ind-Nat** is applied to two arguments. Because of Currying, applying the first two arguments to the four-argument *step-replicate* produces the expected two-argument function.

*replicate* is intended to help write an alternative definition of *listvec* that produces a Vec with 52 entries when *es* has :: at the top, or 0 entries when *es* is nil.

Here, cons in the definition of *copy-52-times* is the constructor of  $\Sigma$ , used to associate the length with the Vec.

```
(claim copy-52-times
  (\Pi ((E \mathcal{U}))(→ E
         (List E)
         (Σ ((ℓ Nat))
           (Vec E ℓ))
       (Σ ((ℓ Nat))
         (Vec E ℓ)))))
(define copy-52-times
  (λ (E)
    (λ (e es copy-52-timeses)
      (cons 52 (replicate E 52 e)))))
(define listvec
  (λ (E)
    (λ (es)
      (rec-List es
        (cons 0 vecnil)
         (copy-52-times E)))))
```
The type can be made more specific by making clear the relationship between the List and the number of entries in the Vec.

The number of entries in the Vec is the length of the List.

What is that relationship?



53



Just like the step for **ind-Nat** transforms an almost-answer for *n* into an answer for (add1 *n*), the step for **ind-List** takes an almost-answer for some list *es* and constructs an answer for (:: *e es*).

63 Here, adding an entry *e* to *es* with :: is like adding one with add1 in **ind-Nat**.

```
step's type is
  (Π ((e E)
       (es (List E)))
     (\rightarrow (mot es)
       (mot (:: e es)))).
```

## **The First Commandment of ind-List**

**The ind-List-expression**

**(ind-List** nil *mot base step***) is the same (***mot* nil**) as** *base***.**

### **The Second Commandment of ind-List The ind-List-expression (ind-List (**:: *e es***)** *mot base step***) is the same (***mot* **(**:: *e es***)) as (***step e es* **(ind-List** *es mot base step***))***.*

Nat and List are closely related.

64 As expected.

Thus, an **ind-List**-expression's type is (*mot target*).







Now define *list→vec*.

 $list \rightarrow vec$  finally deserves a solid box.

```
(define listvec
                                                          (λ (E es)
                                                            (ind-List es
                                                              (mot-list \rightarrow vec E)vecnil
                                                               (\mathsf{step\text{-}list} \rightarrow \mathsf{vec} \; E))))This more specific type rules out our two \frac{78}{10} Oh no!
foolish definitions.
Unfortunately, there are still foolish
definitions that have this type.
What is the first foolish definition that
the new type rules out?
                                                      The first foolish definition, in frame 41,
                                                      always produces
                                                         (cons 0 vecnil).
What is the other?
                                                      The foolish definition in frame 52 makes
                                                      52 copies of the first entry in the list.
                                                      The new type demands the correct
                                                      length, so it rules out this foolish
                                                      definition.
                                                      What other foolishness is possible?
Here is a possible, yet foolish, step.
Would the definition of listvec need to
be different to use this step?
(define step-listvec
   (λ (E e es)
     (\lambda \text{ (list} \rightarrow \text{vec}_{es})(replicate E (length E (:: e es))
          e))))
                                                   81
                                                      No, the same definition would work.
                                                      (define listvec
                                                         (λ (E es)
                                                            (ind-List es
                                                              (mot-list \rightarrow vec \ E)vecnil
                                                               (\textit{step-list} \rightarrow \textit{vec} \ E))))
```
77



#### **Go have toast with jam and a cup of tea. Also, just one bowl of porridge with a banana and nuts.**



After all that porridge, it's time for an afternoon coffee break with Swedish treats!

Yes! *Fika.*

1

Here is a list of treats for our *fika*. (**claim** *treats* (Vec Atom 3)) (**define** *treats†* (vec:: **'**kanelbullar (vec:: **'**plättar (vec:: **'**prinsesstårta vecnil)))) *†Kanelbullar* are cinnamon rolls, *plättar* are small pancakes topped with berries, and a *prinsesstårta* is a cake with layers of sponge cake, jam, and custard under a green marzipan surface. 2 Sounds great! But how can *treats* be combined with *drinks*? (**claim** *drinks* (List Atom)) (**define** *drinks* (:: **'**coffee (:: **'**cocoa nil))) That's right—there are some loose ends from the preceding chapter. One loose end is a version of *append* for Vec, and the other is ruling out more foolish definitions of *listvec*. 3 Okay. If *es* has *ℓ* entries and *end* has *j* entries, then how many entries do they have together? 4 Surely they have  $( + \ell \, j)$  entries together. That's right. (**claim** *vec-append* (Π ((*E U*) (*ℓ* Nat) (*j* Nat)) (*→* (Vec *<sup>E</sup> <sup>ℓ</sup>*) (Vec *E j*)  $(Vec E (+ \ell j)))$ 5 This looks very much like *append*'s type.



Each part of the **ind-Vec**-expression must account for the number of entries in *es*.

*mot*'s type is (Π ((*k* Nat))

(*→* (Vec *<sup>E</sup> <sup>k</sup>*)

<sup>11</sup> Why isn't *E* also an argument in the Π-expression?



### **The Law of ind-Vec**

**If** *n* **is a** Nat, *target* **is a** (Vec  $E$  *n*), *mot* **is a (Π ((***k* Nat**)) (***→* **(**Vec *<sup>E</sup> <sup>k</sup>***)** *U***))***, base* **is a (***mot* zero vecnil**), and** *step* **is a (Π ((***k* Nat**) (***h E***) (***t* **(**Vec *E k***))) (***→* **(***mot k t***) (***mot* **(**add1 *k***) (**vec:: *h t***)))) then (ind-Vec** *n target mot base step***) is a (***mot n target***).**

Yes, it is.*†*

<sup>15</sup> What is *base*'s type in **ind-Vec**?

Whenever a type constructor has an index, the index shows up in the motive for its eliminator, and therefore also in the step.

*†*A family of types whose argument is an index is sometimes called "an indexed family."

*base*'s type is

(*mot* zero vecnil).

16 Doesn't *mot-replicate* in frame 10:47 receive two arguments as well?

In **ind-Vec**, *mot* receives two arguments, rather than one.



Now define *mot-vec-append*.

 $21$  The definition can be found by abstracting over the number of entries and the list in the base's type.

```
(claim mot-vec-append
  (Π ((E U)
     (k Nat)
     (j Nat))
    (→ (Vec E k)
      U)))
(define mot-vec-append
 (λ (E k j)
    (λ (es)
     (Vec E (+ k j))))
```
With *mot-vec-append* in frame 21, *vec-append* would need a λ-expression as its motive. Why?

(**define** *vec-append* (λ (*E ℓ j es end*) (**ind-Vec** *ℓ es* (λ (*k*) (*mot-vec-append E k j*)) *end step-vec-append*)))

 $22$  Because the two arguments to the motive are the two targets, *ℓ* and *es*. But the last two arguments to *mot-vec-append* do not match, so the λ-expression swaps *k* and *j*.

# **The First Commandment of ind-Vec**

**The ind-Vec-expression**

```
(ind-Vec zero vecnil
    mot
    base
    step)
is the same (mot zero vecnil) as base.
```
### **The Second Commandment of ind-Vec**

**The ind-Vec-expression**

**(ind-Vec (**add1 *n***) (**vec:: *e es***)** *mot base step***)** is the same  $(mot (add1 n) (vec: : e es))$  as **(***step n e es* **(ind-Vec** *n es mot base step***))***.*

Consider this definition of *mot-vec-append*, instead.

```
(claim mot-vec-append
  (Π ((E U)
     (j Nat)
     (k Nat))
    (→ (Vec E k)
      U)))
(define mot-vec-append
 (λ (E j k)
   (λ (es)
      (Vec E (+ k j))))
```
How does this change *vec-append*?

When writing a Curried motive, base, or step, it pays to carefully consider the order of arguments.

23 The λ-expression for the motive is no longer necessary.

```
(define vec-append
  (λ (E ℓ j)
     (λ (es end)
       (ind-Vec ℓ es
         (mot-vec-append E j)
         end
         step-vec-append))))
```
It's certainly easier to re-order *mot-vec-append*'s arguments than it is to write an extra λ-expression.

Now define *step-vec-append*.

What is *step-vec-append*'s type?

25 This time, *j* is before *k* in the arguments.

```
(claim step-vec-append
 (Π ((E U)
     (j Nat)
      (k Nat)
     (e E)
      (es (Vec E k)))
    (→ (mot-vec-append E j
          k es)
      (mot-vec-append E j
        (add1 k) (vec:: e es)))))
```


The first loose end has been tied up.

What is a good name for

(*vec-append* Atom 3 2 *treats drinks*)?

28 That expression is not described by a type because *drinks* is a (List Atom).

But how about *fika* for this version?

(**claim** *fika* (Vec Atom 5)) (**define** *fika* (*vec-append* Atom 3 2 *treats* (*listvec* Atom *drinks*)))

This *fika* is foolish if *listvec* is foolish. In frame 10:81, a *list* $\rightarrow$  vec is defined that is foolish, but this foolish definition has the right type.

(**define** *step-listvec* (λ (*E e es*)  $(\lambda \text{ (list} \rightarrow \text{vec}_{es})$ (*replicate E* (*length es*) *e*)))) (**define** *listvec* (λ (*E es*) (**ind-List** *es mot-list→vec* vecnil  $(\mathsf{step-list} \rightarrow \mathsf{vec} \; E))))$ 

Using this definition, the normal form of (*listvec* Atom *drinks*) is

29

(vec:: **'**coffee (vec:: **'**coffee vecnil))*,*

but some prefer **'**cocoa to **'**coffee.

How can we rule out this foolishness?

Thus far, we have used more specific types to rule out foolish definitions. Another way to rule out foolish definitions is to *prove* that they are not foolish.*†*

What is an example of such a proof?

*<sup>†</sup>*Sometimes, using a more specific type is called an *intrinsic* proof. Similarly, using a separate proof is called *extrinsic*.

One way to rule out foolish definitions of  $list \rightarrow vec$  is to prove that transforming the Vec back into a List results in an equal List.

This requires  $vec \rightarrow$  list. Here is the motive.

(**claim** *mot-veclist* (Π ((*E U*) (*ℓ* Nat)) (*→* (Vec *<sup>E</sup> <sup>ℓ</sup>*) *U*))) (**define** *mot-veclist* (λ (*E ℓ*) (λ (*es*) (List *E*))))

What is the step?

The step replaces each vec:: with a :: constructor, just as *step-listvec* replaces each :: with a vec:: constructor.



The definition of *vec* $\rightarrow$ *list* is also very similar to the definition of  $list \rightarrow vec$ .



It is

32

31

(:: **'**kanelbullar (:: **'**plättar (:: **'**prinsesstårta nil)))*.*

What is the normal form of (*veclist* Atom 3 *treats*)?

So is it clear how to find the value of an **ind-Vec**-expression?

How can the statement,

"For every List, transforming it into a Vec and back to a List yields a list that is equal to the starting list."

be written as a type?

Yes, it is just like finding the value of an **ind-List**-expression, except the step is applied to both targets.

33

34

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The term *every* implies that there should be a Π. How about this type?

```
<u>o dio dio d</u>
(claim listveclist=
  (Π ((E U)
        (es (List E)))
     ( = (List E)es
         (vec \rightarrowlist E(list \rightarrow vec \ E \ es))))
```
That is very close, but the second argument to *veclist* is the number of entries in the Vec.

How many entries does

 $(listr \rightarrow vec \ E \ es)$ 

have?

Oh, right, can't forget the *length*.

```
(claim listveclist=
  (Π ((E U)
       (es (List E)))
     ( = (List E)es
        (vec \rightarrowlist E(length E es)
          (list \rightarrow vec \ E \ es))))
```
What is an appropriate target for induction?

<sup>36</sup> The target of induction is *es*. The definition has the usual suspects: a motive, a base, and a step.

$$
(\text{define } \textit{list} \rightarrow \textit{vec} \rightarrow \textit{list} =
$$
\n
$$
(\lambda \ (\textit{E es})
$$
\n
$$
(\text{ind-list es}
$$
\n
$$
(\text{mot-list} \rightarrow \text{vec} \rightarrow \text{list} = \textit{E})
$$
\n
$$
(\text{base-list} \rightarrow \text{vec} \rightarrow \text{list} = \textit{E})
$$
\n
$$
(\text{step-list} \rightarrow \text{vec} \rightarrow \text{list} = \textit{E})))
$$

What is the base?  $37$ The base's type is  $( = (List E))$ nil ( $vec$ *Hist*  $E$ (*length E* nil) (*listvec <sup>E</sup>* nil)))*,* also known as  $( = (List E)$  nil nil). That is the base's type. But what is the base? (same nil), of course. Once again, there's no need to define *base-list→vec→list=.* Here is the motive's type. (**claim** *mot-listveclist=*  $(\Pi ((E U))$ (*→* (List *<sup>E</sup>*) *U*))) Define *mot-list→vec→list=*. 39 Abstracting over nil in the base's type in frame 37 leads directly to the definition. (**define** *mot-listveclist=* (λ (*E es*)  $( = (List E)$ *es*  $(*vec* \rightarrow *list*  $E$$ (*length E es*)  $(list \rightarrow vec \ E \ es))))$ 

40

The only thing left is the step.

What is an appropriate type for the step?

Follow the Law of **ind-List**.

```
(claim step-listveclist=
  (Π ((E U)
       (e E)
       (es (List E)))
     (→ (mot-listveclist= E
            es)
        (mot-list \rightarrow vec \rightarrow list = E)(:: e es)))))
```
Here is the beginning of a definition.



What can be put in the box to transform the almost-proof for *es* into a proof for (:: *e es*)?

<sup>41</sup> The almost-proof,  $list \rightarrow vec \rightarrow list =_{es}$ , is an

$$
(=(\text{List } E)
$$
  
es  

$$
(\text{vec}\rightarrow \text{list } E
$$
  

$$
(\text{length } E \text{ es})
$$
  

$$
(\text{list} \rightarrow \text{vec } E \text{ es}))).
$$

This is an opportunity to use our old friend **cong** from chapter 8 to eliminate *listveclist=es* .



Prove that

 $44$  This proof can be used in the box.

"consing **'**plättar onto two equal lists of treats produces equal lists of treats."

First, how can the statement be written as a type?

<sup>45</sup> "Two equal lists of treats" can be written as a Π-expression with two (List Atom) arguments and a proof that they are equal.

```
(claim Treat-Statement
  U)
(define Treat-Statement
  (Π ((some-treats (List Atom))
      (more-treats (List Atom)))
    (\rightarrow) (= (List Atom)
           some-treats
           more-treats)
       ( (List Atom)
         (:: 'plättar some-treats)
         (:: 'plättar more-treats)))))
```
Proving this statement is easier with this definition.

```
(claim ::-plättar
  (→ (List Atom)
    (List Atom)))
(define ::-plättar
  (λ (tasty-treats)
    (:: 'plättar tasty-treats)))
```
Use this with **cong** to prove *Treat-Statement*.

Here is the definition of *treat-proof* .

46

```
(claim treat-proof
  Treat-Statement)
(define treat-proof
  (λ (some-treats more-treats)
    (λ (treats=)
      (cong treats= ::-plättar))))
```
Great!

47 Every two equal lists have equal lengths.

What can be said about the lengths of equal lists?

Now prove that

"Every two equal treat lists have equal lengths."

using **cong**.

*length-treats=* is similar to *treat-proof* .

```
(claim length-treats=
  (Π ((some-treats (List Atom))
      (more-treats (List Atom)))
    (\rightarrow) (= (List Atom)
           some-treats
           more-treats)
      ( Nat
         (length Atom some-treats)
         (length Atom more-treats)))))
(define length-treats=
 (λ (some-treats more-treats)
    (λ (treats=)
      (cong treats= (length Atom)))))
```
Returning to the matter at hand, it is now possible to fill the box in frame 41 with a **cong**-expression.

The almost-proof,  $list \rightarrow vec \rightarrow list =_{es}$ , is an

```
( = (List E))es
   (vec \rightarrowlist E(length E es)
      (list \rightarrow vec \ E \ es))).
```
What is the box's type in frame 41?

49 The box's type is

48

```
( = (List E)(:: e es)
   (vec \rightarrowlist E(length E (:: e es))
      (list \rightarrow vec \ E \ (:: e \ es)))).
```
Now it is time for an observation about  $list \rightarrow vec$ , similar to the observation about  $+$  on page 210.

What is the value of

1.  $\vert$  (*vec* $\rightarrow$ list *E* (*length E* (:: *e es*))  $(list \rightarrow vec \ E$  (:: *e es*)))? Let's see.

50

```
2. \vert (vec\rightarrowlist E
         (add1 (length E es))
        (vec: e (list \rightarrow vec E es)))3. (:: e
        (vec \rightarrowlist E(length E es)
           (list \rightarrow vec \ E \ es))
```
### **When in Doubt, Evaluate**

**Gain insight by finding the values of expressions in types and working out examples in "same-as" charts.**



52

When using **cong**, the same function is applied to both the FROM and the TO of  $an =$ expression.

(:: *e*), right?

```
What function transforms
```
*es* into (:: *e es*) and (*veclist <sup>E</sup>* (*length E es*)  $(list \rightarrow vec \ E \ es))$ into

```
(:: e
  (veclist E
     (length E es)
     (list \rightarrow vec \ E \ es))?
```
That is very close. But the constructor of functions is  $\lambda$ . Other constructors construct different types.

53 Here is a function that does the trick.

(**claim** *::-fun* (Π ((*E U*)) (*→ <sup>E</sup>* (List *<sup>E</sup>*) (List *E*)))) (**define** *::-fun* (λ (*E*) (λ (*e es*) (:: *e es*))))

Now complete the box in frame 41 to define *step-listveclist=*.

Here it is.

54

55

```
(define step-listveclist=
   (λ (E e es)
      (\lambda \text{ (list}\rightarrow \text{vec}\rightarrow \text{list}=\text{ex}))(cong listveclist=es
             (::-fun E e)))))
```
It's time to put the pieces together, using the motive, the base, and the step. Remember the **claim** in frame 35 on page 255.

Here is another well-built solid box.

```
(define listveclist=
   (λ (E es)
       (ind-List es
          (mot-list \rightarrow vec \rightarrow list = E)(same nil)
          (\text{step-list} \rightarrow \text{vec} \rightarrow \text{list} = E))))
```




# **Now, go and enjoy a cozy** *fika*

**with either an even or an odd number of friends.**





What is the value of (*Even* 10)?





Although two functions always return the same answer, sometimes one of them is easier to use because it more quickly becomes a value. In particular,  $+$  and thus *twice* leave an add1 on the second argument, while *double* puts both add1s at the top immediately.

How can the statement,

Good question.

"Two greater than every even number is even."

be written as a type?

It can be useful to use more descriptive prose when translating a statement into a type.

Here's another way to say the same thing:

"For every natural number *n*, if *n* is even, then  $2 + n$  is even."

"Every" sounds like Π.

(**claim** *two-even* (Π ((*n* Nat)) (*→* (*Even <sup>n</sup>*)  $(Even (+ 2 n))))$ 

Now prove it. 1<sup>4</sup> Clearly, the proof uses **ind-Nat** because the type depends on a Nat.

It can actually be done without induction.

But first, how much of the definition can be written now?

15 Here's a start *. . .* (**define** *two-even* (λ (*n en*) *. . .*but what goes here? ))

<sup>11</sup> As seen in the proof of *twice=double* in frame 9:52.

13



It's possible to go a bit further with the definition of *two-even* now.

The body of the  $\lambda$ -expression has cons at the top because it must be an



### **Carefully Choose Definitions**

**Carefully-chosen definitions can greatly simplify later proofs.**

In frame 21's empty box, (**cdr** *en*) is an  $($   $=$  Nat *n* (*double* (**car** *en*)))*.* Find an expression that is an  $($  Nat  $(+ 2 n)$ (add1 (add1  $25\,$ The expression

(*double* (**car** *en*)))))*.*

(**cong** (**cdr** *en*) ( 2)) has that type because  $(+ 2 n)$ is the same Nat as (add1 (add1 *n*))*.*

That is precisely what is needed to complete the proof.

 $26$  Thanks for the hints.

```
(define two-even
  (λ (n en)
    (cons (add1 (car en))
       (\text{cong } (\text{cdr } e_n) (+ 2)))))
```
Is two even?  $27$ 

Yes, it is.



No, but it is an *Odd* definition.

What does *haf* mean?

33 It is pretty close to *half* . It is half of the even number that is one smaller than *n*.










### **Go eat a haf a baker's dozen muffins and get ready to divide by two.**

```
(claim repeat
  (→ (→ Nat
        Nat)
      Nat
    Nat))
(define repeat
  (λ (f n)
    (iter-Nat n
      (f 1)
      (λ (iterf,n-1)
        (f iterf,n-1)))))
(claim ackermann
  (→ Nat Nat
    Nat))
(define ackermann
  (λ (n)
    (iter-Nat n
      (+ 1)(λ (ackermannn-1)
        (repeat ackermannn-1)))))
```




(Either *L R*) is a type if *L* is a type and *R* is a type.

<sup>3</sup> What are the values of (Either *L R*)?

### **The Law of** Either

**(**Either *L R***) is a type if** *L* **is a type and** *R* **is a type.**

There are two constructors. If *lt* is an *L*, then (left  $ltt$ ) is an (Either  $L R$ ). If  $rt$  is an *R*, then (right *rt*) is an (Either *L R*). 4

When are two (Either *L R*) values the same?

Here's a guess based on earlier types.

(left  $lt_1$ ) and (left  $lt_2$ ) are the same (Either  $L R$ ) if  $lt_1$  and  $lt_2$  are the same  $L$ .

So far, so good. Anything to add?

Yes, one more thing. (right  $rt_1$ ) and (right  $rt_2$ ) are the same (Either  $L R$ ) if  $rt_1$  and  $rt_2$  are the same  $R$ .

### **The Law of** left

(left  $ltt$ **)** is an (Either  $L R$ ) if  $ltt$  is an  $L$ .

# **The Law of** right

(*right rt*) is an (Either  $L R$ ) if  $rt$  is an  $R$ **.** 





### **The Law of ind-Either**

```
If target is an (Either L R), mot is an
  (\rightarrow (Either LR)
    U),
base-left is a
  (Π ((x L))
    (mot (left x))),
and base-right is a
  (Π ((y R))
    (mot (right y)))
then
  (ind-Either target
    mot
    base-left
    base-right)
is a (mot target).
```
### **The First Commandment of ind-Either**

**(ind-Either (**left *x***)** *mot base-left base-right***)** is the same  $(mot (left x))$  as  $(base-left x)$ .

### **The Second Commandment of ind-Either**

15

16

17

The base is an

**(ind-Either (**right *y***)** *mot base-left base-right***)** is the same  $(mot$   $(right y))$  as  $(base-right y)$ .

Now we know how to write,

"Every natural number is even or odd." as a type.

(**claim** *even-or-odd* (Π ((*n* Nat)) (Either (*Even n*) (*Odd n*))))

Yes, it does.

*mot-even-or-odd* describes the purpose of the elimination. Try to define it without finding the base first.

(**claim** *mot-even-or-odd* (*→* Nat *U*))

Abstracting over *n* in frame 15 does it.

This is a claim about all Nats. Does the

proof use **ind-Nat**?

(**define** *mot-even-or-odd* (λ (*k*) (Either (*Even k*) (*Odd k*))))

Good choice.

What is the base?

(Either (*Even* zero) (*Odd* zero)) and zero happens to be even.



So eliminate it.

Here's a version with empty boxes in it, at least.



Good start.

<sup>24</sup> According to *step-even-or-odd*'s claim, the elimination produces a

What is the motive?

(*mot-even-or-odd* (add1 *n-1*))*.*

Instead of defining a separate motive, try writing a  $\lambda$ -expression this time. The argument to the motive is the target, but this elimination is not producing a type that depends on the target. So the motive's argument can be dim.

That's a lot shorter than defining it separately.



Yes, it is shorter. But shorter is not always easier to read. Compare the two styles and decide which is easier to understand in each case.

When *n-1* is even, what is the evidence that  $(\text{add1 } n-1)$  is odd?

The evidence can be constructed with *add1-evenodd*.

26



Now, define *even-or-odd*. 31 The pieces are ready. (**define** *even-or-odd* (λ (*n*) (**ind-Nat** *n mot-even-or-odd* (left *zero-is-even*) *step-even-or-odd*))) *even-or-odd* is a proof that "Every natural number is even or odd." But it is more than just a proof—it is a λ-expression that produces a value when it gets an argument. 32 It always produces a value because all functions are total. Is this value interesting? Let's find out. What is the value of (*even-or-odd* 2)? 33 That's an interesting question. Get ready for a long "same-as" chart. Here's the beginning. 1. (*even-or-odd* 2) 2.  $($ ( $\lambda$  (*n*) (**ind-Nat** *n mot-even-or-odd* (left *zero-is-even*) *step-even-or-odd*)) 2) 3. (**ind-Nat** 2 *. . .*) 4. (*step-even-or-odd* 1 (**ind-Nat** 1 *. . .*)) In this chart, *. . .* , an ellipsis, stands for the arguments to **ind-Nat** or **ind-Either** that don't change at all. 34 Here's the next one. 5. ((λ (*n-1*) (λ (*e-or-on-1*) (**ind-Either** *e-or-on-1* (λ (*e-or-on-1*) (*mot-even-or-odd* (add1 *n-1*))) (λ (*en-1*) (right (*add1-evenodd n-1 en-1*))) (λ (*on-1*) (left (*add1-oddeven n-1 on-1*)))))) 1 (**ind-Nat** 1 *. . .*))

At each step, look for the parts of expressions that change and those that don't.

Try to identify motives, bases, and steps that appear multiple times.

Targets are rarely repeated, but worth watching.

6.  $((\lambda (e-or-o_{n-1}))$ (**ind-Either** *e-or-on-1* (λ (*e-or-on-1*) (*mot-even-or-odd* 2)) (λ (*en-1*) (right (*add1-evenodd* <sup>1</sup> *<sup>e</sup>n-1*))) (λ (*on-1*) (left  $(add1-odd \rightarrow even \ 1 \ o_{n-1})))$ (**ind-Nat** 1 *. . .*)) 7. (**ind-Either** (**ind-Nat** 1 *. . .*) (λ (*e-or-on-1*) (*mot-even-or-odd* 2)) (λ (*en-1*) (right (*add1-evenodd* <sup>1</sup> *<sup>e</sup>n-1*))) (λ (*on-1*) (left  $(add1-odd\rightarrow even 1 o_{n-1})))$ 

What about targets?

36

Ah, because as soon as a target's value is found, a base or step is chosen.

```
8. (ind-Either
       (step-even-or-odd
         0
         (ind-Nat 0 . . .))
       (λ (e-or-on-1)
         (mot-even-or-odd 2))
       (λ (en-1)
         (right
           (add1-evenodd 1 en-1)))
       (λ (on-1)
         (left
           (add1-odd \rightarrow even 1 o_{n-1})))9. (ind-Either
      ((λ (n-1)
          (λ (e-or-on-1)
            (ind-Either e-or-on-1 . . .)))
        0 (ind-Nat 0 . . .))
       (λ (e-or-on-1)
         (mot-even-or-odd 2))
       (λ (en-1)
         (right
           (add1-evenodd 1 en-1)))
       (λ (on-1)
         (left
           (add1-odd \rightarrow even \ 1 \ o_{n-1})))
```
10. (**ind-Either** ((λ (*e-or-on-1*) (**ind-Either** *e-or-on-1* (λ (*e-or-on-1*) (*mot-even-or-odd* 1)) (λ (*en-1*) (right (*add1-evenodd* <sup>0</sup> *<sup>e</sup>n-1*)))  $(\lambda \left( o_{n-1}\right)$ (left  $(add1-odd\rightarrow even 0 o_{n-1})))$ (**ind-Nat** 0 *. . .*)) (λ (*e-or-on-1*) (*mot-even-or-odd* 2)) (λ (*en-1*) (right (*add1-evenodd* <sup>1</sup> *<sup>e</sup>n-1*))) (λ (*on-1*) (left  $(add1-odd \rightarrow even \ 1 \ O_{n-1})))$ 37

11. (**ind-Either** ((λ (*en-1*) (right (*add1-evenodd* <sup>0</sup> *<sup>e</sup>n-1*))) *zero-is-even*) (λ (*e-or-on-1*) (*mot-even-or-odd* 2)) (λ (*en-1*) (right (*add1-evenodd* <sup>1</sup> *<sup>e</sup>n-1*))) (λ (*on-1*) (left  $(add1-odd \rightarrow even \ 1 \ o_{n-1})))$ 12. (**ind-Either** (right (*add1-evenodd* <sup>0</sup> *zero-is-even*)) (λ (*e-or-on-1*) (*mot-even-or-odd* 2)) (λ (*en-1*) (right (*add1-evenodd* <sup>1</sup> *<sup>e</sup>n-1*))) (λ (*on-1*) (left  $(add1-odd \rightarrow even \ 1 \ o_{n-1})))$ 

13.  $((\lambda)(o_{n-1})$ (left  $(add1-odd\rightarrow even 1 o_{n-1})))$ (*add1-evenodd* <sup>0</sup> *zero-is-even*)) 14. (left

38

(*add1-oddeven* 1 (*add1-evenodd* 0 *zero-is-even*)))

The last expression in the chart is a value.

Whew!

Indeed, (left (*add1-oddeven* 1 (*add1-evenodd*  $\Omega$ *zero-is-even*)))

is a value.

What can we learn from this value?

In this case, there is still more to be learned.

Find the normal form of

```
(left
  (add1-oddeven
    1
    (add1-evenodd
       \overline{0}zero-is-even))).
```
39 From this value, it is clear that 2 is even, because the value has left at the top.

The first step in finding the normal form is to replace **add1-odd+even** with its definition.

That's right.

```
15. (left
        ((λ (n on)
           (cons (add1 (car on))
              (\text{cong } (\text{cdr } o_n) (+ 1))))1
         (add1-evenodd
            \Omegazero-is-even)))
```
What is next?

<sup>41</sup> The next step is to replace  $n$  with 1 and *add1-even→odd* with its definition.<br>16. | (left

```
16. (left
  ((\lambda)(o_n))(cons (add1 (car on))
          (\text{cong } (\text{cdr } o_n) (+ 1))))((λ (n en)
         (cons (car en)
            (\text{cong } (\text{cdr } e_n) (+ 1))))0
      zero-is-even)))
```
The next step is to drop in the definition of *zero-is-even*.

```
17. (left
         ((λ (on)
             (cons (add1 (car on))
                (\text{cong } (\text{cdr } o_n) (+ 1))))((\lambda (e_n))(cons (car en)
                  (\text{cong } (\text{cdr } e_n) (+ 1))))zero-is-even)))
18. (left
         ((\lambda)(o_n))(cons (add1 (car on))
                (\text{cong } (\text{cdr } o_n) (+ 1))))((\lambda (e_n))(cons (car en)
                 (\text{cong } (\text{cdr } e_n) (+ 1))))(cons 0 (same 0))))
```
<sup>42</sup> Next, find the **car** and **cdr** of  $e_n$ .

19. (left  $((\lambda (o_n))$ (cons (add1 (**car** *on*))  $(\text{cong } (\text{cdr } o_n) (+ 1))))$ (cons 0  $(cong (same 0) (+ 1)))))$ 

It looks like the next step is to find the value of

```
(cong(same 0)( + 1)),
```
and by the Commandment of **cong**, that value is

(same 1).

What's next?





# **Every number is even or odd,**

**and some are smaller than others.**

**Get ready.**





### **The Law of** Trivial

Trivial **is a type.**

### **The Law of** sole

sole **is a** Trivial**.**

# **The Commandment of** sole

7

**If** *e* **is a** Trivial**, then** *e* **is the same as** sole**.**

That an entry may or may not be in a list can be represented using *Maybe*.

How can *Maybe* represent presence or absence?

(**claim** *Maybe*  $(\rightarrow \mathcal{U})$ *U*))

There is either an *X* or a Trivial. 8

Okay.

(**define** *Maybe* (λ (*X*) (Either *X* Trivial)))

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9

Absence is indicated using (right sole).

(**claim** *nothing* (Π ((*E U*))  $(\stackrel{\sim}{M}aybe(E)))$ (**define** *nothing* (λ (*E*) (right sole)))

Presumably, presence uses left.



This is enough information to find the base and step for **rec-List** in the empty box.

 $14$  Plenty of information.

```
(define maybe-head
  (λ (E es)
     (rec-List es
       (nothing E)
       (\lambda (hd tl head<sub>tl</sub>)
          (just E hd)))))
```
What type should **maybe-tail** have?

It is similar to *maybe-head*, except that it (maybe) finds a list.

```
(claim maybe-tail
 (Π ((E U))
   (→ (List E)
     (Maybe (List E)))))
```
16

The definition of *maybe-tail* is also very similar to the definition of *maybe-head*. Only the type in the base and the step's type and value need to change.

```
(define maybe-tail
  (λ (E es)
    (rec-List es
      (nothing (List E))
      (λ (hd tl tailtl)
         (just (List E) tl)))))
```
*maybe-head* and *maybe-tail* can be used to define *list-ref* , which either finds or does not find a specific entry in a list.

What is *list-ref*'s type?



(*→* (List *<sup>E</sup>*) (*Maybe E*))

(*→* (List *<sup>E</sup>*) (*Maybe E*)))))

 $\overline{1}$ 

 $\overline{1}$ 

 $\overline{1}$ 

The step takes as its argument a *list-ref* for some smaller Nat, which is *almost* a *list-ref* for *this* Nat.

How can a *list-ref* for *n-1* be transformed into a *list-ref* for *n*?

22 The *list-ref* for *n-1* can be applied to the tail of the list.

Complete this definition. (**define** *step-list-ref* (λ (*E*) (λ (*n-1 list-ref n-1*) (λ (*es*) )))) 23 *list-ref n-1* can be used when *maybe-tail* of *es* finds a (List *E*). When *maybe-tail* finds *nothing*, the step finds *nothing*. (**define** *step-list-ref* (λ (*E*) (λ (*n-1 list-ref n-1*) (λ (*es*) (**ind-Either** (*maybe-tail E es*) ( $λ$  (*maybe*<sub>*tl*</sub>)</sub> (*Maybe E*)) (λ (*tl*) (*list-ref n-1 tl*)) (λ (*empty*)

Now define *list-ref* .

 $^{24}$  Here it is.

(**define** *list-ref* (λ (*E n*) (**rec-Nat** *n* (*maybe-head E*) (*step-list-ref E*))))

(*nothing E*)))))))

**Take a short break, and maybe eat some delicious ratatouille.**







Absurd **is a type.**



Neutral expressions can have type Absurd.

*x* is an Absurd.

37

What is the type of *x* in the body of *similarly-absurd*'s definition?

(**claim** *similarly-absurd* (*→* Absurd Absurd)) (**define** *similarly-absurd* (λ (*x*) *x*))

### **The Commandment of Absurdities**

**Every expression of type** Absurd **is neutral, and all of them are the same.**

38

Even though there is no way to construct an Absurd value, there is an eliminator for Absurd.

One way to view an eliminator is as a means of exposing the information inside a constructor. Another way to view it is as a way of picking some new expression for each of a type's values.

*length* picks a new Nat for each List, and *peas* picks a (Vec Atom *ℓ*) for each Nat *ℓ*.

There are no Absurd values.

By picking a new expression for each value, the eliminator expression itself has a type given by the motive. 39

To use the eliminator for Absurd, provide a new expression for each Absurd value.



### **The Law of ind-Absurd**

43

**The expression**

**(ind-Absurd** *target mot***)**

**is a** *mot* **if** *target* **is an** Absurd **and** *mot* **is a** *U***.**

It is used to express that some expressions can never be evaluated, or in other words, that the expression is permanently neutral.

And neutral expressions cannot yet be evaluated because the values of their variables are not yet known.







Just as (*fzero n*) points at the head of a (Vec *X* (add1 *n*)), *fadd1* points somewhere in its tail.

#### (**claim** *fadd1* (Π ((*n* Nat)) (*→* (*Fin <sup>n</sup>*) (*Fin* (add1 *n*)))))

<sup>58</sup> Why do the two *Fin*s have different arguments?

Take a look at frame 48. There are two values for (*Fin* 2). The first is

(*nothing* (*Maybe* Absurd))*,*

also known as (*fzero* 1).

What is the other?

For each layer of *Maybe* in the type, there is a choice between either stopping with *fzero* (also known as *nothing*) and continuing with *just* a value from the smaller type.

The other is (*just* (*Maybe* Absurd) (*nothing* Absurd))*.*

59

60

61

It adds the extra *just*.

(**define** *fadd1* (λ (*n*) (λ (*i-1*) (*just* (*Fin n*) *i-1*))))

Now define *fadd1*.

Now it's time to define *vec-ref* , so that there's always something to eat from the menu.

(**claim** *vec-ref* (Π ((*E U*) (*ℓ* Nat)) (*→* (*Fin <sup>ℓ</sup>*) (Vec *<sup>E</sup> <sup>ℓ</sup>*) *E*)))

Here, there is no *Maybe*.

There are three possibilities:

- 1. the length *ℓ* is zero,
- 2. the length *ℓ* has add1 at the top and the *Fin* is *fzero*, or
- 3. the length *ℓ* has add1 at the top and the *Fin* is *fadd1*.
- 62 It depends first and foremost on *ℓ*. The motive is built by abstracting the rest of the type over *ℓ*.



Good start. What is the base's type?

Apply the motive to zero.

```
(claim base-vec-ref
  (Π ((E U))
   (→ (Fin zero) (Vec E zero)
      E)))
```
The only constructor for (Vec *E* zero) is vecnil, but vecnil does not contain any *E*s. The value of (*Fin* zero) is Absurd.

What is the value of (*Fin* zero)?

Because there are no Absurd values, the base can never be applied to its second argument's value.

Use **ind-Absurd** to take advantage of this fact.

Okay.

64

```
(define base-vec-ref
 (λ (E)
    (λ (no-value-ever es)
      (ind-Absurd no-value-ever
        E))))
```
Now it is time to define the step.

What is the step's type?

66 Once again, it is found by the Law of **ind-Nat**.



3. (Either (*Fin ℓ-1*) Trivial)

1. the *Fin* is *fzero*, or

There are now two possibilities

2. the *Fin* is *fadd1*.

remaining:

What is the value of  $(Fin (add1 \ell-1))$ ?



Indeed it is. Define the step.  $\frac{70}{20}$ 

Here goes.

```
(define step-vec-ref
       (λ (E ℓ-1)
         (λ (vec-ref_{\ell-1})
            (λ (i es)
               (ind-Either i
                  (λ (i)
                    E)
                  (λ (i-1)
                    (<i>vec</i>-ref<sub>ℓ-1</sub>)i-1 (tail es)))
                  (λ (triv)
                    (head es)))))))
\overline{a}<sup>71</sup> The boxes are all filled.
    (define vec-ref
       (λ (E ℓ)
         (ind-Nat ℓ
            (λ (k)
               (→ (Fin k) (Vec E k)
                  E))
            (base-vec-ref E)
```

```
(step-vec-ref E))))
```
Now that it's clear how to find entries in *menu*, which one do you want? 72

The second one.

The second one?  $73$ 

Now define *vec-ref* .

Pardon me.

The

(*fadd1* 3 (*fzero* 2))nd one*,*

please.

Let's find it. Here's the first few steps.

```
1. (vec-ref Atom 4
      (fadd1 3
        (fzero 2))
      menu)
2. (\lambda (E \ell)
      (ind-Nat ℓ
         (λ (k)
           (→ (Fin k) (Vec E k)
             E))
         (base-vec-ref E)
        (step-vec-ref E)))
    Atom (add1 3)
    (fadd1 3
      (fzero 2))
    menu)
3. ((ind-Nat (add1 3)
      (λ (k)
        (\rightarrow (Fin k) (Vec Atom k)
           Atom))
      (base-vec-ref Atom)
      (step-vec-ref Atom))
    (fadd1 3
      (fzero 2))
    menu)
4. ((step-vec-ref Atom (add1 2)
      (ind-Nat (add1 2)
         (λ (k)
           (→ (Fin k) (Vec Atom k)
             Atom))
         (base-vec-ref Atom)
         (step-vec-ref Atom)))
    (fadd1 3
      (fzero 2))
    menu)
```
The motive, base, and step in the **ind-Nat**-expression do not change, so they are replaced with an ellipsis, just like in frame 13:34.

74

```
5. ( (((λ (E ℓ-1)
        (λ (vec-ref _{\ell-1})
           (λ (f es)
             (ind-Either f
                (λ (i)
                  E)
                (λ (i-1)
                  (vec-ref ℓ-1
                    i-1 (tail es)))
                (λ (triv)
                  (head es))))))
      Atom (add1 2)
      (ind-Nat (add1 2) …))
      (fadd1 3
         (fzero 2))
      menu)
6. ( (((λ (vec-ref _{\ell-1})
        (λ (f es)
           (ind-Either f
             (λ (i)
                Atom)
             (λ (i-1)
                (vec-ref ℓ-1
                  i-1 (tail es)))
             (λ (triv)
                (head es)))))
      (ind-Nat (add1 2) …))
      (fadd1 3
         (fzero 2))
      menu)
```
That's a good start.

7. ((λ (*f es*) (**ind-Either** *f* (λ (*i*) Atom) (λ (*i-1*) ((**ind-Nat** (add1 2) **…**) *i-1* (**tail** *es*))) (λ (*triv*) (**head** *es*)))) (*fadd1* 3 (*fzero* 2)) *menu*) 8. (**ind-Either** (*fadd1* 3 (*fzero* 2)) (λ (*i*) Atom) (λ (*i-1*) ((**ind-Nat** (add1 2) **…**) *i-1* (**tail** *menu*))) (λ (*triv*) (**head** *menu*))) 9. (**ind-Either** (left (*fzero* 2)) (λ (*i*) Atom) (λ (*i-1*) ((**ind-Nat** (add1 2) **…**) *i-1* (**tail** *menu*))) (λ (*triv*) (**head** *menu*))) 10. ((**ind-Nat** (add1 2) **…**) (*fzero* 2) (**tail** *menu*))

11. (*step-vec-ref* Atom (add1 1) (**ind-Nat** (add1 1) **…**) (*fzero* 2) (**tail** *menu*)) 12.  $( (λ (*E ℓ*-1))$ (λ (*vec-ref*  $_{\ell-1}$ ) (λ (*f es*) (**ind-Either** *f* (λ (*i*) *E*) (λ (*i-1*) (*vec-ref <sup>ℓ</sup>-1 i-1* (**tail** *es*))) (λ (*triv*) (**head** *es*)))))) Atom (add1 1) (**ind-Nat** (add1 1) **…**) (*fzero* 2) (**tail** *menu*)) 13.  $($  (λ (*vec-ref*  $_{l-1}$ ) (λ (*f es*) (**ind-Either** *f* (λ (*i*) Atom) (λ (*i-1*)  $(*vec*-ref<sub>ℓ-1</sub>)$ *i-1* (**tail** *es*))) (λ (*triv*) (**head** *es*))))) (**ind-Nat** (add1 1) **…**) (*fzero* 2) (**tail** *menu*))

75

Almost there! 14.  $($ (λ (*f es*) (**ind-Either** *f* (λ (*i*) Atom) (λ (*i-1*) ((**ind-Nat** (add1 1) **…**) *i-1* (**tail** *es*))) (λ (*triv*) (**head** *es*)))) (*fzero* 2) (**tail** *menu*)) 15. ((λ (*es*) (**ind-Either** (*fzero* 2) (λ (*i*) Atom) (λ (*i-1*) ((**ind-Nat** (add1 1) **…**) *i-1* (**tail** *es*))) (λ (*triv*) (**head** *es*)))) (**tail** *menu*))

16. (**ind-Either** (*fzero* 2) (λ (*i*) Atom) (λ (*i-1*) ((**ind-Nat** (add1 1) **…**) *i-1* (**tail** (**tail** *menu*)))) (λ (*triv*) (**head** (**tail** *menu*)))) 17. (**head** (**tail** *menu*)) 18. **'**kartoffelmad

Finally, my **'**kartoffelmad is here.

## **Enjoy your smørrebrød**

76

**things are about to get subtle.**

## **Turner's Teaser**

Define a function that determines whether another function that accepts any number of Eithers always returns left. Some say that this can be difficult with types.*†* Perhaps they are right; perhaps not.

(**claim** *Two U*) (**define** *Two* (Either Trivial Trivial)) (**claim** *Two-Fun* (*→* Nat *U*)) (**define** *Two-Fun* (λ (*n*) (**iter-Nat** *n Two* (λ (*type*) (*→ Two type*))))) (**claim** *both-left* (*→ Two Two Two*)) (**define** *both-left* (λ (*a b*) (**ind-Either** *a* (λ (*c*) *Two*) (λ (*left-sole*) *b*) (λ (*right-sole*)  $(right sole))))$ 

(**claim** *step-taut* (Π ((*n-1* Nat)) (*→* (*→* (*Two-Fun n-1*) *Two*) (*→* (*Two-Fun* (add1 *n-1*)) *Two*)))) (**define** *step-taut* (λ (*n-1 tautn-1*) (λ (*f*) (*both-left* (*tautn-1* (*f* (left sole))) (*tautn-1* (*f* (right sole))))))) (**claim** *taut* (Π ((*n* Nat)) (*→* (*Two-Fun <sup>n</sup>*) *Two*))) (**define** *taut* (λ (*n*) (**ind-Nat** *n* (λ (*k*) (*→* (*Two-Fun <sup>k</sup>*) *Two*)) (λ (*x*) *x*) *step-taut*)))

*†*Thanks, David A. Turner (1946–).









Here is *=consequence*'s definition.

 $21$  Each does.

22

Check that each part of the table matches.



If *=consequence* tells us it is true about two equal Nats, then it should certainly be true when the Nats are the same.

How can this goal be written as a type?

*n* is clearly the same Nat as *n*.

```
(claim =consequence-same
  (Π ((n Nat))
    (=consequence n n)))
```
That's right.  $2^3$  Here's the start of a proof.

The motive is built by abstracting the **ind-Nat**-expression's type over *n*.







discriminating taste in desserts.

*fika* as well as dessert.

## **Imagine That ...**

**Using types, it is possible to** *assume* **things that may or may not be true, and then see what can be concluded from these assumptions.**



**Either two expressions are the same, or they are not. It is impossible to prove that they are the same because sameness is a judgment, not a type, and a proof is an expression with a specific type.**

Even the Absurd consequences.

It is not possible to prove Absurd, but it is possible to exclude those two Absurd cases using the equality assumption.

Here is that statement as a type that explains how a proof that *n* and *j* are equal can be used.

(**claim** *use-Nat=* (Π ((*n* Nat) (*j* Nat))  $(\rightarrow)$  (= Nat *n i*) (*=consequence n j*)))) So the statement to be proved is

35

"If *n* and *j* are equal Nats, then the consequences from frame 20 follow."

The proof definitely has  $\lambda s$  at the top.



Here comes the trick.

**replace** can make *n* the same as *j*, which allows *=consequence-same* to prove *use-Nat=*.

Then there is no need to worry.

If there is no evidence that *n* equals *j*, then there are no suitable arguments.

 $37$  But what if they are not the same?

<sup>38</sup> Here is the definition with **replace** in the box.



The target is *n=j*.

Should *n* or *j* be the argument to *=consequence-same*?



"If zero equals six, then powdered donuts equal glazed donuts."







The direct approach used in previous invocations of **ind-Vec** does not work here. What is the type of the expression that could fill the box? (**define** *front* (λ (*E ℓ es*) 55

There is no way to fill this box, but this bad definition of *front* provides no evidence of that fact in the base.

(**ind-Vec** (add1 *ℓ*) *es* (λ (*k xs*) *E*)

> (λ (*k h t frontys*) *h*))))

The solution is to change the motive so that the base's type contains this evidence.

**ind-Vec** can eliminate *any* Vec, but *front* only works on Vecs whose length has add1 at the top. Because **ind-Vec** is *too powerful* for this task, it must be

restricted to rule out the need for a base. This is done by carefully choosing the

It would be *E*, but no *E* is available because vecnil is empty.

So the motive isn't boring, is it?

56

What motive can be used here?

What is the purpose of the motive in **ind-Vec**?

The motive explains how the type of the **ind-Vec**-expression depends on the two targets.

motive.

*mot-front* has a type like any other motive.

(**claim** *mot-front* (Π ((*E U*) (*k* Nat)) (*→* (Vec *E k*) *U*)))

That's right.

59 This is no different from other uses of **ind-Vec**.

Please explain that definition.

The definition of *mot-front*, however, is quite different.

(**define** *mot-front* (λ (*E*) (λ (*k es*) (Π ((*j* Nat))  $(\rightarrow$  (= Nat *k* (add1 *j*)) *E*)))))

The argument *k* is a target of **ind-Vec**. Both the base and the step now have two extra arguments: a Nat called *j* and a proof that  $k$  is (add1  $j$ ).

If there were such a  $j$ , then zero would equal (add1 *j*). But *zero-not-add1* proves that this is impossible.

In the base, *k* is zero. Thus, there is no such *j*.

Exactly.

 $\,^{\rm 62}$  What about the step?

*zero-not-add1* can be used with **ind-Absurd** to show that no value is needed for the base.

What is the step's type?  $63$ 

The step's type follows the Law of **ind-Vec**.

(**claim** *step-front*





According to *front*'s type, the Vec's length already has add1 at the top.

Yes.

So the new Nat is  $ℓ$  because the length of the Vec is (add1 *ℓ*).

73 Right.

Because (same (add1 *ℓ*)) does it.

argument.

"(add1 *ℓ*) equals (add1 *ℓ*)" does not require a complicated

Now, define *front*.

And, proving that

74 Because the **ind-Vec**-expression's type is a Π-expression, it can be applied to *ℓ* and (same (add1 *ℓ*)).

```
(define front
 (λ (E ℓ es)
    ((ind-Vec (add1 ℓ) es
       (mot-front E)
       (λ (j eq)
         (ind-Absurd
           (zero-not-add1 j eq)
           E))
       (step-front E))
     ℓ (same (add1 ℓ)))))
```
Congratulations!

75 This sounds like a valuable skill.

Being able to design appropriate motives for definitions such as *front* is very important. A similar technique is used to write *drop-last* or *rest* using **ind-Vec**.

Finding values is a valuable skill as well. What is the value of 1. (*front* Atom 2 (vec:: **'**sprinkles (vec:: **'**chocolate (vec:: **'**maple vecnil))))? The first step is to apply *front* to its arguments. 2. ((**ind-Vec** (add1 2) (vec:: **'**sprinkles (vec:: **'**chocolate (vec:: **'**maple vecnil))) (*mot-front* Atom) (λ (*j eq*) (**ind-Absurd** (*zero-not-add1 j eq*) Atom)) (*step-front* Atom)) 2 (same (add1 2))) What's next?  $77$ ind-Vec's targets have add1 and vec:: at the top, so *step-front* is next. 3. ((*step-front E* 2 **'**sprinkles (vec:: **'**chocolate (vec:: **'**maple vecnil)) (**ind-Vec** 2 (vec:: **'**chocolate (vec:: **'**maple vecnil)) (*mot-front* Atom) (λ (*j eq*) (**ind-Absurd** (*zero-not-add1 j eq*) Atom)) (*step-front* Atom))) 2 (same (add1 2))) 4. ((λ (*j eq*) **'**sprinkles) 2 (same (add1 2))) 5. **'**sprinkles

Take a cozy break for *fika* if you feel the need.

See you in half an hour.











Exactly.

There are, however, some statements that *are* either true or false. These statements are called *decidable* because there is a function that decides whether they are true or false.

106 Can "*X* is decidable" be written as a type?

It certainly can. (**claim** *Dec*  $(\rightarrow \mathcal{U})$ *U*)) (**define** *Dec* (λ (*X*) (Either *X* (*→ <sup>X</sup>* Absurd)))) 107 That looks a lot like *pem*. Another way to phrase *pem* is "All statements are decidable." 108 So *pem*'s claim could have been written using *Dec*. (**claim** *pem*  $(\Pi ((X U))$ (*Dec X*))) Some statements are decidable, even though not all statements are decidable. 109 How about deciding that this has been enough for today? Sure. Tomorrow, we encounter a decidable statement. 110 It's a good thing there are more donuts.

## **Enjoy your donuts you'll need your energy for tomorrow's decisions.**

*This page is not unintentionally left blank.*







What about the step?  $13$ The step's type is (Π ((*j-1* Nat)) (*→* (*Dec*  $($  Nat zero  $j-1)$ ) (*Dec*  $($  Nat zero  $(\text{add1 } j-1))))$ . Is zero ever equal to a Nat with add1 at the top?  $^{14}$  No. Prove that "zero is not equal to (add1 *j-1*)." 15 The proof is (*zero-not-add1 j-1*). That's right. Use this to define the step. 16 *zero?* is not really recursive, so *zero?n-1* is dim. The proof that (add1 *j-1*) is not equal to zero is tucked under a right because *Dec* is defined to mean Either. (**define** *zero?* (λ (*j*) (**ind-Nat** *j* (λ (*k*) (*Dec*  $( =$  Nat zero  $k))$ (left (same zero)) (λ (*j-1 zero?n-1*) (right (*zero-not-add1 j-1*))))))
*zero?* is both a *proof* that equality with zero is either true or false and a *function* that decides whether any given Nat is equal to zero.

In fact,

"For *every* two natural numbers *n* and *j*, it is decidable whether *n* equals *j*."

That's a bold claim.

17

(**claim** *nat=?* (Π ((*n* Nat) (*j* Nat)) (*Dec*  $( = \text{Nat } n j)))$ 





What has that type?  $26$ 

its type?

insight.

*zero?* has that type.

(**define** *nat=?* (λ (*n j*) ((**ind-Nat** *n mot-nat=? zero?* ) *j*))) The step is still an empty box. What is 27 For **ind-Nat**, the type of the step is found using the motive. (**claim** *step-nat=?* (Π ((*n-1* Nat)) (*→* (*mot-nat=? n-1*) (*mot-nat=?* (add1 *n-1*))))) The types of the step and the motive are determined by the Law of **ind-Nat**. Their definitions, however, may both require Define *step-nat=?*. 28 Here's a start. (**define** *step-nat=?* (λ (*n-1*) (λ (*nat=?n-1*) (λ (*j*) )))) *step-nat=?*'s type has a  $\Box$  and an  $\rightarrow$ , but that definition has three λs. Why is the innermost  $\lambda$  present? 29 The innermost  $\lambda$ -expression is there because (*mot-nat=?* (add1 *n-1*))

and

(Π ((*j* Nat)) (*Dec*  $( = \text{Nat } (\text{add1 } n-1) j))$ are the same type.

Now it is time to decide whether (add1 *n-1*) equals *j*.

Checking whether *j* is zero requires an eliminator.

30 If *j* is zero, then they are certainly not equal.

**ind-Nat** is the only eliminator for Nat that allows the type to depend on the target, and *j* is in the type.



In this definition, the base is much easier than the step. What is the base's type?

### The base's type is

#### (*Dec*

(= Nat (add1 *n-1*) zero)).

The base has right at the top because (add1 *n-1*) certainly does not equal zero.



32

31





If we can decide whether

*n-1* and *j-1* are equal,

then we can also decide whether

(add1  $n-1$ ) and (add1  $j-1$ ) are equal.



<sup>43</sup> If *n-1* equals *j-1*, then **cong** can make (add1 *n-1*) equal (add1 *j-1*). And if they are not equal, then working backwards with *sub1=* is enough to be Absurd.

Checking both cases means **ind-Either**.

Start the definition.

<sup>44</sup> The motive in **ind-Either** ignores its argument because the type does not depend on the target.







Now complete the definition.  $50$ 

*dec-add1=* is a bit long.

```
(define dec-add1=
  (λ (n-1 j-1 eq-or-not)
    (ind-Either eq-or-not
      (λ (target)
         (Dec
           (= Nat (add1 n-1) (add1 j-1))))
      (λ (yes)
         (left
           \left(\text{cong yes } (+1))\right)(λ (no)
         (right
           (λ (n=j)
             (no
                (sub1= n-1 j-1
                  n=j))))))))
```
Finish *step-nat=?*.

 $51\,$ Here it is.

```
(define step-nat=?
  (λ (n-1 nat=?n-1 j)
    (ind-Nat j
       (λ (k)
         (Dec
            ( = \text{Nat } (\text{add1 } n-1) k))(right
          (add1-not-zero n-1))
       (λ (j-1 nat=?n-1)
         (dec-add1= n-1 j-1
            (nat = ?<sub>n-1</sub> j-1))))
```
Now that the motive, the base, and the step are completed for *nat=?*, it can be given a solid box.

52 It is decidable whether two natural numbers are equal.

(**define** *nat=?* (λ (*n j*) ((**ind-Nat** *n mot-nat=? zero? step-nat=?*) *j*)))

Just like *even-or-odd*, *nat=?* is both a *proof* that makes a statement true and a *function* that determines whether any two numbers are equal. Because *nat=?* is total and because it provides *evidence*, there is no way that it can find the wrong value.

Why was there no food in this chapter?

Numbers nourish our minds, not our bodies.

But a weak body leads to a weak mind.

### **Go enjoy a banquet you've earned it!**

54



Pie is a small language—small enough to be understood completely. Now, it may be time to continue with more sophisticated dependently typed languages.<sup>1</sup>

In addition to type constructors like Π and  $\Sigma$ , these languages include five extensions: infinitely many universes, the ability to define new type constructors and their associated data constructors, the ability to define functions through pattern matching, the ability to leave out expressions that the language can find on its own, and tactics for automating proof construction.

# **A Universe Hierarchy**

In Pie, there is a single universe type, called  $U$ . While  $U$  is a type,  $U$  does not describe itself nor any type that can contain a  $U$ , such as (List  $U$ ). While more universes are not needed for any of the examples in this book, it is sometimes necessary to have a type that describes  $\mathcal U$  (and sometimes even a type that describes the type that describes  $U$ ). By including infinitely many universes, each describing the previous ones, more sophisticated languages ensure that there are always sufficient universes to solve each problem.

# **Inductive Datatypes**

Some types that one might propose do not make sense. Restricting Pie to a fixed collection of types ensures that no type can undermine the system as a whole. Some problems, however, cannot be easily expressed using the tools in this book. More sophisticated languages allow for adding new datatype type constructors.<sup>2</sup> These new types are called *inductive datatypes* because their eliminators express the mathematical idea of induction.

If Pie did not already feature lists, then adding them could require the following declaration: if  $E$  is a  $U$ , then (List  $E$ ) is a  $U$ . In addition, there are two constructors: nil, which is a (List  $E$ ), and  $::$ , which needs two arguments. The name for an eliminator is also needed. The Laws and Commandments for the eliminator are based on the provided constructors.



These new inductive datatypes might have both parameters, which do not vary between the constructors, and indices, which can vary between them (as discussed in frame 11:14). For Vec, the first argument is a parameter, while the length varies between vec:: and vecnil.

```
(data Less-Than () ((j Nat) (k Nat))
  (zero-smallest ((n Nat))
    (Less-Than zero (add1 n)))
  (add1-smaller ((j Nat)
                 (k Nat)
                 (j<k (Less-Than j k)))
    (Less-Than (add1 j) (add1 k)))
  ind-Less-Than)
```
As an example of an indexed family, the datatype Less-Than is evidence that one number is smaller than another. Because the constructors impose different values on

<sup>&</sup>lt;sup>1</sup>Examples include Coq, Agda, Idris, and Lean.

<sup>2</sup>Thanks, Peter Dybjer (1953–).

each Nat, the Nats are indices. The Law of **ind-Less-Than** follows a pattern that should be familiar from other types: if *target* is a

(Less-Than *j k*)*,*

*mot* is a

```
(Π ((j Nat)
      (k Nat))
   (\rightarrow (Less-Than j k) \mathcal{U})),
```
*base* is a

```
(Π ((k Nat)
    (lt (Less-Than zero (add1 k))))
  (mot zero k lt)),
```
and *step* is a

```
(Π ((j Nat)
    (k Nat)
    (j<k (Less-Than j k)))
  (→ (mot j k j < k))(mot (add1 j) (add1 k)
      (add1-smaller j k j<k)))),
```
then (**ind-Less-Than** *target mot base step*) is a (*mot j k target*).

The ability to define new datatypes makes it much more convenient to do complicated things in these other languages. Furthermore, using eliminators directly, as we have in Pie, is not particularly convenient for larger problems.

# **Recursive Functions with Pattern Matching**

The basic principle of eliminators is that for each constructor, we need to explain what must be done to satisfy the motive using the information inside the constructor. Recursion is made safe by having each eliminator be responsible for ensuring that recursive computation is performed only on smaller values.

An alternative way to define functions is with *pattern matching* and a safe form of recursion.<sup>3</sup> More sophisticated languages also allow recursive functions to be defined by directly explaining what action to take with each possible value. For instance, *length* could have been written as follows:

(**claim** *length* (Π ((*E* Nat)) (*→* (List *<sup>E</sup>*) Nat))) (**define** *length* (λ (*E es*) (**match** *es* (nil zero) ((:: *x xs*) (add1 (*length xs*))))))

While recursion is not an option in Pie, sophisticated languages have additional checks to ensure that recursion is only used safely, and can thus allow it.

While *front*'s definition in frame 15:74 requires a more informative motive to rule out the vecnil case, as well as extra arguments to satisfy the motive, a definition with pattern matching is more direct. Not only does it work, but it is also more understandable and more compact.

(**claim** *front* (Π ((*E U*) (*n* Nat)) (*→* (Vec *<sup>E</sup>* (add1 *<sup>n</sup>*)) *<sup>E</sup>*))) (**define** *front* (λ (*E n es*) (**match** *es*  $((vec:: x xs) x)))$ 

Sometimes, we only care *that* we have evidence for a statement, not *which* evidence it is. In such situations, writing the evidence out explicitly is not always appealing—especially when that evidence

<sup>3</sup>Thanks, Thierry Coquand (1961–).

consumes many pages. Truly verbose evidence can even require a whole bookshelf, while being repetitive and tedious rather than pithy and interesting.

# **Implicit Arguments**

Programs written with dependent types have a tendency to grow quickly. For instance, *length* requires not only a list, but also the type of entries in that list, and *vec-append* requires the type of entries *and* the respective lengths of the vectors being appended. This information, however, is already available in the types of later arguments, so it would be convenient to be able to omit some of it.

More sophisticated languages provide a mechanism called *implicit* or *hidden* arguments.<sup>4</sup> These arguments are to be discovered by the system, rather than the responsibility of the user.

Pie could be extended with implicit arguments. One way to do this would be to add three new syntactic forms:

- 1. an implicit Π, say Π*∗*, that works just like the ordinary Π, except that its arguments are marked implicit,
- 2. an implicit  $\lambda$ , say  $\lambda$ <sup>\*</sup>, that works just like the ordinary  $\lambda$ , except that its arguments are marked implicit, and
- 3. an implicit function application, say **implicitly**, that marks its arguments as filling an implicit rather than explicit role.

With these features, *length* could be written so that the type of entries is hidden, and automatically discovered.

```
4Thanks, Randy Pollack (1947–).
```

```
5Thank you, Robin Milner (1934–2010).
```

```
(claim length
  (Π∗ ((E U))
    (→ (List E) Nat)))
(define length
  (λ∗ (E)
    (λ (es)
      (rec-List es
        \Omega(λ (e es ℓ)
           (add1 ℓ))))))
```
Then, the expression

(*length* (:: **'**potato (:: **'**gravy nil)))

would be the equivalent of having written

```
(length Atom (:: 'potato (:: 'gravy nil)))
```
in Pie using the definition of *length* from chapter 5. Similarly,

(**implicitly** *length* Atom)

would be an

(*→* (List Atom) Nat)*.*

Implicit arguments allow definitions to be just as concise as the built-in constructors and eliminators.

# **Proof Tactics**

Here is another way to define *even-or-odd*. Instead of directly constructing the evidence that every natural number is either even or odd, this version uses *proof tactics*<sup>5</sup> to automate the definition.

A tactic is a program in a special language that is provided with a desired type (called a *goal*) that either succeeds with zero or more new goals or fails. Further tactics can then be deployed to solve these new goals until all tactics have succeeded with no remaining goals. Then, evidence for the original goal is the result of the tactic program. If Pie had tactics, then evidence for *even-or-odd* could be constructed with a tactic script instead of being written as an expression.



Here, intro is a tactic that succeeds when the goal type has Π at the top, binding the name given as an Atom using  $\lambda$ . elim uses an appropriate eliminator, here **ind-Nat** and **ind-Either**, respectively. apply uses an expression to solve the goal, but leaves behind new goals for each argument needed by the expression. then causes each tactic in sequence to be used in all of the new goals from the preceding tactic. When used as tactics, right and left succeed when the goal has Either at the top, and provide Either's respective argument types as new goals. auto takes care of simple evidence completely on its own. The result of these tactics is the same as the *even-or-odd* defined in chapter 13.

Tactics can be combined to create new tactics, which allows even very complicated and tedious evidence to be constructed using very small programs. Furthermore, it is possible to write one tactic that can solve many different goals, allowing it to be used again and again.

Each sophisticated language for programming and proving has some mix of the useful, yet more complicated, features described here. Do not be concerned—while these languages have features that make programs easier to write, the underlying ideas are the familiar ideas from Pie. We wish you the best in your further exploration of dependent types.

## **Some Books You May Love**

*Flatland: A Romance of Many Dimensions* by Edwin A. Abbott. Seeley & Co. of London, 1884. *Gödel's Proof* by Ernest Nagel and James R. Newman. NYU Press, 1958. *Grooks* by Piet Hein. MIT Press, 1966. *Gödel, Escher, Bach: An Eternal Golden Braid*

by Douglas R. Hofstadter. Basic Books, 1979.

*To Mock a Mockingbird and Other Puzzles* by Raymond Smullyan. Knopf, 1985.

*Sophie's World: A Novel About the History of Philosophy* by Jostein Gaarder. Farrar Straus Giroux, 1995.

*Logicomix*

by Apostolos Doxiadis, Christos H. Papadimitriou, Alecos Papadatos, and Annie Di Donna. Bloomsbury USA, 2009.

*Computation, Proof, Machine: Mathematics Enters a New Age* by Gilles Dowek. Cambridge University Press, 2015.



This appendix is for those who have some background in the theory of programming languages who want to compare Pie to other languages or who want to implement Pie from scratch. Three good books that can be used to get this background are Harper's *Practical Foundations for Programming Languages*, Pierce's *Types and Programming Languages*, and Felleisen, Findler, and Flatt's *Semantics Engineering with PLT Redex*.

When implementing dependent types, there are two questions to be answered: *when* to check for sameness, and *how* to check for sameness. Our implementation of Pie uses bidirectional type checking (described in the section **Forms of Judgment**) to decide when, and normalization by evaluation (described in the section **Normalization**) as the technique for checking sameness.

## **Forms of Judgment**

While Pie as described in the preceding chapters is a system for guiding human judgment, Pie can also be implemented in a language like Scheme. In an implementation, each form of judgment corresponds to a function that determines whether a particular judgment is believable by the Laws and Commandments. To make this process more straightforward, implementations of Pie have additional forms of judgment.

Although chapter 1 describes four forms of judgment, this appendix has additional details in order to precisely describe Pie's implementation. In the implementation, expressions written in the language described in the preceding chapters are simultaneously checked for validity and translated into a simpler core language. Elaboration into Core Pie can be seen as similar to macro expansion of Scheme programs.

Only the simpler core language is ever checked for sameness. The complete grammars of Pie and Core Pie are at the end of the appendix, on pages 392 and 393. When the distinction between them is important, *e* is used to stand for expressions written in Pie and *c* is used to stand for expressions written in Core Pie.

The forms of judgment for implementations of Pie are listed in figure B.1. When a form of judgment includes the bent arrow  $\sim$ , that means that the expression following the arrow is output from the elaboration algorithm. All contexts and expressions that precede the arrow are input to the elaboration algorithm, while those after the arrow



Figure B.1: Forms of Judgment

are output. When there is no  $\sim$  in a form of judgment, then there is no interesting output, and the judgment's program can only succeed or fail.

When a form of judgment includes a turnstile *⊢*, the position before the turnstile is a *context*. Contexts assign types to free variables. In Pie, the order of the variables listed in a context matters because a type may itself refer to variables from earlier in the context. Contexts are represented by the variable  $\Gamma$ ,<sup>1</sup> and are described by the following grammar:

Γ ::= *•* Empty context *|* Γ*, x* : *c<sup>t</sup>* Context extension

In Scheme, contexts can be represented by association lists that pair variables with their types.

Forms of judgment occur within *inference rules*. An inference rule consists of a horizontal line. Below the line is a *conclusion*, and above the line is any number of *premises*. The premises are either written next to each other or on top of each other, as in figure B.2. The meaning of the rule is that, if one believes in the premises, then one should also believe in the conclusion. Because the same conclusion can occur in multiple rules, belief in the premises cannot be derived from belief in the conclusion. Each rule has a name, written in SMALL CAPS to the right of the rule.

$$
\begin{array}{ccc} \text{premise}_0 & \cdots & \text{premise}_n \\ & \text{premise}_0 & \cdots & \text{premise}_n \\ \text{conclusion} & & \\ \end{array} \hspace{.5cm} [\text{NAME}] \hspace{1.5cm} \begin{array}{c} \text{premise}_n \\ \text{premise}_n \\ \text{conclusion} \end{array} \hspace{.5cm} [\text{NAME}]
$$

Figure B.2: Inference Rules

When reading the rules as an algorithm, each form of judgment should be implemented as a function. When an expression occurs in input position in the conclusion of an inference rule, it should be read as a *pattern* to be matched against the input. When it is in output position, it should be read as *constructing* the result of the algorithm. When an expression occurs in an input position in a premise, it represents input being constructed for a recursive call, and when it occurs in the output position in a premise, it represents a pattern to be matched against the result returned from the recursive call. Italic variables in patterns are bound when a pattern matches, and italic variables in a construction are occurrences bound by patterns, in a manner similar to quasiquotation in Scheme. If any of the patterns do not match, type checking should *fail* because the rule is not relevant. If all the patterns match, type checking should *succeed*, returning the constructed result after the bent arrow. If there is no bent arrow, then type checking should indicate success by returning a trivial value, such as the empty list in Scheme or the element of the unit type in some other language.

<sup>&</sup>lt;sup>1</sup>Γ is pronounced "gamma."

Γ **ctx** None  $\Gamma \vdash \mathbf{fresh} \leadsto x$   $\Gamma \text{ is a context.}$ <br> $\Gamma \vdash x \mathbf{lookup} \leadsto c_t$   $\Gamma \text{ is a context.}$  $\Gamma \vdash x$  **lookup**  $\sim c_t$   $\Gamma$  is a context.<br>  $\Gamma \vdash e_t$  **type**  $\sim c_t$   $\Gamma$  is a context.  $\Gamma \vdash e_t$  **type**  $\leadsto c_t$ <br> $\Gamma \vdash c_1 \equiv c_2$  **type**  $\Gamma \vdash c_1 \equiv c_2$  **type**  $\Gamma$  is a context, and  $c_1$  and  $c_2$  are both types.<br>  $\Gamma \vdash e \in c_t \sim c_e$   $\Gamma$  is a context and  $c_t$  is a type.  $Γ$  is a context and  $c_t$  is a type.<br> $Γ$  is a context.  $\Gamma \vdash e \text{ synth} \leadsto (\text{the } c_t \ c_e)$ <br>  $\Gamma \vdash c_1 \equiv c_2 : c_t$  $\Gamma$  is a context,  $c_t$  is a type,  $c_1$  is a  $c_t$ , and  $c_2$  is a  $c_t$ .

Figure B.3: Presuppositions

Each form of judgment has presuppositions that must be believed before it makes sense to entertain a judgment. In a type checking algorithm, presuppositions are aspects of expressions that should have already been checked before they are provided as arguments to the type checking functions. The presuppositions of each form of judgment are in figure B.3.

When matching against a concrete expression in a rule, the algorithm must reduce the expression enough so that if it doesn't match, further reduction cannot make it match. Finding a neutral expression or a value that is the same as the expression being examined is sufficient. A concrete implementation can do this by matching against the values used in normalization rather than against syntax that represents these values. This also provides a convenient way to implement substitution by instantiating the variable from a closure instead of manually implementing capture-avoiding substitution.



There are two putative rules that govern  $\Gamma$  ctx: EMPTYCTX and EXTCTX.

• **ctx** [EMPTYCTX] 
$$
\frac{\Gamma \text{ ctx} \quad \Gamma \vdash c_t \equiv c_t \text{ type}}{\Gamma, x : c_t \text{ ctx}} \text{ [EXTCTX]}
$$

Rather than repeatedly checking that all contexts are valid, however, the rest of the rules are designed so that they never add a variable and its type to the context unless the type actually is a type in that context. This maintains the invariant that contexts contain only valid types. Thus, Γ **ctx** need not have a corresponding function in an implementation.

From time to time, elaboration must construct a variable that does not conflict with any other variable that is currently bound. This is referred to as finding a *fresh* variable and is represented as a form of judgment  $\Gamma \vdash \mathbf{fresh} \leadsto x$ . This form of judgment can either be implemented using a side-effect such as Lisp's gensym or by repeatedly modifying a name until it is no longer bound in Γ.

Because the algorithmic system Pie is defined using elaboration that translates Pie into Core Pie, it does not make sense to ask whether a Core Pie expression is a type

or has a particular type. This is because the translation from Pie to Core Pie happens as part of checking the original Pie expression, so the input to the elaboration process is Pie rather than Core Pie.<sup>2</sup> The rules of sameness have been designed such that only expressions that are described by a type are considered the same, and only types are considered to be the same type. This means that sameness judgments can be used to express that one expression describes another, or that an expression is a type. An example of this approach can be seen in EXTCTX, where  $c_t$  being a type under  $\Gamma$  is expressed by requiring that it be the same type as itself under Γ.

## **Normalization**

The process of checking whether the judgments  $\Gamma \vdash c_1 \equiv c_2$  **type** and  $\Gamma \vdash c_1 \equiv c_2 : c_t$ are believable is called *conversion checking*. To check for conversion, the Pie implementation uses a technique called *normalization by evaluation*, <sup>3</sup> or NbE for short. The essence of NbE is to define a notion of *value* that represents only the normal forms of the language, and then write an interpreter from Core Pie syntax into these values. This process resembles writing a Scheme interpreter, as is done in chapter 10 of *The Little Schemer*. Then, the value's type is analyzed to determine what the normal form should look like, and the value itself is converted back into syntax. Converting a value into its normal form is called *reading back* the normal form from the value.

The notion of value used in NbE is related to the notion of value introduced in chapter 1, but it is not the same. In NbE, values are mathematical objects apart from the expressions of Pie or Core Pie, where the results of computation cannot be distinguished from incomplete computations. Examples of suitable values include the untyped λ-calculus, Scheme functions and data, or explicit closures.

Evaluation and reading back are arranged to always find normal forms. This means that the equality judgments can be decided by first normalizing the expressions being compared and then comparing them for  $\alpha$ -equivalence. While the typing rules are written as though they use only the syntax of the surface and core languages, with capture-avoiding substitution to instantiate variables, an actual implementation can maintain closures to represent expressions with free variables, and then match directly on the values of types rather than substituting and normalizing.

Here, we do not specify the precise forms of values, nor the full normalization procedure. Indeed, any conversion-checking technique that respects the Commandments for each type, including the  $\eta$ -rules, is sufficient. Additionally, there are ways of comparing expressions for sameness that do not involve finding normal forms and comparing them. The Commandments are given here as a specification that the conversion algorithm should fulfill. See Andreas Abel's habilitation thesis *Normalization by Evaluation: Dependent Types and Impredicativity* for a complete description of NbE.

<sup>&</sup>lt;sup>2</sup>It would be possible to write a separate type checker for Core Pie, but this is not necessary.

 $3$ Thanks, Ulrich Berger (1956–), Helmut Schwichtenberg (1942–), and Andreas Abel (1974–).

## **The Rules**

The rules use *italic* letters to stand for arbitrary expressions, and letters are consistently assigned based on the role played by the expression that the letter stands for. Letters that stand for other expressions are called *metavariables*. Please consult figure B.1 to see which positions are written in which language, and figure B.4 to see what each metavariable stands for.

When one metavariable stands for the result of elaborating another expression, the result has a lower-case letter o (short for *output*) as a superscript. So  $b^o$  is the result of elaborating an expression *b*. When the same metavariable occurs multiple times in a rule, each occurrence stands for identical expressions; if there are multiple metavariables that play the same role, then they are distinguished via subscripts. Sometimes, subscripts indicate a sequence such as  $x_1 \ldots x_n$ . Otherwise, the subscripts 1 and 2 or 3 and 4 are used for expressions that are expected to be the same. Even though two metavariables have different subscripts, they may nevertheless refer to the same expression; the subscripts allow them to be different but do not require them to be different.

The most basic rules are those governing the interactions between checking and synthesis. Changing from checking to synthesis requires an equality comparison, while changing from synthesis to checking requires an annotation to check against.<sup>4</sup> Annotations are the same as the annotated expression.

$$
\frac{\Gamma \vdash X \text{ type } \sim X^o \quad \Gamma \vdash \text{expr} \in X^o \sim \text{expr}^o}{\Gamma \vdash (\text{the } X \text{ expr}) \text{ synth} \sim (\text{the } X^o \text{ expr}^o)} \text{ [THE]}
$$
\n
$$
\frac{\Gamma \vdash \text{expr} \text{ synth} \sim (\text{the } X_1 \text{ expr}^o) \quad \Gamma \vdash X_1 \equiv X_2 \text{ type}}{\Gamma \vdash \text{expr} \in X_2 \sim \text{expr}^o} \text{ [SWITCH]}
$$

To read these rules aloud, take a look at the labeled copy of The below. Start below the line, in the conclusion, and identify the form of judgment. In this case, it is type synthesis. Begin at the position labeled **A**. If the input matches (that is, if the current task is to synthesize a type for a the-expression), proceed to the premises. Identify the form of judgment used in the first premise **B**: that *X* is a type. Checking that *X* is a type yields a Core Pie expression  $X^o$  as output, at position **C**. This Core Pie expression is used as input to the next premise, at position **D**, which checks that *expr* is an *X<sup>o</sup>* , yielding an elaborated Core Pie version called *expr<sup>o</sup>* at position **E**. Finally, having satisfied all of the premises, the result of the rule is constructed at position **F**.

$$
\frac{\textcircled{B}\Gamma\vdash X\ \text{type}\sim\textcircled{C}X^o\qquad\textcircled{D}\Gamma\vdash \textit{expr}\in X^o\sim\textcircled{E}\textit{expr}^o}{\textcircled{A}\Gamma\vdash (\text{the }X\ \textit{expr})\ \text{synth}\sim\textcircled{F}(\text{the }X^o\ \textit{expr}^o)}\ [ \text{THE}]
$$

<sup>4</sup>Thanks, Benjamin C. Pierce (1963–) and David N. Turner (1968–).

A the-expression is the same as its second argument. Try reading this rule aloud.

$$
\Gamma \vdash expr_1 \equiv expr_2 : X
$$
  
 
$$
\Gamma \vdash (\text{the } X \text{ } expr_1) \equiv expr_2 : X
$$
 [THESAME]

Aside from  $[THE]$ ,  $[SWITCH]$ , and one of the rules for  $U$ , the rules fall into one of a few categories:

- 1. *formation rules*, which describe the conditions under which an expression is a type;
- 2. *introduction rules*, which describe the constructors for a type;
- 3. *elimination rules*, which describe the eliminators for a type;
- 4. *computation rules*, which describe the behavior of eliminators whose targets are constructors;
- 5. *η-rules*, which describe how to turn neutral expressions into values for some types; and
- 6. *other sameness rules*, which describe when sameness of subexpressions implies sameness of whole expressions.

Formation, introduction, and elimination rules correspond to the Laws, while the remaining rules correspond to the Commandments. The names of rules begin with an indication of which family of types they belong to. For instance, rules about Atom begin with Atom, and rules about functions begin with Fun. Formation, introduction, and elimination rules then have an F, I, or E, respectively. Computation rules include the letter ι (pronounced "iota") in their names, with the exception of [FunSame-*β*] and [THESAME]. The  $\eta$ -rules contain  $\eta$  in their names, and the other sameness rules are named after the syntactic form at the top of their expressions.

#### **Sameness**

Sameness is a partial equivalence relation; that is, it is symmetric and transitive. Additionally, the rules are arranged such that, for each type, the expressions described by that type are the same as themselves. It is important to remember that rules whose conclusions are sameness judgments are *specifications* for a normalization algorithm, rather than a description of the algorithm itself. Algorithms for checking sameness do not typically include rules such as [SameSymm] on page 370 because it could be applied an arbitrary number of times without making progress.



Figure B.4: Metavariables

$$
\frac{\Gamma \vdash \text{expr}_2 \equiv \text{expr}_1 : X}{\Gamma \vdash \text{expr}_1 \equiv \text{expr}_2 : X} \quad \text{[SAMESYMM]}
$$
\n
$$
\frac{\Gamma \vdash \text{expr}_1 \equiv \text{expr}_2 : X \qquad \Gamma \vdash \text{expr}_2 \equiv \text{expr}_3 : X}{\Gamma \vdash \text{expr}_1 \equiv \text{expr}_3 : X} \quad \text{[SAMETrans]}
$$

#### **Variables**

The form of judgment with fewest rules is  $\Gamma \vdash x$  **lookup**  $\sim c_t$ . It has two rules: LookupStop and LookupPop.

 $\Gamma, x : X \vdash x$ **lookup**  $\sim$  *X* [LOOKUPSTOP]

$$
\frac{x \neq y \qquad \Gamma \vdash x \text{ lookup} \leadsto X}{\Gamma, y : Y \vdash x \text{ lookup} \leadsto X} \text{ [LOOKUPPOP]}
$$

Read aloud, LookupStop says:

To look up *x* in a context  $\Gamma, x : X$ , succeed with *X* as a result.

and LookupPop says:

To look up *x* in a context Γ*, y* : *Y* , make sure that *x* and *y* are not the same name, and then recursively look up *x* in Γ.

Together, these rules describe looking up a name in an association list using Scheme's assoc to find a name-type pair. Looking up a variable is used in the rule Hypothesis, which describes how to synthesize a type for a variable.

$$
\frac{\Gamma \vdash x \text{ lookup} \leadsto X}{\Gamma \vdash x \text{ synth} \leadsto (\text{the } X \ x)}
$$
 [HYPOTHESIS]

To read HYPOTHESIS aloud, say:

To synthesize a type for a variable  $x$ , look it up in the context Γ. If the lookup succeeds with type *X*, synthesis succeeds with the Core Pie expression (the *X x*).

The conclusion of HypothesisSame rule below is a judgment of sameness, so it is a specification for the normalization algorithm.

$$
\frac{\Gamma \vdash x \text{ lookup} \leadsto X}{\Gamma \vdash x \equiv x : X} [\text{HYPOTHESISSAME}]
$$

HypothesisSame says:

If a variable *x* is given type *X* by the context Γ, then conversion checking must find that *x* is the same *X* as *x*.

As you read the rest of this appendix, remember to read the rules aloud to aid understanding them.

#### **Atoms**

In these rules, the syntax  $\lceil \textit{sym} \rceil$  stands for a literal Scheme symbol that satisfies the definition of atoms in chapter 1: namely, that they consist of a non-empty sequence of letters and hyphens.

$$
\boxed{\Gamma \vdash \text{Atom type} \rightsquigarrow \text{Atom}} \text{[ATOMF]}
$$
\n
$$
\boxed{\Gamma \vdash \text{Atom} \equiv \text{Atom type}} \text{[ATOMSAME-Atom]}
$$
\n
$$
\boxed{\Gamma \vdash \text{'[} \text{syml] \text{ synth}} \rightsquigarrow (\text{the Atom } \text{'[} \text{syml]})} \text{[ATOMI]}
$$
\n
$$
\boxed{\Gamma \vdash \text{'[} \text{syml} \equiv \text{'[} \text{syml} \text{ : Atom}} \text{[ATOMSAME-TICK]}
$$

**Pairs**

$$
\frac{\Gamma\vdash A\;\; \mathbf{type}\leadsto A^o\; \quad \Gamma,x:A^o\vdash D\;\; \mathbf{type}\leadsto D^o}{\Gamma\vdash (\Sigma\;((x\; A))\; D)\;\; \mathbf{type}\leadsto(\Sigma\;((x\; A^o))\; D^o)}\; [\Sigma\texttt{F-1}]
$$

$$
\frac{\Gamma \vdash A \ \mathbf{type} \leadsto A^o}{\Gamma, x : A^o \vdash (\Sigma ((x_1 A_1) \ldots (x_n A_n)) D) \ \mathbf{type} \leadsto X}
$$
\n
$$
\frac{\Gamma \vdash (\Sigma ((x A) (x_1 A_1) \ldots (x_n A_n)) D) \ \mathbf{type} \leadsto (\Sigma ((x A^o)) X)}{\Gamma \vdash (\Sigma ((x A) (x_1 A_1) \ldots (x_n A_n)) D) \ \mathbf{type} \leadsto (\Sigma ((x A^o)) X)}
$$

$$
\frac{\Gamma \vdash A \text{ type} \rightsquigarrow A^o \qquad \Gamma \vdash \text{ fresh} \rightsquigarrow x \qquad \Gamma, x : A^o \vdash D \text{ type} \rightsquigarrow D^o}{\Gamma \vdash (\text{Pair } A \ D) \text{ type} \rightsquigarrow (\Sigma ((x A^o)) D^o)}
$$
 [ZF-Pair]  

$$
\frac{\Gamma \vdash A_1 \equiv A_2 \text{ type} \qquad \Gamma, x : A_1 \vdash D_1 \equiv D_2 \text{ type}}{\Gamma \vdash (\Sigma ((x A_1)) D_1) \equiv (\Sigma ((x A_2)) D_2) \text{ type}} [\Sigma \text{SAME-}\Sigma]
$$

The second premise in  $\Sigma I$  below contains the expression  $D[a^o/x]$ . The brackets mean that *capture-avoiding substitution* should be used to consistently replace every x in  $D$  with  $a^o$ . This can be implemented by using values with closures rather than explicit substitution.

$$
\frac{\Gamma \vdash a \in A \leadsto a^o \qquad \Gamma \vdash d \in D[a^o/x] \leadsto d^o}{\Gamma \vdash (\text{cons } a \ d) \in (\Sigma ((x \ A)) \ D) \leadsto (\text{cons } a^o \ d^o)} \ [\Sigma \mathbf{I}]
$$

Try reading ΣI aloud.

$$
\Gamma \vdash a_1 \equiv a_2 : A \qquad \Gamma \vdash d_1 \equiv d_2 : D[a_1/x] \qquad [\Sigma \text{SAME-cons}]
$$
\n
$$
\Gamma \vdash (\text{cons } a_1 d_1) \equiv (\text{cons } a_2 d_2) : (\Sigma ((x A)) D) p r^o) \qquad [\Sigma E-1]
$$
\n
$$
\frac{\Gamma \vdash pr \text{ synth} \sim (\text{the } (\Sigma ((x A)) D) pr^o)}{\Gamma \vdash (\text{car } pr) \text{ synth}} \sim (\text{the } A (\text{car } pr^o))} [\Sigma E-1]
$$
\n
$$
\frac{\Gamma \vdash pr_1 \equiv pr_2 : (\Sigma ((x A)) D)}{\Gamma \vdash (\text{car } pr_1) \equiv (\text{car } pr_2) : A} [\Sigma \text{SAME-car}]
$$
\n
$$
\frac{\Gamma \vdash a_1 \equiv a_2 : A \qquad \Gamma, x : A \vdash d \equiv d : D}{\Gamma \vdash (\text{car } (\text{cons } a_1 d)) \equiv a_2 : A} [\Sigma \text{SAME-tl}]
$$
\n
$$
\frac{\Gamma \vdash pr \text{ synth} \sim (\text{the } (\Sigma ((x A)) D) pr^o)}{\Gamma \vdash (\text{cdr } pr) \text{ synth}} \sim (\text{the } D[(\text{car } pr^o)/x] (\text{cdr } pr^o))} [\Sigma E-2]
$$
\n
$$
\frac{\Gamma \vdash pr_1 \equiv pr_2 : (\Sigma ((x A)) D)}{\Gamma \vdash (\text{cdr } pr_1) \equiv (\text{cdr } pr_2) : D[(\text{car } pr_1)/x]} [\Sigma \text{SAME-cdr}]}
$$
\n
$$
\frac{\Gamma \vdash a_1 \equiv a_2 : A \qquad \Gamma, x : A \vdash d_1 \equiv d_2 : D}{\Gamma \vdash (\text{cdr } (\text{cons } a_1 d_1)) \equiv d_2 : D[a_2/x]} [\Sigma \text{SAME-cl}]
$$
\n
$$
\frac{\Gamma \vdash pr_1 \equiv pr_2 : (\Sigma ((x A)) D)}{\Gamma \vdash pr_1 \equiv (\text{cons } (\text{car } pr_2)) (\text{cdr } pr_2)) : (\Sigma ((x A)) D)} [\Sigma \text{SAME-rl}]
$$

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### **Functions**

$$
\frac{\Gamma \vdash Arg\;\textbf{type}\leadsto Arg^o\; \quad \Gamma, x: Arg^o \vdash R\;\textbf{type}\leadsto R^o}{\Gamma \vdash (\Pi\;((x\; Arg))\;R)\;\textbf{type}\leadsto(\Pi\;((x\; Arg^o))\;R^o)}\;[\text{FUNF-1}]
$$

$$
\Gamma \vdash Arg \textbf{ type} \leadsto Arg^{o}
$$
\n
$$
\Gamma, x: Arg^{o} \vdash (\Pi ((x_1 Arg_1) \ldots (x_n Arg_n)) R) \textbf{ type} \leadsto X
$$
\n
$$
\Gamma \vdash (\Pi ((x Arg_1 (x_1 Arg_1) \ldots (x_n Arg_n)) R) \textbf{ type} \leadsto (\Pi ((x Arg^{o})) X) \textbf{ [FUNF-2]}
$$

$$
\frac{\Gamma \vdash Arg \textbf{ type} \leadsto Arg^{o}}{\Gamma \vdash (\rightarrow Arg R) \textbf{ type} \leadsto (\Pi ((x Arg^{o})) R^{o})} [\textbf{FUNF} \rightarrow 1]
$$

$$
\Gamma \vdash Arg \textbf{ type} \leadsto Arg^{o}
$$
\n
$$
\Gamma \vdash \textbf{ fresh} \leadsto x
$$
\n
$$
\Gamma, x: Arg^{o} \vdash (\rightarrow Arg_{1} \dots Arg_{n} R) \textbf{ type} \leadsto X
$$
\n
$$
\Gamma \vdash (\rightarrow Arg Arg_{1} \dots Arg_{n} R) \textbf{ type} \leadsto (\Pi ((x Arg^{o})) X) \textbf{ [FUNF} \rightarrow 2]
$$

Remember to read the rules aloud! To read FunF*→*2, say:

To check that an →-expression with more than one argument type is a type, first check that the first argument type *Arg* is a type. Call its Core Pie expression  $Arg^o$ . Then, check that a new  $\rightarrow$ -expression with the remaining argument types  $Arg_1 \ldots Arg_n$  is a type, and call the resulting Core Pie expression *X*. Find a fresh variable name *x* that is not associated with any type in  $\Gamma$ , and then the result of elaboration is  $(\Pi((x \text{Arg}^o)) X)$ .

$$
\frac{\Gamma \vdash Arg_1 \equiv Arg_2 \text{ type } \Gamma, x : Arg_1 \vdash R_1 \equiv R_2 \text{ type }}{\Gamma \vdash (\Pi ((x Arg_1)) R_1) \equiv (\Pi ((x Arg_2)) R_2) \text{ type }} [\text{FUNSAME-}\Pi]
$$

$$
\frac{\Gamma, x: Arg \vdash r \in R \sim r^o}{\Gamma \vdash (\lambda (x) r) \in (\Gamma ((x Arg)) R) \sim (\lambda (x) r^o)} [\text{Fun1}]
$$

$$
\Gamma, x : Arg \vdash (\lambda (y z \dots) r) \in R \sim r^o
$$
  
 
$$
\Gamma \vdash (\lambda (x y z \dots) r) \in (\Pi ((x Arg)) R) \sim (\lambda (x) r^o)
$$
 [FUNI-2]

$$
\frac{\Gamma, x : Arg \vdash r_1 \equiv r_2 : R}{\Gamma \vdash (\lambda (x) r_1) \equiv (\lambda (x) r_2) : (\Pi ((x Arg)) R)} [\text{FUNSAME-}\lambda]
$$

$$
\frac{\Gamma\vdash f\ \ \texttt{synth} \leadsto (\texttt{the}\ (\sqcap\ ((x\ \textit{Arg}))\ \textit{R})\ f^o)\qquad \Gamma\vdash\arg\in\ \textit{Arg} \leadsto\arg^o}{\Gamma\vdash (f\ \arg)\ \ \texttt{synth} \leadsto (\texttt{the}\ \textit{R}[\arg^o/x]\ (f^o\ \arg^o))}\ [\text{F}\cup\text{E-1}]
$$

$$
\Gamma \vdash (f \; arg \; \dots \; arg_{n-1}) \; \textbf{synth} \sim (\textbf{the } (\Pi \; ((x \; Arg)) \; R) \; f^o)
$$
\n
$$
\Gamma \vdash arg_n \in Arg \sim arg_n^o
$$
\n
$$
\Gamma \vdash (f \; arg \; \dots \; arg_{n-1} \; arg_n) \; \textbf{synth} \sim (\textbf{the } R[arg_n^o/x] \; (f^o \; arg_n^o))
$$
\n[FWE-2]

$$
\frac{\Gamma \vdash f_1 \equiv f_2 : (\Pi ((x Arg)) R) \qquad \Gamma \vdash arg_1 \equiv arg_2 : Arg}{\Gamma \vdash (f_1 arg_1) \equiv (f_2 arg_2) : R[arg_1/x]} \quad [\text{FUNSAME-\text{apply}}]
$$

$$
\frac{\Gamma, x : Arg \vdash r_1 \equiv r_2 : R \qquad \Gamma \vdash arg_1 \equiv arg_2 : Arg}{\Gamma \vdash ((\lambda (x) r_1) arg_1) \equiv r_2[arg_2/x] : R[arg_2/x]} [\text{FUNSAME-}\beta]
$$

In FUNSAME-η, the premise  $x \notin \text{dom}(\Gamma)$  states that x is not bound by  $\Gamma$ . The reason that  $\Gamma \vdash \mathbf{fresh} \leadsto x$  is not used in this rule is that the rules for sameness are a specification that the conversion checking algorithm must fulfill rather than the algorithm itself. It would be inappropriate to use an algorithmic check in a nonalgorithmic specification.

$$
\frac{x \notin \text{dom}(\Gamma)}{\Gamma \vdash f_1 \equiv (\lambda (x) (f_2 x)) : (\Pi ((x Arg)) R)} [\text{FUNSAME-}\eta]
$$

### **Natural Numbers**

<sup>Γ</sup> *<sup>⊢</sup>* Nat **type** ; Nat [NatF] Γ *⊢* Nat *≡* Nat **type** [NatSame-Nat]

$$
\boxed{\Gamma \vdash {\sf zero}\ {\bf synth} \sim ({\sf the \ Nat\ zero})}~[{\rm NATI-1}]
$$

$$
\boxed{\Gamma \vdash \text{zero} \equiv \text{zero} : \text{Nat}} \,\left[\text{NATSAME-zero}\right]
$$

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$$
\dfrac{\Gamma\vdash n\in\text{Nat}\leadsto n^o}{\Gamma\vdash(\text{add}1\ n)\ \ \text{synth}\leadsto(\text{the Nat }(\text{add}1\ n^o))}\ [\text{NATI-2}]
$$

In these rules,  $\lceil n \rceil$  stands for a literal Scheme natural number.

$$
\Gamma \vdash [0] \text{ synth} \rightsquigarrow (\text{the Nat zero}) \quad [\text{NATI-3}]
$$
\n
$$
\frac{\Gamma \vdash [k] \in \text{Nat} \rightsquigarrow n}{\Gamma \vdash [k+1] \text{ synth} \rightsquigarrow (\text{the Nat (add1 } n))} \quad [\text{NATI-4}]
$$
\n
$$
\frac{\Gamma \vdash n_1 \equiv n_2 : \text{Nat}}{\Gamma \vdash (\text{add1 } n_1) \equiv (\text{add1 } n_2) : \text{Nat} \quad [\text{NATSAME-add1}]}
$$
\n
$$
\frac{\Gamma \vdash t \in \text{Nat} \rightsquigarrow t^o}{\Gamma \vdash b \text{ synth} \rightsquigarrow (\text{the } B \ b^o)}
$$
\n
$$
\frac{\Gamma \vdash s \in (\Gamma \ ((x \text{ Nat}) \ B) \rightsquigarrow s^o}{\Gamma \vdash (\text{which-Nat } t \ b \ s) \text{ synth} \rightsquigarrow (\text{the } B \ (\text{which-Nat } t^o \ (\text{the } B \ b^o) \ s^o))} \quad [\text{NATE-1}]
$$

In the next rule, a sameness judgment is written on multiple lines. The following two ways of writing the judgment have the same meaning:

$$
\Gamma \vdash \equiv : c_3
$$
 and  $\Gamma \vdash c_1 \equiv c_2 : c_3$   
 $c_2$ 

In addition to allowing wider expressions, this way of writing the judgment can also make it easier to visually compare the two expressions that are the same.

$$
\Gamma \vdash t_1 \equiv t_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Pi ((x \text{ Nat})) B_1)
$$
\n
$$
(\text{which-Nat } t_1 \text{ (the } B_1 b_1) s_1)
$$
\n
$$
\Gamma \vdash \equiv \text{(which-Nat } t_2 \text{ (the } B_2 b_2) s_2)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B \quad \Gamma \vdash s \equiv s : (\Pi ((x \text{ Nat})) B) \quad [\text{NATSAME-}w\text{-}Nt1]
$$
\n
$$
\Gamma \vdash (\text{which-Nat zero (the } B b_1) s) \equiv b_2 : B \quad [\text{NATSAME-}w\text{-}Nt1]
$$

$$
\Gamma \vdash n_1 \equiv n_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash b \equiv b : B
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Pi ((x \text{ Nat})) B)
$$
\n
$$
\overline{\Gamma \vdash (\text{which-Nat (add1 } n_1) (\text{the } B b) s_1) \equiv (s_2 n_2) : B} \quad [\text{NATSAME-}\text{w-Nt2}]
$$

$$
\Gamma \vdash t \in \text{Nat} \leadsto t^o
$$
\n
$$
\Gamma \vdash b \text{ synth} \leadsto (\text{the } B \ b^o)
$$
\n
$$
\Gamma \vdash s \in (\Pi \ ((\times B)) \ B) \leadsto s^o
$$
\n
$$
\Gamma \vdash (\text{iter-Nat } t \ b \ s) \text{ synth} \leadsto (\text{the } B \ (\text{iter-Nat } t^o \ (\text{the } B \ b^o) \ s^o))
$$
\n[NATE-2]

$$
\Gamma \vdash t_1 \equiv t_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Gamma ((\times B_1)) B_1)
$$
\n
$$
(\text{iter-Nat } t_1 \text{ (the } B_1 b_1) s_1)
$$
\n
$$
\Gamma \vdash \equiv \text{ (iter-Nat } t_2 \text{ (the } B_2 b_2) s_2)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B
$$
\n
$$
\Gamma \vdash s \equiv s : (\Gamma ((\times B)) B)
$$
\n
$$
\Gamma \vdash (\text{iter-Nat zero (the } B b_1) s) \equiv b_2 : B
$$
\n[NATSAME-it-Nu1]\n
$$
\Gamma \vdash n_1 \equiv n_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Gamma ((\times B_1)) B_1)
$$
\n
$$
(\text{iter-Nat (add1 } n_1) \text{ (the } B_1 b_1) s_1)}
$$
\n[NATSAME-it-Nu2]\n
$$
\Gamma \vdash \begin{array}{c} s_2 \text{ (iter-Nat } n_2 \text{ (the } B_2 b_2) s_2) \end{array}
$$

Try comparing the rules for **which-Nat** and **iter-Nat** with each other, and keep them in mind when reading the rules for **rec-Nat** aloud.

$$
\Gamma \vdash t \in \text{Nat} \sim t^o
$$
\n
$$
\Gamma \vdash b \text{ synth} \sim (\text{the } B \ b^o)
$$
\n
$$
\Gamma \vdash s \in (\Pi \ ((n \text{ Nat})) \ (\Pi \ ((\times B)) \ B)) \sim s^o
$$
\n
$$
\Gamma \vdash (\text{rec-Nat } t \ b \ s) \ \text{synth} \sim (\text{the } B \ (\text{rec-Nat } t^o \ (\text{the } B \ b^o) \ s^o))
$$
\n[NATE-3]

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$$
\Gamma \vdash t_1 \equiv t_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\frac{\Gamma \vdash s_1 \equiv s_2 : (\Pi \ ((n \text{ Nat})) \ (\Pi \ ((x B_1)) B_1))}{(\text{rec-Nat } t_1 \ (\text{the } B_1 b_1) s_1)} \ [\text{NATSAME-rec-Nat}]
$$
\n
$$
\Gamma \vdash \qquad \equiv \qquad : B_1
$$
\n
$$
(\text{rec-Nat } t_2 \ (\text{the } B_2 b_2) s_2)
$$

$$
\frac{\Gamma \vdash b_1 \equiv b_2 : B \qquad \Gamma \vdash s \equiv s : (\Pi \ ((n \text{ Nat})) \ (\Pi \ ((\times B)) \ B))}{\Gamma \vdash (\text{rec-Nat zero } (\text{the } B \ b_1) \ s) \equiv b_2 : B} \ \ [\text{NATSAME-r-Nu1}]
$$

$$
\Gamma \vdash n_1 \equiv n_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\frac{\Gamma \vdash s_1 \equiv s_2 : (\Gamma \ ((n \text{ Nat})) \ (\Gamma \ ((x B_1)) B_1))}{(\text{rec-Nat (add1 } n_1) \ (\text{the } B_1 b_1) s_1)} \ [\text{NATSAME-r-NL2}]
$$
\n
$$
\Gamma \vdash \qquad \qquad \qquad \equiv \qquad : B_1
$$
\n
$$
((s_2 n_2) \ (\text{rec-Nat } n_2 \ (\text{the } B_2 b_2) s_2))
$$

$$
\Gamma \vdash t \in \text{Nat} \sim t^{o}
$$
\n
$$
\Gamma \vdash m \in (\Pi ((x \text{ Nat})) \mathcal{U}) \sim m^{o}
$$
\n
$$
\Gamma \vdash b \in (m^{o} \text{ zero}) \sim b^{o}
$$
\n
$$
\Gamma \vdash s \in (\Pi ((k \text{ Nat})) (\Pi ((almost (m^{o} k)))) (m^{o} (add1 k)))) \sim s^{o}
$$
\n
$$
\Gamma \vdash (\text{ind-Nat } t \text{ m b s}) \text{ synth} \sim (\text{the } (m^{o} t^{o}) (\text{ind-Nat } t^{o} \text{ m}^{o} b^{o} s^{o}))
$$
\n[NATE-4]

$$
\Gamma \vdash t_1 \equiv t_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash m_1 \equiv m_2 : (\Gamma \text{ ((} \times \text{Nat})) \text{ U})
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m_1 \text{ zero})
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Gamma \text{ ((} k \text{ Nat}))
$$
\n
$$
\text{ (}\Gamma \text{ ((} \text{almost } (m_1 \text{ k})))\text{)}
$$
\n
$$
\text{ (}\text{ind-Nat } t_1 \text{ m_1 b_1 s_1)}
$$
\n
$$
\Gamma \vdash \qquad \equiv \qquad : (m_1 \text{ t_1})
$$
\n
$$
\text{(}\text{ind-Nat } t_2 \text{ m_2 b_2 s_2)}
$$
\n
$$
\text{ (}\text{ind-Nat } t_2 \text{ m_2 b_2 s_2)}
$$

$$
\Gamma \vdash m \equiv m : (\Pi ((x Nat)) U)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m \text{ zero})
$$
\n
$$
\Gamma \vdash s \equiv s : (\Pi ((k Nat))
$$
\n
$$
(\Pi ((almost (m k)))
$$
\n
$$
(m (add1 k))))
$$
\n
$$
\Gamma \vdash (ind-Nat zero m b_1 s) \equiv b_2 : (m \text{ zero})
$$
\n[NATSAME-in-Ntl]

$$
\Gamma \vdash n_1 \equiv n_2 : \text{Nat}
$$
\n
$$
\Gamma \vdash m_1 \equiv m_2 : (\Gamma \text{ ((x Nat)}) \text{ U})
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m_1 \text{ zero})
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Gamma \text{ ((k Nat)})
$$
\n
$$
\text{ (n ((almost (m_1 k)))}
$$
\n
$$
\text{ (m_1 (add1 k))))}
$$
\n
$$
\text{ (ind-Nat (add1 n_1) m_1 b_1 s_1)}
$$
\n
$$
\Gamma \vdash \qquad \qquad \equiv \qquad : (m_1 \text{ (add1 n_1))}
$$
\n
$$
\text{ (s}_2 n_2 \text{ (ind-Nat n_2 m_2 b_2 s_2))}
$$

**Lists**

and the contract of the contra

$$
\frac{\Gamma \vdash E \text{ type } \sim E^o}{\Gamma \vdash (\text{List } E) \text{ type } \sim (\text{List } E^o)} [\text{LISTF}]
$$

$$
\frac{\Gamma \vdash E_1 \equiv E_2 \text{ type}}{\Gamma \vdash (\text{List } E_1) \equiv (\text{List } E_2) \text{ type}} [\text{LISTSAME-List}]
$$

$$
\Gamma \vdash \mathsf{nil} \in (\mathsf{List}\; E) \leadsto \mathsf{nil} \; [\mathsf{LISTI-1}]
$$

$$
\boxed{\Gamma \vdash \mathsf{nil} \equiv \mathsf{nil} : (\mathsf{List}\ E)} \quad [\mathsf{LISTSAME\text{-}nil}]
$$

$$
\frac{\Gamma \vdash e\ \ \mathtt{synth} \leadsto (\mathtt{the} \ E\ e^o)\qquad \Gamma \vdash e s \in (\mathtt{List} \ E) \leadsto e s^o}{\Gamma \vdash (\text{:} : e\ \text{es})\ \ \mathtt{synth} \leadsto (\mathtt{the} \ (\mathtt{List} \ E)\ (\text{:} : e^o\ \text{es}^o))} \ [\mathtt{LISTI-2}]
$$

$$
\frac{\Gamma \vdash e_1 \equiv e_2 : E \qquad \Gamma \vdash e_3 \equiv e_3 : (\text{List } E) \qquad \Gamma \vdash (\because e_1 \ e_3) \equiv (\because e_2 \ e_3) : (\text{List } E) \qquad [\text{LISTSAME-} :]
$$

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$$
\begin{array}{l} \Gamma \vdash t \ \ \text{synth} \leadsto (\text{the (List } E) \ t^o) \\ \Gamma \vdash b \ \ \text{synth} \leadsto (\text{the } B \ b^o) \\ \Gamma \vdash s \in (\Pi \ ((\times E)) \ (\Pi \ ((\times \text{List } E))) \ (\Pi \ ((\text{almost } B)) \ B))) \leadsto s^o \\ \overline{\Gamma \vdash (\text{rec-List } t \ b \ s) \ \ \text{synth} \leadsto (\text{the } B \ (\text{rec-List } t^o \ (\text{the } B \ b^o) \ s^o))} \ \ [\text{LISTE-1}] \end{array}
$$

$$
\Gamma \vdash t_1 \equiv t_2 : (\text{List } E)
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Pi \ ((\times E))
$$
\n
$$
(\Pi \ ((\times (\text{List } E)))
$$
\n
$$
(\Pi \ ((\text{almost } B_1))
$$
\n
$$
B_1))))
$$
\n[LISTSAME-rec-list]

 $\Gamma \vdash$  (rec-List  $t_1$  (the  $B_1$   $b_1$ )  $s_1$ )  $\equiv$  (rec-List  $t_2$  (the  $B_2$   $b_2$ )  $s_2$ ) :  $B_1$  [LISTSAME-rec-List]

$$
\Gamma \vdash \text{nil} \equiv \text{nil} : (\text{List } E)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B
$$
\n
$$
\Gamma \vdash s \equiv s : (\Pi ((\times E))
$$
\n
$$
(\Pi ((\text{xs } (\text{List } E)))
$$
\n
$$
(\Pi ((\text{almost } B))
$$
\n
$$
B)))
$$
\n
$$
\Gamma \vdash (\text{rec-List nil } (\text{the } B b_1) s_1) \equiv b_2 : B
$$
\n[LISTSAME-r-L1]

$$
\Gamma \vdash e_1 \equiv e_2 : E
$$
\n
$$
\Gamma \vdash e_{31} \equiv e_{22} : (\text{List } E)
$$
\n
$$
\Gamma \vdash B_1 \equiv B_2 \text{ type}
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : B_1
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Pi ((x E))
$$
\n
$$
(\Pi ((x s (\text{List } E)))
$$
\n
$$
B_1)))
$$
\n
$$
(R ((a|most B_1))
$$
\n
$$
B_1)))
$$
\n
$$
(rec\text{-List } (:: e_1 \text{ es}_1) (\text{the } B_1 \text{ b}_1) \text{ s}_1)
$$
\n
$$
\Gamma \vdash \qquad ((s_2 \text{ e}_2) \text{ es}_2) (\text{rec}\text{-List } es_2 (\text{the } B_2 \text{ b}_2) \text{ s}_2))
$$

L,

$$
\Gamma \vdash t \text{ synth} \sim (\text{the (List } E) t^o)
$$
\n
$$
\Gamma \vdash m \in (\Gamma ((\text{xs (List } E))) U) \sim m^o
$$
\n
$$
\Gamma \vdash b \in (m^o \text{ nil}) \sim b^o
$$
\n
$$
\Gamma \vdash s \in (\Gamma ((\text{xs } E))
$$
\n
$$
(\Gamma ((\text{xs (List } E)))
$$
\n
$$
(\Gamma ((\text{almost } (m^o \text{ xs}))) \sim s^o)
$$
\n
$$
(\frac{m^o (\text{:: x xs}))) \sim s^o
$$
\n[LISTF-2]

 $\Gamma$  + (ind-List *t m b s*) **synth**  $\sim$  (the  $(m^o t^o)$  (ind-List  $t^o m^o b^o s^o$ )) [LISTE-2]

 $\Gamma \vdash t_1 \equiv t_2 :$  (List *E*)  $\Gamma \vdash m_1 \equiv m_2 : (\Pi \ ((\mathsf{x} \mathsf{s} \ (\mathsf{List} \ E))) \ \mathcal{U})$  $\Gamma \vdash b_1 \equiv b_2 : (m_1 \text{ nil})$  $\Gamma \vdash s_1 \equiv s_2 : (\Pi ((x E))$ (Π ((*xs* (List *E*))) (Π ((*almost* (*m*<sup>1</sup> *xs*)))  $(m_1 (:: x x s))))$ 

 $\Gamma$   $\vdash$  (ind-List  $t_1$   $m_1$   $b_1$   $s_1$ )  $\equiv$  (ind-List  $t_2$   $m_2$   $b_2$   $s_2$ ) :  $(m_1$   $t_1)$  [LISTSAME-ind-List]

$$
\Gamma \vdash m \equiv m : (\Pi \ ((x \in (List E))) U)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m \text{ nil})
$$
\n
$$
\Gamma \vdash s \equiv s : (\Pi \ ((x E))
$$
\n
$$
(\Pi \ ((x \in (List E)))
$$
\n
$$
(\Pi \ ((almost (m xs)))
$$
\n
$$
(m (: x xs))))
$$
\n
$$
\Gamma \vdash (\text{ind-List nil } m b_1 s) \equiv b_2 : (m \text{ nil})
$$
\n[LISTSAME-i-L1]

$$
\Gamma \vdash e_1 \equiv e_2 : E
$$
\n
$$
\Gamma \vdash es_1 \equiv es_2 : (\text{List } E)
$$
\n
$$
\Gamma \vdash m_1 \equiv m_2 : (\Gamma ((\text{xs } (\text{List } E))) \cup E)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m_1 \text{ nil})
$$
\n
$$
\Gamma \vdash s_1 \equiv s_2 : (\Gamma ((\text{xs } E)))
$$
\n
$$
(\Gamma ((\text{xs } (\text{List } E)))
$$
\n
$$
(\Gamma ((\text{almost } (m_1 \text{ xs})))
$$
\n
$$
(m_1 (\text{:: x xs})))
$$
\n
$$
(m_1 (\text{:: x xs})))
$$
\n[LISTSAME-i-L:2]\n
$$
\Gamma \vdash \qquad \qquad \qquad = \qquad \qquad : (m_1 (\text{:: } e_1 \text{ es}_1))
$$
\n
$$
(((s_2 \ e_2) \ e_2) \ (\text{ind-List } es_2 \ m_2 \ b_2 \ s_2))
$$

### **Vectors**

$$
\frac{\Gamma \vdash E \text{ type } \sim E^{\circ} \quad \Gamma \vdash \ell \in \text{Nat} \sim \ell^{\circ}}{\Gamma \vdash (\text{Vec } E \ell) \text{ type } \sim (\text{Vec } E^{\circ} \ell^{\circ})} \quad [\text{VECF}]
$$
\n
$$
\frac{\Gamma \vdash E_1 \equiv E_2 \text{ type } \quad \Gamma \vdash \ell_1 \equiv \ell_2 : \text{Nat}}{\Gamma \vdash (\text{Vec } E_1 \ell_1) \equiv (\text{Vec } E_2 \ell_2) \text{ type}} \quad [\text{VECSAME-Vec}]
$$
\n
$$
\frac{\Gamma \vdash \text{vecnil} \in (\text{Vec } E \text{ zero}) \sim \text{vecnil}}{\Gamma \vdash \text{vecnil} \equiv \text{vecnil} : (\text{Vec } E \text{ zero}) \sim \text{vecnil}} \quad [\text{VEC1-1}]
$$
\n
$$
\frac{\Gamma \vdash e \in E \sim e^{\circ} \quad \Gamma \vdash e s \in (\text{Vec } E \ell) \sim e s^{\circ}}{\Gamma \vdash (\text{vec}: e \cdot s) \in (\text{Vec } E \text{ (add1 } \ell)) \sim (\text{vec}: e^{\circ} e s^{\circ})} \quad [\text{VEC1-2}]
$$
\n
$$
\frac{\Gamma \vdash e_1 \equiv e_2 : E \quad \Gamma \vdash e s_1 \equiv e s_2 : (\text{Vec } E \ell) \sim e s^{\circ}}{\Gamma \vdash (\text{vec}: e_1 \cdot s_1) \equiv (\text{vec}: e_2 \cdot e s_2) : (\text{Vec } E \text{ (add1 } \ell))} \quad [\text{VECSAME-vec} : \text{Rec}]
$$
\n
$$
\frac{\Gamma \vdash t \text{ synth} \sim (\text{the } (\text{Vec } E \text{ (add1 } \ell)) \cdot e^{\circ})}{\Gamma \vdash (\text{head } t) \text{ synth} \sim (\text{the } E \text{ (head } t^{\circ}))} \quad [\text{VECE-1}]
$$
\n
$$
\frac{\Gamma \vdash e s_1 \equiv e s_2 : (\text{Vec } E \text{ (add1 } \ell))}{\Gamma \vdash (\text{head } e s_1) \equiv (\text{head } e s_2) : E} \quad [\text{VECSAME-head}]
$$
\n
$$
\frac{\Gamma \vdash e_1 \equiv e_2 : E \quad \Gamma \vdash e s \equiv
$$

$$
\frac{\Gamma \vdash t \text{ synth} \leadsto (\text{the } (\text{Vec } E \text{ (add1 } \ell)) t^o)}{\Gamma \vdash (\text{tail } t) \text{ synth} \leadsto (\text{the } (\text{Vec } E \ell) \text{ (tail } t^o))} [\text{VecE-2}]
$$
\n
$$
\frac{\Gamma \vdash es_1 \equiv es_2 : (\text{Vec } E \text{ (add1 } \ell))}{\Gamma \vdash (\text{tail } es_1) \equiv (\text{tail } es_2) : (\text{Vec } E \ell) } [\text{VecSAME-tail}]
$$
\n
$$
\frac{\Gamma \vdash e \equiv e : E \qquad \Gamma \vdash es_1 \equiv es_2 : (\text{Vec } E \ell)}{\Gamma \vdash (\text{tail } (\text{vec}: e \text{ es}_1)) \equiv es_2 : (\text{Vec } E \ell) } [\text{VecSAME-tu}]
$$

In VecE-3 below, there is a premise stating that *ℓ o* and *n* are the same Nat, rather than using the same metavariable for both lengths. This is because both Nats are
*output*, bound on the right of a  $\sim$ . The Core Pie Nats are independently produced by elaboration, so they must be checked for sameness in another premise. This pattern occurs in [EqI], as well.

$$
\Gamma \vdash \ell \in \text{Nat} \sim \ell^{o}
$$
\n
$$
\Gamma \vdash t \text{ synth} \sim (\text{the } (\text{Vec } E \ n) \ t^{o})
$$
\n
$$
\Gamma \vdash \ell^{o} \equiv n : \text{Nat}
$$
\n
$$
\Gamma \vdash m \in (\Pi \ ((k \text{ Nat})) \ (\Pi \ ((es (\text{Vec } E \ k))) \ U)) \sim m^{o}
$$
\n
$$
\Gamma \vdash b \in ((m^{o} \text{ zero}) \text{ vccil}) \sim b^{o}
$$
\n
$$
\Gamma \vdash s \in (\Pi \ ((k \text{ Nat}))
$$
\n
$$
(\Pi \ ((e E))
$$
\n
$$
(\Pi \ ((es (\text{Vec } E \ k)))
$$
\n
$$
(\Pi \ ((almost \ ((m^{o} \ k) \ es)))))) \sim s^{o}
$$
\n[VECF-3]

 $\Gamma \vdash (\text{ind-Vec }\ell \ t \ m \ b \ s) \text{ synth} \leadsto (\text{the } ((m^o \ \ell^o) \ t^o) \ (\text{ind-Vec } \ell^o \ t^o \ m^o \ b^o \ s^o))$  [VECE-3]

$$
\Gamma \vdash \ell_{1} \equiv \ell_{2} : \text{Nat}
$$
\n
$$
\Gamma \vdash t_{1} \equiv t_{2} : (\text{Vec } E \ell_{1})
$$
\n
$$
\Gamma \vdash m_{1} \equiv m_{2} : (\Pi ((k \text{ Nat})) (\Pi ((x (\text{Vec } E \ k))) \mathcal{U}))
$$
\n
$$
\Gamma \vdash b_{1} \equiv b_{2} : ((m_{1} \text{ zero}) \text{ vccnil})
$$
\n
$$
\Gamma \vdash s_{1} \equiv s_{2} : (\Pi ((k \text{ Nat}))
$$
\n
$$
(\Pi ((e E))
$$
\n
$$
(\Pi ((es (\text{Vec } E \ k)))
$$
\n
$$
(\Pi ((a \text{ lmost} ((m_{1} \ k) \text{ es})))
$$
\n
$$
((m_{1} \text{ (add1 k})) (\text{vec:: } e \text{ es}))))))
$$
\n
$$
(\text{ind-Vec } \ell_{1} t_{1} m_{1} b_{1} s_{1})
$$
\n
$$
\Gamma \vdash \qquad \equiv \qquad : ((m_{1} \ell_{1}) t_{1})
$$
\n
$$
(\text{ind-Vec } \ell_{2} t_{2} m_{2} b_{2} s_{2})
$$

$$
\Gamma \vdash m_1 \equiv m_2 : (\Pi \ ((k \text{ Nat})) (\Pi \ ((\times (\text{Vec } E \ k))) \ U))
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : ((m_1 \text{ zero}) \text{ vecnil})
$$
\n
$$
\Gamma \vdash s \equiv s : (\Pi \ ((k \text{ Nat}))
$$
\n
$$
(\Pi \ ((e E))
$$
\n
$$
(\Pi \ ((e E))
$$
\n
$$
(\Pi \ ((\text{almost } ((m_1 \ k) \text{ es})))
$$
\n
$$
((m_1 \ (\text{add1 } k)) \ (\text{vec:: } e \text{ es}))))))
$$
\n
$$
\Gamma \vdash (\text{ind-Vec zero } \text{veccnil } m_1 \ b_1 \ s) \equiv b_2 : ((m_2 \ \text{zero}) \ \text{veccnil})
$$
\n[
$$
\text{VECSAME-i-V1}
$$
]

$$
\Gamma \vdash \ell_{1} \equiv \ell_{2} : \text{Nat}
$$
\n
$$
\Gamma \vdash e_{1} \equiv e_{2} : E
$$
\n
$$
\Gamma \vdash e_{3} \equiv e_{2} : (\text{Vec } E \ell_{1})
$$
\n
$$
\Gamma \vdash m_{1} \equiv m_{2} : (\Pi ((k \text{ Nat})) (\Pi ((x (\text{Vec } E \text{ k}))) \text{ } U))
$$
\n
$$
\Gamma \vdash b_{1} \equiv b_{2} : ((m_{1} \text{ zero}) \text{ v})
$$
\n
$$
\Gamma \vdash s_{1} \equiv s_{2} : (\Pi ((k \text{ Nat}))
$$
\n
$$
(\Pi ((e E))
$$
\n
$$
(\Pi ((e E))
$$
\n
$$
(\Pi ((a \text{ most } ((m_{1} \text{ k}) \text{ es})))
$$
\n
$$
((m_{1} (\text{add 1 } k)) (\text{vec} : e \text{ es}))))))
$$
\n
$$
(\text{ind-Vec } (\text{add 1 } \ell_{1}) (\text{vec} : e_{1} \text{ es}_{1}) m_{1} b_{1} s_{1}) \cdot ((m_{2} (\text{add 1 } \ell_{1}))
$$
\n
$$
\Gamma \vdash \qquad (((s_{2} \ell_{2}) e_{2}) e_{3}) \qquad \qquad \vdots \qquad ((v \text{ec} : e_{1} \text{ es}_{1}))
$$
\n
$$
(\text{ind-Vec } \ell_{2} e_{32} m_{2} b_{2} s_{2}))
$$

## **Equality**

$$
\frac{\Gamma \vdash X \text{ type} \leadsto X^o \qquad \Gamma \vdash \text{from} \in X^o \leadsto \text{from}^o \qquad \Gamma \vdash \text{to} \in X^o \leadsto \text{to}^o}{\Gamma \vdash (\equiv X \text{ from to}) \text{ type} \leadsto (\equiv X^o \text{ from}^o \text{ to}^o)} \text{ [EqF]}
$$

$$
\frac{\Gamma \vdash X_1 \equiv X_2 \text{ type } \Gamma \vdash from_1 \equiv from_2 : X_1 \Gamma \vdash to_1 \equiv to_2 : X_1}{\Gamma \vdash (= X_1 \text{ from }_1 to_1) \equiv (= X_2 \text{ from }_2 to_2) \text{ type}} \text{ [EQSAME=]}
$$

$$
\frac{\Gamma \vdash mid \in X \leadsto mid^o \qquad \Gamma \vdash from \equiv mid^o : X \qquad \Gamma \vdash mid^o \equiv to : X}{\Gamma \vdash (\text{same mid}) \in (\equiv X \text{ from to}) \leadsto (\text{same mid}^o)} \quad [\text{EqI}]
$$

$$
\frac{\Gamma \vdash from \equiv to : X}{\Gamma \vdash (\text{same from}) \equiv (\text{same to}) : (\equiv X \text{ from from})} [\text{EQSAME-same}]
$$

$$
\Gamma \vdash t \ \ \text{synth} \leadsto (\text{the } (= X \ \textit{from to}) \ t^o)
$$
\n
$$
\Gamma \vdash m \in (\Gamma \ ((\times X)) \ \mathcal{U}) \leadsto m^o
$$
\n
$$
\Gamma \vdash b \in (m^o \ \textit{from}) \leadsto b^o
$$
\n
$$
\Gamma \vdash (\text{replace } t \ \ m \ b) \ \ \text{synth} \leadsto (\text{the } (m^o \ \textit{to}) \ (\text{replace } t^o \ \ m^o \ b^o))
$$
\n
$$
[\text{EqE-1}]
$$

$$
\Gamma \vdash t_1 \equiv t_2 : (= X \text{ from to})
$$
\n
$$
\Gamma \vdash m_1 \equiv m_2 : (\Pi ((\times X)) U)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m_1 \text{ from})
$$
\n
$$
\Gamma \vdash (\text{replace } t_1 \text{ } m_1 \text{ } b_1) \equiv (\text{replace } t_2 \text{ } m_2 \text{ } b_2) : (m_1 \text{ to}) \quad [\text{EQSAME-replace}]
$$

$$
\Gamma \vdash \text{expr} \equiv \text{expr} : X
$$
\n
$$
\Gamma \vdash m \equiv m : (\Pi ((\times X)) U)
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : (m \text{ expr})
$$
\n
$$
\Gamma \vdash (\text{replace (same expr}) m b_1) \equiv b_2 : (m \text{ expr})
$$
\n[EQSAME-ri]

The Core Pie version of **cong** takes three arguments, rather than two, as can be seen in the grammar on page 393. The first argument in the Core Pie version is the type of the expressions being equated, and it is needed in order for a sameness checking algorithm to take types into account.

$$
\Gamma \vdash t \text{ synth} \leadsto (\text{the } (= X_1 \text{ from } to) t^o)
$$
\n
$$
\Gamma \vdash f \text{ synth} \leadsto (\text{the } (\Pi ((\times X_2)) Y) f^o)
$$
\n
$$
\Gamma \vdash X_1 \equiv X_2 \text{ type}
$$
\n
$$
\Gamma \vdash (\text{cong } t \text{ } f) \text{ synth} \leadsto (\text{the } (= Y \text{ } (f^o \text{ from}) \text{ } (f^o \text{ } to)) \text{ } (\text{cong } X_1 \text{ } t^o \text{ } f^o))
$$
\n[EqE-2]

$$
\Gamma \vdash X_1 \equiv X_2 \text{ type}
$$
\n
$$
\Gamma \vdash f_1 \equiv f_2 : (\Pi ((\times X_1)) Y)
$$
\n
$$
\Gamma \vdash t_1 \equiv t_2 : (= X_1 \text{ from to})
$$
\n
$$
\Gamma \vdash (\text{cong } X_1 \text{ } t_1 \text{ } f_1) \equiv (\text{cong } X_2 \text{ } t_2 \text{ } f_2) : (= Y \text{ } (f_1 \text{ from}) \text{ } (f_1 \text{ to}))
$$
\n[EQSAME-cong]

$$
\Gamma \vdash \text{expr}_1 \equiv \text{expr}_2 : X \qquad \Gamma \vdash f_1 \equiv f_2 : (\Pi ((\times X)) Y) \qquad \qquad [\text{EQSAME-Cl}]
$$
\n
$$
\Gamma \vdash \qquad \equiv \qquad : (\equiv X (f_1 \text{ expr}_1) (f_1 \text{ expr}_1))
$$
\n
$$
\text{(same } (f_2 \text{ expr}_2))
$$

$$
\dfrac{\Gamma\vdash t\;\;\textrm{synth}\leadsto(\textrm{the }(=X\;\textit{from to})\;t^o)}{\Gamma\vdash(\textrm{symm }t)\;\;\textrm{synth}\leadsto(\textrm{the }(=X\;\textit{to from})\;(\textrm{symm }t^o))}\;[\textrm{EqE-3}]
$$

$$
\frac{\Gamma \vdash t_1 \equiv t_2 : (= X \text{ from to})}{\Gamma \vdash (\text{symm } t_1) \equiv (\text{symm } t_2) : (= X \text{ to from})} [\text{EQSAME-symm}]
$$

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 $\overline{a}$ 

$$
\frac{\Gamma \vdash expr_1 \equiv expr_2 : X}{\Gamma \vdash (\text{symm (same } expr_1)) \equiv (\text{same } expr_2) : (= X \text{ } expr_1 \text{ } expr_1))}
$$
 [EQSAME-su]

Pie contains two eliminators for equality that are not discussed in the preceding chapters: **trans** and **ind-=**. **trans** allows evidence of equality to be "glued together:" if the to of one equality is the same as the from of another, **trans** allows the construction of an equality connecting the from of the first equality to the to of the second.

$$
\Gamma \vdash t_1 \text{ synth} \sim (\text{the } (= X \text{ from } mid_1) t_1^o)
$$
\n
$$
\Gamma \vdash t_2 \text{ synth} \sim (\text{the } (= Y \text{ mid}_2 \text{ to}) t_2^o)
$$
\n
$$
\Gamma \vdash X \equiv Y \text{ type}
$$
\n
$$
\Gamma \vdash mid_1 \equiv mid_2 : X
$$
\n
$$
\Gamma \vdash (\text{trans } t_1 \text{ } t_2) \text{ synth} \sim (\text{the } (= X \text{ from } to) (\text{trans } t_1^o t_2^o))
$$
\n
$$
[\text{EqE-4}]
$$

$$
\frac{\Gamma \vdash t_1 \equiv t_2 : (= X \text{ from mid}) \qquad \Gamma \vdash t_3 \equiv t_4 : (= X \text{ mid to})}{\Gamma \vdash (\text{trans } t_1 \text{ t}_3) \equiv (\text{trans } t_2 \text{ t}_4) : (= X \text{ from to})} [\text{EqSAME-trans}]
$$

$$
\Gamma \vdash expr_1 \equiv expr_2 : X \qquad \Gamma \vdash expr_2 \equiv expr_3 : X
$$
\n
$$
(\text{trans} (\text{same } expr_1) (\text{same } expr_2))
$$
\n
$$
\Gamma \vdash \qquad \qquad \equiv \qquad : (= X \text{ expr}_3 \text{ expr}_3)
$$
\n
$$
(\text{same } expr_3)
$$

The most powerful eliminator for equality is called **ind-=**: it expresses *induction on evidence of equality.* **ind-**= is sometimes called  $J^5$  or *path induction*. Pie's **ind-**= treats the FROM as a parameter, rather than an index;  $6$  this version of induction on evidence of equality is sometimes called *based path induction*.

$$
\begin{array}{l} \Gamma \vdash t \ \ \text{synth} \leadsto (\text{the } (= X \ \textit{from } to) \ t^o) \\ \Gamma \vdash m \in (\Gamma \ ((X \ X)) \ (\Gamma \ ((t \ (= X \ \textit{from } x))) \ \mathcal{U})) \leadsto m^o \\ \Gamma \vdash b \in ((m^o \ \textit{from}) \ (\text{same } \textit{from})) \leadsto b^o \\ \overline{\Gamma \vdash (\text{ind}= t \ \ m \ b) \ \ \text{synth} \leadsto (\text{the } ((m^o \ \ to) \ t^o) \ (\text{ind}= t^o \ \ m^o \ b^o))} \ \ [\text{EqE-5}] \end{array}
$$

<sup>5</sup>Thanks again, Per Martin-Löf.

<sup>6</sup>Thanks, Christine Paulin-Mohring (1962–)

$$
\Gamma \vdash t_1 \equiv t_2 : (= X \text{ from to})
$$
\n
$$
\Gamma \vdash m_1 \equiv m_2 : (\Gamma \ ( (X X) ) \ (\Gamma \ ((t ( = X \text{ from } X))) \ U))
$$
\n
$$
\Gamma \vdash b_1 \equiv b_2 : ((m_1 \text{ from } (\text{same from}))
$$
\n
$$
\Gamma \vdash (\text{ind} = t_1 \ m_1 \ b_1) \equiv (\text{ind} = t_2 \ m_2 \ b_2) : ((m_1 \text{ to } t_1) \ \Gamma)
$$
\n[EQSAME-ind = ]

$$
\Gamma \vdash expr \equiv expr : X
$$
  
\n
$$
\Gamma \vdash m \equiv m : (\Pi ((x X)) (\Pi ((t (= X expr x))) U))
$$
  
\n
$$
\Gamma \vdash b_1 \equiv b_2 : ((m expr) (\text{same } expr))
$$
  
\n
$$
\Gamma \vdash (\text{ind} = (\text{same } expr) m b_1) \equiv b_2 : ((m expr) (\text{same } expr))
$$
 [EQSAME-i=i]

**Either**

$$
\frac{\Gamma \vdash P\ \ \mathbf{type} \leadsto P^o\qquad \Gamma \vdash S\ \ \mathbf{type} \leadsto S^o}{\Gamma \vdash (\mathsf{Either}\ P\ S)\ \ \mathbf{type} \leadsto (\mathsf{Either}\ P^o\ S^o)}\ [\mathsf{ETHERF}]
$$

 $\Gamma \vdash P_1 \equiv P_2$  **type**  $\Gamma \vdash S_1 \equiv S_2$  **type**  $\Gamma$   $\vdash$  ( $\Gamma$ )  $\vdash$   $\Gamma$   $\vdash$   $\Gamma$   $\vdash$   $\Gamma$   $\vdash$   $\Gamma$   $\vdash$   $\Gamma$ )  $\equiv$   $\Gamma$   $\vdash$   $\vdash$   $\Gamma$   $\vdash$   $\vdash$   $\vdash$   $\Gamma$   $\vdash$   $\vdash$   $\vdash$   $\vdash$   $\vdash$ 

$$
\frac{\Gamma \vdash \textit{lt} \in P \sim \textit{lt}^o}{\Gamma \vdash (\text{left } \textit{lt}) \in (\text{Either } P \text{ } S) \sim (\text{left } \textit{lt}^o)} [\text{ETHERI-1}]
$$

 $\Gamma \vdash \mathit{lt}_1 \equiv \mathit{lt}_2 : P$  $\Gamma \vdash (\text{left } \mathit{lt}_1) \equiv (\text{left } \mathit{lt}_2) : (\text{Either } P \mathit{S})$  [EITHERSAME-left]

$$
\frac{\Gamma \vdash rt \in S \leadsto rt^o}{\Gamma \vdash (\text{right } rt) \in (\text{Either } P \ S) \leadsto (\text{right } rt^o)} \ [\text{ETHERI-2}]
$$

 $\Gamma \vdash rt_1 \equiv rt_2 : S$  $\Gamma \vdash (right \; rt_1) \equiv (right \; rt_2) : (Either \; P \; S)$ <sup>[EITHERSAME-right]</sup>

$$
\Gamma \vdash t \text{ synth} \sim (\text{the (Either } P \ S) \ t^o)
$$
\n
$$
\Gamma \vdash m \in (\Pi \ ((\times (\text{Either } P \ S))) \ U) \sim m^o
$$
\n
$$
\Gamma \vdash b_l \in (\Pi \ ((\times P)) \ (m^o \ (\text{left } x))) \sim b_l^o
$$
\n
$$
\Gamma \vdash b_r \in (\Pi \ ((\times S)) \ (m^o \ (\text{right } x))) \sim b_r^o
$$
\n
$$
\Gamma \vdash (\text{ind-Either } t \ m \ b_l \ b_r) \ \text{ synth} \sim (\text{the } (m^o \ t^o) \ (\text{ind-Either } t^o \ m^o \ b_l^o \ b_r^o))
$$
\n[ETHERE]

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$$
\Gamma \vdash t_1 \equiv t_2 : (\text{Either } P \ S)
$$
\n
$$
\Gamma \vdash m_1 \equiv m_2 : (\Pi \ ((\times \ (\text{Either } P \ S))) \ U)
$$
\n
$$
\Gamma \vdash b_{l1} \equiv b_{l2} : (\Pi \ ((\times P)) \ (m_1 \ (\text{left } x)))
$$
\n
$$
\Gamma \vdash b_{r1} \equiv b_{r2} : (\Pi \ ((\times S)) \ (m_1 \ (\text{right } x)))
$$
\n
$$
\boxed{\Gamma \vdash t_1 n_1 b_{l1} b_{r1}}
$$
\n
$$
\Gamma \vdash \equiv \qquad \qquad : (m_1 \ t_1)
$$
\n
$$
(\text{ind-Either } t_1 m_2 b_{l2} b_{r2})
$$

$$
\Gamma \vdash lt_1 \equiv lt_2 : P
$$
\n
$$
\Gamma \vdash m \equiv m : (\Pi \ ((\times (\text{Either } P \ S))) \ U)
$$
\n
$$
\Gamma \vdash b_{l1} \equiv b_{l2} : (\Pi \ ((\times P)) \ (m \ (\text{left } x)))
$$
\n
$$
\Gamma \vdash b_r \equiv b_r : (\Pi \ ((\times S)) \ (m \ (\text{right } x)))
$$
\n
$$
\Pi \vdash (\text{ind-Either } (\text{left } lt_1) \ m \ b_{l1} \ b_r)
$$
\n
$$
\Gamma \vdash (\text{big } lt_2)
$$
\n
$$
(b_{l2} \ lt_2)
$$

$$
\Gamma \vdash rt_1 \equiv rt_2 : S
$$
\n
$$
\Gamma \vdash m \equiv m : (\Gamma \ ((\times (\text{Either } P \ S))) \ U)
$$
\n
$$
\Gamma \vdash b_l \equiv b_l : (\Gamma \ ((\times P)) \ (m \ (\text{left } x)))
$$
\n
$$
\Gamma \vdash b_{r1} \equiv b_{r2} : (\Gamma \ ((\times S)) \ (m \ (\text{right } x)))
$$
\n
$$
\Gamma \vdash (\text{ind-Either } (\text{right } rt_1) \ m \ b_l \ b_{r1})
$$
\n
$$
\Gamma \vdash (\text{by } t_{r2} \ rt_2)
$$
\n
$$
(b_{r2} \ rt_2)
$$

**Unit**

$$
\boxed{\Gamma \vdash \text{Trivial type} \rightsquigarrow \text{Trivial}} \quad [\text{TRIVF}]
$$

$$
\boxed{\Gamma \vdash \text{Trivial} \equiv \text{Trivial type}} \,\,\left[\text{TrivSAME-Trivial}\right]
$$

$$
\boxed{\Gamma \vdash \text{sole } \textbf{synth} \leadsto (\textbf{the Trivial sole})}
$$
 [TRIVI]

It is not necessary to have a rule stating that sole is the same Trivial as sole because every Trivial is the same as every other by the  $\eta$ -rule.

$$
\frac{\Gamma \vdash c \equiv c : \text{Trivial}}{\Gamma \vdash c \equiv \text{sole} : \text{Trivial}} [\text{TrivSAME-}\eta]
$$

## **Absurdities**

$$
\Gamma \vdash \mathsf{Absurd\ type} \leadsto \mathsf{Absurd\ [ABsF]}
$$

Γ *⊢* Absurd *≡* Absurd **type** [AbsSame-Absurd]

$$
\dfrac{\Gamma\vdash t\in\text{Absurd}\leadsto t^o\qquad \Gamma\vdash m\ \ \text{type}\leadsto m^o}{\Gamma\vdash (\text{ind-Absurd}\ t\ m)\ \ \text{synth}\leadsto (\text{the}\ m^o\ (\text{ind-Absurd}\ t^o\ m^o))}\ [\text{ABSE}]
$$

$$
\Gamma \vdash t_1 \equiv t_2 : \text{Absurd} \qquad \Gamma \vdash m_1 \equiv m_2 : \mathcal{U}
$$
\n
$$
\Gamma \vdash (\text{ind-Absurd } t_1 \ m_1) \equiv (\text{ind-Absurd } t_2 \ m_2) : m_1 \qquad [\text{ABSSAME-ind-Absurd}]
$$

$$
\frac{\Gamma \vdash c_1 \equiv c_1 : \text{Absurd} \qquad \Gamma \vdash c_2 \equiv c_2 : \text{Absurd}}{\Gamma \vdash c_1 \equiv c_2 : \text{Absurd}} \quad [\text{ABSSAME-}\eta]
$$

#### **Universe**

The rules for  $U$  work differently from other types. There is a formation rule and a number of introduction rules, but there is not an elimination rule that expresses induction the way that there is for types such as Nat and families such as Vec.

Instead of an elimination rule, a  $U$  is used by placing it in a context where a type is expected, because one way to check that an expression is a type is by checking that it is a *U*. Similarly, to check that two expressions are the same type, one can check that they are the same *U*.

$$
\frac{\Gamma \vdash e \in \mathcal{U} \rightsquigarrow c_t}{\Gamma \vdash e \textbf{ type } \rightsquigarrow c_t} [\text{Et}] \qquad \frac{\Gamma \vdash X \equiv Y : \mathcal{U}}{\Gamma \vdash X \equiv Y \textbf{ type}} [\text{Et-Same}]
$$

The formation rule for  $U$  is akin to types that take no arguments: Atom, Nat, Trivial, and Absurd.

$$
\boxed{\Gamma \vdash \mathcal{U} \text{ type } \sim \mathcal{U}} \text{ [UF]} \qquad \frac{}{\Gamma \vdash \mathcal{U} \equiv \mathcal{U} \text{ type}} \text{ [USAME-}\mathcal{U}]
$$

$$
\boxed{\Gamma \vdash \text{Atom }\text{synth} \leadsto (\text{the }\mathcal{U} \text{ Atom}) } \quad [\text{UI-1}] \qquad \boxed{\Gamma \vdash \text{Atom} \equiv \text{Atom} : \mathcal{U} } \quad [\text{USAME-Atom}]
$$

$$
\frac{\Gamma \vdash A \in \mathcal{U} \leadsto A^o \qquad \Gamma, x:A^o \vdash D \in \mathcal{U} \leadsto D^o}{\Gamma \vdash (\Sigma \ ((x \ A)) \ D) \ \ \text{synth} \leadsto (\text{the } \mathcal{U} \ (\Sigma \ ((x \ A^o)) \ D^o))} \ [\text{UI-2}]
$$

$$
\frac{\Gamma \vdash A \in \mathcal{U} \sim A^o \qquad \Gamma, x:A^o \vdash (\Sigma ((x_1 A_1) \ldots (x_n A_n)) D) \in \mathcal{U} \sim Z}{\Gamma \vdash (\Sigma ((x A) (x_1 A_1) \ldots (x_n A_n)) D) \text{ synth} \sim (\text{the } \mathcal{U} (\Sigma ((x A^o)) Z))} [\text{UI-3}]
$$

$$
\frac{\Gamma \vdash A \in \mathcal{U} \rightsquigarrow A^o \qquad \Gamma \vdash \mathbf{fresh} \rightsquigarrow x \qquad \Gamma, x : A^o \vdash D \in \mathcal{U} \rightsquigarrow D^o}{\Gamma \vdash (\text{Pair } A \ D) \ \mathbf{synth} \rightsquigarrow (\text{the } \mathcal{U} \ (\Sigma \ ((x A^o)) \ D^o))} \ [\text{UI-4}]
$$

$$
\frac{\Gamma \vdash A_1 \equiv A_2 : \mathcal{U} \qquad \Gamma, x : A_1 \vdash D_1 \equiv D_2 : \mathcal{U}}{\Gamma \vdash (\Sigma ((x A_1)) D_1) \equiv (\Sigma ((x A_2)) D_2) : \mathcal{U}} \quad [\text{USAME-}\Sigma]
$$

$$
\frac{\Gamma\vdash X\in\mathcal{U}\sim X^o\qquad \Gamma, x:X^o\vdash R\in\mathcal{U}\sim R^o}{\Gamma\vdash(\Pi\ ((x\ X))\ R)\ \ \text{synth}\sim (\text{the }\mathcal{U}\ (\Pi\ ((x\ X^o))\ R^o))} \ [\text{UI-5}]
$$

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$$
\frac{\Gamma \vdash X \in \mathcal{U} \sim X^o \qquad \Gamma, x : X^o \vdash (\Pi ((x_1 X_1) \ldots (x_n X_n)) R) \in \mathcal{U} \sim R^o}{\Gamma \vdash (\Pi ((x X) (x_1 X_1) \ldots (x_n X_n)) R) \text{ synth} \sim (\text{the } \mathcal{U} (\Pi ((x X^o)) R^o))} [\text{UI-6}]
$$

$$
\frac{\Gamma \vdash X \in \mathcal{U} \rightsquigarrow X^o \qquad \Gamma \vdash \mathbf{fresh} \rightsquigarrow x \qquad \Gamma, x: X^o \vdash R \in \mathcal{U} \rightsquigarrow R^o}{\Gamma \vdash (\rightarrow X \ R) \ \ \mathbf{symbh} \rightsquigarrow (\mathbf{the} \ \mathcal{U} \ (\Pi \ ((x \ X^o)) \ R^o))} \ [\text{UI-7}]
$$

$$
\Gamma \vdash X \in \mathcal{U} \sim X^{o}
$$
\n
$$
\Gamma \vdash \mathbf{fresh} \sim x
$$
\n
$$
\Gamma, x: X^{o} \vdash (\rightarrow X_{1} \dots X_{n} R) \in \mathcal{U} \sim R^{o}
$$
\n
$$
\Gamma \vdash (\rightarrow X X_{1} \dots X_{n} R) \text{ synth} \sim (\text{the } \mathcal{U} (\Pi ((x X^{o})) R^{o}))
$$
\n[UI-8]

$$
\frac{\Gamma \vdash X_1 \equiv X_2 : \mathcal{U} \qquad \Gamma, x : X_1 \vdash Y_1 \equiv Y_2 : \mathcal{U}}{\Gamma \vdash (\Pi ((x X_1)) Y_1) \equiv (\Pi ((x X_2)) Y_2) : \mathcal{U}} \quad \text{[USame-I]}
$$

$$
\boxed{\Gamma \vdash \textsf{Nat } \textbf{synth} \sim (\texttt{the } \mathcal{U} \text{ Nat})} \quad [\textsf{UI-9}] \qquad \boxed{\Gamma \vdash \textsf{Nat} \equiv \textsf{Nat} : \mathcal{U}} \quad [\textsf{USAME-Nat}]
$$

$$
\Gamma \vdash E \in \mathcal{U} \sim E^{o}
$$
\n
$$
\Gamma \vdash (\text{List } E) \text{ synth} \sim (\text{the } \mathcal{U} \text{ (List } E^{o}))
$$
\n
$$
[\text{UI-10}]
$$
\n
$$
\frac{\Gamma \vdash E_{1} \equiv E_{2} : \mathcal{U}}{\Gamma \vdash (\text{List } E_{1}) \equiv (\text{List } E_{2}) : \mathcal{U}} \text{ [USAME-List]}
$$
\n
$$
\frac{\Gamma \vdash E \in \mathcal{U} \sim E^{o} \qquad \Gamma \vdash \ell \in \text{Nat} \sim \ell^{o}}{\Gamma \vdash (\text{Vec } E \ell) \text{ synth} \sim (\text{the } \mathcal{U} \text{ (Vec } E^{o} \ell^{o}))}
$$
\n
$$
[\text{UI-11}]
$$
\n
$$
\frac{\Gamma \vdash E_{1} \equiv E_{2} : \mathcal{U} \qquad \Gamma \vdash \ell_{1} \equiv \ell_{2} : \text{Nat}}{\Gamma \vdash (\text{Vec } E_{1} \ell_{1}) \equiv (\text{Vec } E_{2} \ell_{2}) : \mathcal{U}}
$$
\n
$$
[\text{USAME-Vec}]
$$

$$
\frac{\Gamma \vdash X \in \mathcal{U} \leadsto X^o \qquad \Gamma \vdash \textit{from} \in X^o \leadsto \textit{from}^o \qquad \Gamma \vdash \textit{to} \in X^o \leadsto \textit{to}^o}{\Gamma \vdash (\equiv X \textit{ from to}) \ \ \text{synth} \leadsto (\text{the } \mathcal{U} \ (\equiv X^o \textit{ from}^o \ \textit{to}^o))} \ [ \text{UI-12}]
$$

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$$
\frac{\Gamma \vdash X_1 \equiv X_2 : \mathcal{U} \qquad \Gamma \vdash from_1 \equiv from_2 : X_1 \qquad \Gamma \vdash to_1 \equiv to_2 : X_1}{\Gamma \vdash (= X_1 \text{ from}_1 \text{ to}_1) \equiv (= X_2 \text{ from}_2 \text{ to}_2) : \mathcal{U}} \quad [\text{USAME-}=]
$$
\n
$$
\frac{\Gamma \vdash P_1 \equiv P_2 : \mathcal{U} \qquad \Gamma \vdash S_1 \equiv S_2 : \mathcal{U}}{\Gamma \vdash (\text{Either } P_1 \text{ S}_1) \equiv (\text{Either } P_2 \text{ S}_2) : \mathcal{U}} \quad [\text{USAME-Either}]
$$
\n
$$
\frac{\Gamma \vdash P \in \mathcal{U} \leadsto P^o \qquad \Gamma \vdash S \in \mathcal{U} \leadsto S^o}{\Gamma \vdash (\text{Either } P \text{ S}) \text{ synth} \leadsto (\text{the } \mathcal{U} \text{ (Either } P^o \text{ S}^o))} \quad [\text{UI-13}]
$$
\n
$$
\frac{\Gamma \vdash \text{Trivial synth} \leadsto (\text{the } \mathcal{U} \text{ Trivial})}{\Gamma \vdash \text{Trivial}\equiv \text{Trivial} : \mathcal{U}} \quad [\text{USAME-Trivial}]
$$
\n
$$
\frac{[\text{USAME-Trivial}]}{\Gamma \vdash \text{Absurd synth} \leadsto (\text{the } \mathcal{U} \text{ Absurd})} \quad [\text{UI-15}]
$$

 $\Gamma \vdash$  Absurd  $\equiv$  Absurd :  $\mathcal{U}$ <sup>[USAME-Absurd]</sup>

# **The Grammar of Pie**



## **The Grammar of Core Pie**

The main differences between Pie and Core Pie are that Core Pie does not have some of the features found in Pie: digits for natural numbers, the type constructors *→* and Pair, and functions that can be applied to more than one argument. Additionally, nondependent eliminators require extra type information in Core Pie, because they do not have a motive. In this grammar, gray highlights indicate modifications from Pie.



# **Afterword**

Well, that was fun, and now I'm full, and so are you. I was a Little Lisper once; now I'm a Typer, too. Types provide the means to put the meaning on machines, to program computation as an act of explanation. How is doing doing good? (How is lunch made out of food?) When are lurking loop instructions struck from structural inductions? A strong introduction, a sweet reduction, rich and warm: the chefs are on joyous normal form.

Pairs and atoms made my cradle. Pattern matching filled my youth. Now my kitchen's rich with  $\Sigma$ , poaching pairs of things with truth. Cookery: it's not just flattery. Who's the pudding kidding without the proof? It takes Π to make a promise and a promise to make trust, to make windows you can see through and build gates that do not rust. Here is Pie for Simple Simon: the faker at the fair went bust. I would serve Pie to my father, but he's dust.

Atoms offer difference in the act of giving name.  $=$  transubstantiates two types which mean the same. Absurd is just another word for someone else to blame. Time flies like an *→*. Pairs share out space. A *<sup>U</sup>*niversal type of types unites the human race. But what on earth do we think we're doing in the first place? What's our game? We have the ways of making things, but things are evidence. Perhaps, one day, the thing we'll make is sense.

> Conor McBride Glasgow February, 2018



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